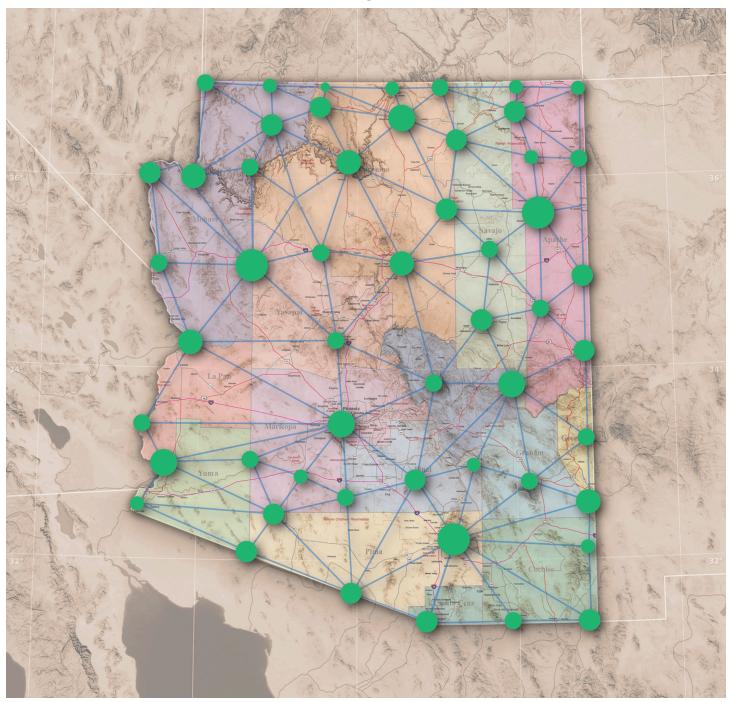
# Ad Hoc Committee on Health Care Workforce Creating an Arizona Health Workforce Data System



## Draft for Discussion

December 18, 2017

# Table of Contents

## Draft for Discussion and Supporting Materials

Ad Hoc Committee on Health Care Workforce | Creating an Arizona Health Workforce Data System

Section 1	Executive Summary
Section 2	Draft Proposal
Section 3	Health Workforce Data Collection FAQs
Section 4	State Inventory
Section 5	Federal Minimum Data Set
Section 6	State Minimum Data Set
Section 7	Example Legislation

For questions on the materials included Please contact: Daniel Derksen, MD Director, Arizona Center for Rural Health dderksen@email.arizona.edu

# Ad Hoc Committee on Health Care Workforce Creating an Arizona Health Workforce Data System

*Draft for Discussion* December 18, 2017

## Contents

Executive Summary	3
Background	5
North Carolina Model	6
Other Approaches to Health Workforce Data Collection	7
Key Partnerships	9
Timeline	10

## **Executive Summary**

The **Ad Hoc Committee on Health Care Workforce** will submit a report on or before January 10, 2018 to the President of the Senate and Speaker of the House of Representatives. The Committee shall: *"…research and make recommendations for the collection of publication relevant data concerning the health care professions workforce, including (1) the organization and administration of the data collection process; (2) identify costs and funding mechanisms related to data collection and reporting; and (3) means to provide appropriate oversight of the data collection process and fund expenditures."* 

#### Draft for Discussion December 18, 2017

The Committee recommends that the Legislature take steps to begin development of an Arizona Health Workforce Data System (AzHWDS).

The Committee finds that the health sector is vital to Arizona's economy and that its health professional workforce is crucial to assure that high quality health care is accessible to all Arizonans.

However, Arizona lacks a consistent, affordable, and easily accessible source of important information about its health care workforce. Arizona needs this type of database. Some states have model health workforce databases used for recruiting, retention, planning, and development by existing health care employers; attracting new businesses to the state; enhancing the rural health infrastructure; addressing unmet health needs and planning for future health workforce demand and supply; using data for loan repayment and programs intended to address health workforce shortages; and assessing educational capacity, programs, and effectiveness in graduating health professionals that practice in Arizona.

The Committee recommends the following:

- That the Legislature pass legislation that directs by January 1, 2020 that the licensing Boards regulating MDs, PAs, DOs, RNs, CNAs, Social Workers, Counselors, Marriage and Family Therapists, Substance Abuse Counselors, Psychologists and Behavioral Analysts – collect workforce data as part of the licensing and renewal process.
- 2. That the bill directs the Department of Health Services to promulgate rules establishing the uniform, minimum data set collection requirements for the regulatory boards.
- 3. That the Arizona Area Health Education Center be encouraged to assist in the process to:
  - a. Review best practices from other states;
  - b. Convene stakeholders to participate in the process;
  - c. Make recommendations for minimum data set requirements;
  - d. Make recommendations to the legislature about establishing an appropriate entity to collect, analyze, report, and disseminate information on Arizona's health care workforce;
  - e. Estimate and make recommendations about the funding of that entity, and appropriate measures to assure health care workforce data collection and reporting.

#### Rationale

To assure ready access to high quality health care for all Arizonans, timely, unbiased, accurate health professions data can help inform policy, legislative, and regulatory interventions. The Health Services Resources Administration (HRSA) recommends that states routinely collect health workforce data at the time of licensing and renewal using standard minimum data sets (MDS)<sup>1</sup> to more accurately capture clinical full time equivalent capacity and ability to meet demand across the state. North Carolina has an health care workforce data collecting and reporting system that could serve as a model for Arizona.

#### Factors influencing the health care workforce supply and demand include:

- Supply Arizona health professions training programs' capacity;
- Demand by consumers / patients covered by public or private payers, self-pay and uninsured;
- Distribution to urban and rural areas, serving Arizona's diverse populations and communities;
- Recruitment & Retention of health professionals to practice in Arizona and incentives to practice.
- Other factors and determinants the aging of the population and the health workforce providers, educational attainment, socioeconomic factors, employment, income, race and ethnicity.

An **AzHWDS** should be centralized system that provides timely, accurate and objective data that is accessible to all policy makers and stakeholders to use for workforce planning.

#### An **AzHWDS** should:

- Start with existing, publicly available, secondary health workforce data (e.g., HRSA)
- Build capacity to collect, analyze, and report using data at the time of licensing, renewal (e.g., NC) using a Minimum Data Set (MDS) approach
- Have online data visualization reports and formatting for use by policymakers;
- Annually report data (e.g., state health workforce data books);
- Allow for more in-depth health workforce analyses as needed;
- Work closely with the appropriate licensing boards (e.g., Arizona State Board of Nursing, Arizona Osteopathic Board, Arizona Medical Board, Arizona State Board of Behavioral Health Examiners;
- Be unbiased, timely, accurate, cost efficient, and consistent with HRSA minimum data sets;
- Allow appropriate entities to analyze and report on data collected (i.e., with appropriate state oversight, IRB approved, proven ability to protect personally identifiable information, proven ability to appropriately house and protect data and servers).

<sup>&</sup>lt;sup>1</sup> HRSA Health Workforce Data Minimum Data Sets at: <u>https://bhw.hrsa.gov/health-workforce-analysis/data</u>

## Background

The U.S. and state health systems are undergoing dramatic transformation, increasing the need to have timely and accurate health care workforce data for analysis, and reporting. There are many factors that contribute to the supply and demand for health services including:

- **Supply** Arizona health professions training programs' capacity, location and balance of urban and rural training venues; mechanisms to enhance delivery of health services such as team-based and interprofessional care, telehealth, electronic health records, self-management, and education;
- **Demand** by consumers / patients covered by public or private payers, self-pay and uninsured;
- Distribution to urban and rural areas, serving Arizona's diverse populations and communities;
- **Recruitment & Retention** of health professionals to practice in Arizona and incentives to practice.
- Payment for Health Services how and whether providers are reimbursed for health services.
- **Oversight** of health professions licensing, credentialing, certification, and reporting.
- Other factors and determinants the aging of the population and the health workforce providers, educational attainment, socioeconomic factors, employment, income, race and ethnicity, and how health services are delivered and accessed.

Since 2010, the number and percentage of individuals and families with public and private health insurance coverage has dramatically increased in the U.S. (91.2% are insured) and in Arizona (almost 90%). Since 2010, Arizona has been in the top five states in health sector job growth.

While health insurance coverage rates and health sector economic growth are promising trends, disparities persist in terms of health insurance coverage, ready access to high quality health care, and health outcomes in certain areas and populations. For example, the uninsured rate is higher for rural, Latino, and American Indian populations. The distribution of workforce personnel and the personnel per population ratios reflect a disparity in health care access. The ability of rural hospitals and clinics to recruit and retain enough health professionals to meet demand is of ongoing concern.

Rural Urban Commuting Area Classification	Population (Claritas 2013)	# of Licensed Physicians	# of Licensed Physician Assistants	# of Licensed Nurse Practitioners	# of Licensed Certified Nurse Midwives	# of Licensed Pharmacists
Urban	6,148,248	12,617	1,805	2,827	161	6,322
Large Rural Town	356,863	520	102	87	9	198
Small Rural Town	126,889	147	31	32	4	48
Isolated Small Rural Town	35,596	3	4	9	0	5
Total	6,667,596	13,287	1,942	2,955	174	6,573

Table 1. Selected Health	Professions per	100.000 Population	in Arizona by RUCA <sup>2</sup>

<sup>&</sup>lt;sup>2</sup> AzCRH 2015 Supply and Demand Study of Arizona Health Practitioners and Professionals. At: https://crh.arizona.edu/sites/default/files/022616\_AzCRH\_Supply\_and\_Demand\_Report.pdf

# Ad Hoc Committee on Health Care Workforce *Draft for Discussion* - December 18, 2017

	2010				2	011		)12	2013			
Staff type	FTE	(N)	[min-max]	FTE	(N)	[min-max]	FTE	(N)	[min-max]	FTE	(N)	[min-max]
Psychiatrist	0.4	(5)	[0.1-1.0]	2.5	(4)	[0.2-8.8]	0.3	(9)	[0.0-0.8]	0.3	(7)	[0.0-0.8]
Psychologists	1.0	(1)	[1.0-1.0]	0.7	(2)	[0.4-1.0]	3.0	(2)	[1.0-5.0]	3.1	(2)	[0.1-6.0]
Social Worker	1.8	(4) [(	0.1-3.6]	1.9	(5)	[0.5-4.7]	1.8	(9)	[0.6-4.4]	2.0	(9)	[0.6-4.8]
Other Licensed Behavioral Health	2.7	(8)	[0.7-7.0]	2.5	(8)	[0.3-7.8]	1.8	(11)	[0.2-7.9]			
Substance Abuse	1.0	(3)	[1.0-1.0]				1.3	(2)	[1.0-1.6]			

Table 2. Selected Behavioral Health Professions at Federally Qualified Health Centers in Arizona<sup>3</sup>

The routine collection of objective, comprehensive, standardized data can inform key questions about health workforce supply, demand and access to health services.

## North Carolina Model

The University of North Carolina's Sheps Center houses and manages the Health Professions Data System (HPDS), continuous and equivalent data files since 1979, the oldest in the country. HPDS reports are published and available to the public as an annual report<sup>4</sup> and via an online data visualization system.<sup>5</sup> Many states, including Arizona, lack up to date information to answer questions such as:

- How many full-time equivalent (FTE) licensed health professionals work in an area?
- Are there enough health providers in an area to meet the needs of the population?
- Where do the health professionals work? (outpatient, inpatient, other facility or entity)
- Does the health provider accept new patients? Those covered by Medicare?
- How many graduates are there from Arizona health professions training programs?

The Sheps Center partners with North Carolina's licensing boards for multiple health professions to collect Minimum Data Sets (MDS) at the time of licensing and renewal. Data collection is fully integrated in the licensing and renewal processes. Data belongs to the licensing boards, and each October 31, the boards share a point-in-time data snapshot with the Shep Center, who is responsible for annual and other reports<sup>6</sup> used to:

- Describe the supply and distribution of the current workforce;
- Document provider or service shortages;
- Document the need for new educational programs;
- Evaluate educational outcomes how many remain in state to practice, practice in rural or underserved areas, serve special populations;
- Inform state graduate medical education (GME) funding and policies;
- Describe the racial and ethnic diversity in the health professions;

 <sup>&</sup>lt;sup>3</sup> AzCRH 2015 Safety Net Health Care in Arizona. At: http://crh.arizona.edu/2015-Safety-Net-Care-AZ
 <sup>4</sup> North Carolina Health Professions Data Book.

http://digital.ncdcr.gov/cdm/compoundobject/collection/p249901coll22/id/17972/rec/2 <sup>5</sup> North Carolina Health Professions Data System. https://nchealthworkforce.sirs.unc.edu

<sup>&</sup>lt;sup>6</sup> Bacon T, Gaul K, Fraher E: Health Workforce Data & Policy: A North Carolina Overview. Feb 2017: http://www.shepscenter.unc.edu/wp-content/uploads/2017/02/DataForPolicy\_1Overview.pdf

- Support workforce and economic initiatives;
- Assess the impact of new roles in health care settings.

### Other Approaches to Health Workforce Data Collection

The Health Resources and Services Administration (HRSA) houses the Bureau of Health Workforce and the National Center for Health Workforce Analysis (NCHWA). The NCHWA serves as a national resource on workforce research, information, and data. They collect and distribute data including the Area Health Resource Files (AHRF). The AHRF include data from over 50 sources at the county and state level. While the AHRF and other data resources (e.g., national licensure) are important for national and state level research and forecasting, they are limited in the data they offer. Licensure based workforce analysis does not capture educational background, demographics, employment status, actual practice locations, time in practice at each location, plans to retire, direct patient care full time equivalent activity, willingness to accept new patients, or limitations in accepting new or continuing to see existing patients by payer (e.g., accepting patients covered by Medicare, Medicaid, or private health insurance).

The core recommendation from the NCHWA is that states establish the capacity to routinely collect health workforce information to provide policy makers access to relevant and timely workforce data. There are four systematic approaches collecting routine health workforce data:

#### 1) Obtaining Data at the Time of Licensure or Renewal (Best Practice) State Examples: North Carolina, South Carolina, Virginia

Data are collected as part of the licensure process at initial application and renewal. This approach aims to collect information from 100% of the state's licensed workforce. The data may be gathered from both mandatory and optional questions.

**Advantages**: efficient and cost-effective; surveys can be customized providing information relevant to state needs; enables collection of expanded information compared to licensing data. **Disadvantages**: variance in organizational structure of licensing boards.

#### 2) Conducting Surveys

#### State Examples: New York, Wisconsin

Data are collected via surveys separately or as an add-on to the licensure process.

**Advantages**: can be coordinated in partnership with licensure board resources; can provide specific information relevant to state needs; provides expanded information compared to licensing data.

**Disadvantages**: increased staff, time, and funding requirements compared to integrating data collection into licensure; response rates may vary.

#### 3) Continuous Monitoring

#### State Examples: Iowa, Nebraska

Data collection begins with profession-based cohorts using licensing information. Individuals are tracked using survey data collection and secondary data sources (e.g., news clippings).

**Advantages**: can be coordinated in partnership with but does not rely on licensure board resources.

**Disadvantages**: increased staff, time, and funding requirements compared to integrating data collection into licensure; variable response rates.

#### 4) Collecting Data from Secondary Sources

Secondary data sources are extracted from national and publicly available datasets. These data can help count and assess the distribution of the workforce. Relevant secondary data sources include the National Provider Identification (NPI) file, the American Medical Association (AMA) Masterfile, and data from the Bureau of Labor Statistics and U.S. Census.

**Advantages**: can be coordinated in partnership with but does not rely on licensure board resources.

**Disadvantages**: Licensing data is very limited, does not capture multiple practice locations, clinical hours or other data that might inform state planning efforts (e.g., employment status, plans to retire, educational background, demographics).

The Health Workforce Technical Assistance Center (HWTAC) implemented a survey to collect information from states on their workforce data collection and monitoring systems.

In the area of **workforce supply**, the survey as of 2015 found:

- Organizations in 32 states reported collecting workforce supply data.
- Organizations collecting workforce data included state agencies, universities, nursing centers, and Area Health Education Centers (AHECs).
- Data collection most frequently targeted physicians (27 states), nurse practitioners (23 states), dentists (22 states) and registered nurses (22 states).
- In 13 of the 32 states health workforce data collection is mandatory. For 10, data collection is mandatory for all professions, and in 3 data collection is mandatory for subset of professions
- In 19 states health workforce data collection is voluntary.
- 26 states integrate data collection as part of the licensing process.
- 8 states report using a survey that is not part of the licensing process.
- The most frequently collected data include demographic characteristics, education background, and practice characteristics.

In the area of **workforce demand**, the survey as of 2015 found:

- 15 states collect data on workforce demand, and in 14 states it is collected on a regular basis.
- Data collection most frequently targeted registered nurses (10 states) physicians, (9 states), nurse practitioners (9 states), and LPNs (9 states).
- The most frequently collected data include data on vacancies (11 states), recruitment difficulty (10 states), and turnover (9 states).

In the area of the **workforce educational pipeline**, the survey as of 2015 found:

- 19 states collect data about the health workforce educational pipeline.
- Data collection most frequently targeted registered nurses (13 states) physicians, (11 states), and LPNs (10 states).

• The most frequently collected data include data on graduation rate (17 states), enrollment rates (15 states), and graduate demographic characteristics (13 states) Project Description.

## Key Partnerships

The overall success of establishing a **AzHWDS** depends on establishing and sustaining relationships with key stakeholders.

- Arizona Area Health Education Center and the Five AHEC Regional Centers
- Arizona Department of Health Services
- Health Professions Licensing Boards (starting with the Arizona State Board of Nursing, Arizona Osteopathic Board, Arizona Medical Board, Arizona State Board of Behavioral Health Examiners)
- Center for Health Information and Research (CHIR), Arizona State University
- County Health Departments
- Health Care Organizations (Arizona Nurses Association, Arizona Medical Society, Arizona Osteopathic Medical Association, Arizona Chapters of the National Association of Social Workers, the American Association for Marriage and Family Therapy, etc. )
- Health Care Systems
- Patient and Public Advocacy Groups
- Health Professions Training Institutions and Entities
- Arizona Center for Rural Health

## Timeline

Table 3. Proposed Timeline for establishing an AzHWDS         Months	1-3	4-6	7-9	10-12
Year 1				
Legislation			1	1
Draft legislation to support the development of an AzHWDS	X			
Support legislation in the committee process	Х			
Pass legislation	Х			
Planning	-	-		1
Create a detailed work plan for a collaborative and participatory process to establish an MDS as the foundation of the AzHWDS		Х		
Begin stakeholder meetings		Х		
Review best practices from other states		Х	Х	Х
Conduct environmental scan		Х	Х	Х
Develop framework for Arizona Health Workforce Databook and Online				Х
Data System				
Produce final report including; MDS data elements; recommendations for				Х
technical specifications etc.				
Implement report feedback process; review final report with all				х
stakeholders				
Year 2				
Preparing for Implementation				
Identify the implementation unit	Х			
Implementation unit develops detailed AzHWDS technical plan (including		Х		
process to address privacy and confidentiality concerns); phased				
approach; and budget based on report from the planning phase				
Implementation unit develops detailed AzHWDS reporting plan				
Implementation unit reviews AzHWDS technical and reporting plan with			Х	
key stakeholders				
Pending review and approval from stakeholders and regulatory body -				Х
Implementation unit begins creating technical framework for data				
collection, cleaning, and analysis				
Year 3				
Implementation				
Implementation unit begins phase 1 of MDS data collection with	Х	Х	Х	
identified "early adopter" boards				
Create beta version of the workforce databook and visualization platform			Х	Х
Send visualization site and databook to stakeholders for feedback				Х
Product Launch				
Integrate feedback into visualization				Х
Move visualization site live				Х
Years 4				
Expanded implementation			1	
Implementation unit fully integrates each of the preliminary licensing	Х	Х		
boards into the MDS process				
Databook published			Х	
Visualization tool and databook marketed to stakeholders		1	Х	Х
Implementation unit collects feedback and makes recommendations for		1		Х
improvements				



## Health Workforce Data Collection FAQs

As implementation of health reform initiatives accelerate, states are increasingly aware of the need to collect statelevel health workforce data to determine adequacy of the current health workforce to meet the expected increase in demand for health services. This brief is intended to assist states and organizations who are engaged in health workforce planning by answering some frequently asked questions about health workforce data collection.

### **Q:** What are some different ways to collect health workforce data?

A: There are generally 4 methods to collect health workforce data:

1. Licensure Process. Data are collected as part of the licensure process when health professionals apply for their initial license and when they renew, capturing 100% of the workforce. This is one of the most efficient and cost-effective methods to collect data. Some questions on the licensure forms may be mandatory, while others are optional. The organizational structure of the licensing boards will present different opportunities and barriers to data collection. (Examples: North Carolina, South Carolina, Virginia)

2. Surveys. Data are collected through surveys, either in conjunction with the licensure process or as a separate effort. This method requires more staff time and money. Response rates may vary, but this is a good option if health workforce questions cannot be included directly on the licensure forms. (Examples: New York, Wisconsin)

3. Continuous Monitoring. Data collection begins with a list of all licensees in one or more professions. From there, states track individuals through surveys, news clipping services, and other methods to determine practice status, practice setting, and other characteristics. This method can be costly, but it may provide more up-to-date information. (Examples: Iowa, Nebraska)

4. Secondary Data Sources. Secondary data sources can also be used to enumerate the workforce in a specific state. These data sources include the National Provider Identification (NPI) file, the American Medical Association (AMA) Physician Masterfile, the US Bureau of Labor Statistics, and the Census Bureau's American Community Survey, as well as state professional associations. Additionally, all-payer claims databases can be used to enumerate the health workforce in select states, but there are significant limitations.

### **Q:** What is the MDS?

A: The Minimum Data Set (MDS) provides basic, consistent guidelines for fundamental health workforce questionnaires. These questions can be used by anyone who wants to collect data on the supply of health workers, whether through the licensure process or surveys, and can be adapted for additional professions. MDS questions focus on essential demographic, education, and practice characteristics.

For more information, visit <u>http://www.healthworkforceta.org/wp-content/uploads/2015/03/</u> MDS\_Resource\_Brief.pdf.

#### **Q:** What states have implemented the MDS?

A: Many states are already collecting health workforce data, with a customized MDS in place to collect any additional data they need for health workforce planning. Some examples of states that are already collecting an MDS include North Carolina, Virginia, New York, Indiana, and Minnesota.

For more information on which states are collecting data, visit <u>http://www.healthworkforceta.org/resources/</u> <u>state-health-workforce-data-collection-inventory/</u>, or contact HWTAC.

#### Q: How do you work with licensure boards to collect and share data?

A: Relationships are key. Licensure boards are important partners in health workforce data collection, but their main priority is regulation to protect patient safety. They often don't have resources (ie, funding, staff, time) to collect additional data, and in some states, current legislation restricts their ability to share data.

Show the boards the value of collecting additional workforce data as it relates to evidence-based regulation, and look for ways to minimize their burden, especially during the initial development period. Treat them as a valued partner and bring them into the conversation very early to build trust.

#### **Q:** How easy is it to get licensure boards to add or change questions?

A: This will vary from state to state. It is important to remain cognizant of a) the financial cost to the board to change online renewal questions; b) the time that it takes respondents to complete their licensure renewal form; and c) the need for comparability across time. Only request changes or additions when absolutely necessary.

Some states mandate the collection of data through legislation, which affects how easy it is to add or change questions. For example, Florida's data collection is legislated, and any question must go through a lengthy public comment period to be added or changed. This process has the potential to subject questions to bias from the public and special interest groups.

### **Q:** Do you have examples of questions that we could ask?

A: Yes. The National Forum of State Nursing Workforce Centers, and the Federation of State Boards of Physical Therapy (FSBPT) have developed suggested Minimum Data Sets. Additionally, HRSA has developed MDS standards, and the WWAMI Center for Health Workforce Studies at the University of Washington has a questionnaire library containing data collection instruments volunteered by several states. The HWTAC is also planning to post selected instruments from states in 2016.

http://bhpr.hrsa.gov/healthworkforce/data/minimumdataset/

http://www.nursingworkforcecenters.org/minimum-dataset-surveys/

http://www.fsbpt.org/FreeResources/RegulatoryResources/MinimumDataSet.aspx

http://depts.washington.edu/uwchws/chws-questionnaire-library.php

# **Q:** I'm interested in allied health and administrative support workers. They're not always licensed. How do you count them?

A: For those professions, it may be necessary to conduct surveys, or rely on other data sources such as professional associations or the BLS, noting limitations as appropriate.

### **Q:** What staff and resources are needed to undertake health workforce data collection and analysis?

A: This depends on many different factors, such as how many health professionals you want to track, the method used to collect data (licensure, survey, continuous monitoring, secondary data), the types of deliverables for which you're accountable, and organization structure. If the data system is embedded within a larger organization, such as a university or state government office, it is likely that some administration, finance, and infrastructure resources are already available for basic operation. If the data system is a stand-alone organization, you will need to secure funding.

In terms of staff, you may consider having a director to guide the work, make decisions, present results and acquire funding; one or more project managers/researchers to analyze data, write reports and present results; and a data manager to collect, clean and analyze data. Other positions may include communications specialist, visualization specialist, research assistant, administrative assistant, grants manager, and financial manager.

Additional resources needed include computer hardware and software for data management, statistical analysis, GIS, and graphic design.

### **Q:** How do you fund health workforce data collection and analysis?

A: Data systems can be funded through state appropriations, private foundations, grants and contracts, and on a cost-recovery basis. Each funding mechanism has its challenges. State appropriations are tenuous; administrations and priorities change, and budgets get cut. Foundations are often geared to fund initiatives that show more tangible results. Grants are often time-limited. Cost-recovery is subject to demand for data and services, and limits the type of analyses and reports that you can do. Stakeholders who require data may be persuaded to fund the analysis costs to meet their specific needs, but they frequently are not willing or able to fund the fixed infrastructure costs. Consider the appropriate funding source for the specifics of your data collection effort, given the meaning and value of the project.



This resource brief was prepared by the Health Workforce Technical Assistance Center (HWTAC) staff , Katherine Gaul and David Armstrong. HWTAC is supported by the Health Resources and Services Administration (HRSA) of the US Department of Health and Human Services (HHS) under grant number U81HP26492.

Established to support the eff orts of HRSA's National Center for Health Workforce Analysis (NCHWA), HWTAC provides technical assistance to states and organizations that engage in health workforce planning. HWTAC conducts a number of initiatives each year designed to provide expert assistance with health workforce data collection, analysis, and dissemination. HWTAC is based at the Center for Health Workforce Studies (CHWS) at the School of Public Health, University at Albany, State University of New York, and was formed as a partnership between CHWS and the Cecil G. Sheps Center for Health Services Research at the University of North Carolina.

1 University Place, Suite 220 | Rensselaer, NY 12144-3445 (P) 518-402-2551 | (F) 518-402-0252



# 2015



# Inventory of State Health Workforce Data Collection



Center for Health Workforce Studies School of Public Health University at Albany, State University of New York

## Inventory of State Health Workforce Data Collection

September 2015



Center for Health Workforce Studies School of Public Health, University at Albany State University of New York 1 University Place, Suite 220 Rensselaer, NY 12144-3445

Phone: (518) 402-0250 Web: www.healthworkforceta.org Email: info@healthworkforceta.org

## **SUGGESTED CITATION**

Armstrong DP, Forte GJ, Moore J. *Inventory of State Health Workforce Data Collection*. Rensselaer, NY: Health Workforce Technical Assistance Center, Center for Health Workforce Studies, School of Public Health, SUNY Albany; September 2015.

# TABLE OF CONTENTS

BACKGROUND	1
METHODS	2
FINDINGS	4
HEALTH WORKFORCE SUPPLY DATA	4
HEALTH WORKFORCE DEMAND DATA	9
HEALTH WORKFORCE EDUCATIONAL PIPELINE DATA	13
DISCUSSION	16
CONCLUSION	17

## BACKGROUND

The Health Workforce Technical Assistance Center (HWTAC), under a cooperative agreement with the National Center for Health Workforce Analysis (NCHWA), provides technical assistance to states and organizations engaged in health workforce planning. In the last decade, there has been growing interest in developing health workforce data collection and monitoring systems in states. This is especially the case today, as health reform initiatives are implemented in nearly every state. In response to this interest, HWTAC is conducting a survey of states to learn more about their health workforce data collection on health workforce supply and demand, and the educational pipeline in specific U.S. states. For more detailed information about health workforce data collection activities taking place around the country, including contact information for the organizations responsible for collecting the data, please visit the HWTAC website at www.healthworkforceta.org.

# **METHODS**

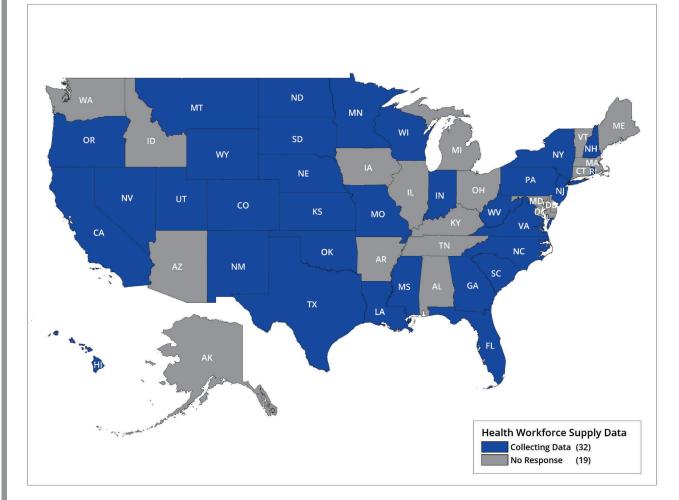
An online survey about health workforce data collection in U.S. states was developed in the fall of 2014 and pilot tested in early 2015. Invitations to complete the on-line survey were sent to all primary care offices, state nursing workforce centers, and other groups believed to be engaged in health workforce data collection. HWTAC staff followed up with non-respondents. The survey is ongoing and the state inventory is continually being updated as more responses are received.

## **FINDINGS**

Since the survey was launched, 40 organizations in 32 states have responded, indicating that they collect health workforce data. This number is expected to grow as organizations continue to respond to the survey. All responding organizations reported collecting health workforce supply data (eg, demographic educational and practice characteristics of health professionals). Fewer organizations reported collecting data on health workforce demand (eg, employer recruitment and retention difficulties) or the health workforce educational pipeline (eg, graduation rates and trainee/graduate characteristics). This report summarizes key findings from the survey related to data collection efforts in these 3 areas.

#### Health Workforce Supply Data

#### Organizations in 32 states collect health workforce supply data.



#### Figure 1. States Where Health Workforce Supply Data Collection Has Been Reported

State level health workforce supply data collection are most likely to target physicians [27 states], nurse practitioners (NPs) [23 states], dentists [22 states], and registered nurses (RNs) [22 states].

State	CRNA	DEN	DH	LPN	MDW	NP	PA	PHA	PHY	PSY	PT	RN	SW	OTH
California			√		✓	✓			✓			✓		
Colorado	✓	✓	√	✓	✓	$\checkmark$	✓	✓	$\checkmark$	✓		✓	✓	$\checkmark$
Florida	✓			✓	✓	✓						✓		
Georgia							√		✓					
Hawaii							✓		√					
Indiana	√	✓	√	√	√	√	✓	✓	✓	✓	√	√	√	$\checkmark$
Kansas				-					√					
Louisiana	✓	•			· √	· √	·		•			✓		$\checkmark$
		✓		<b>√</b>	✓ ✓		✓		√		√	, v		▼ ✓
Minnesota	<ul> <li>✓</li> </ul>					<b>√</b>			-		✓		~	~
Mississippi	✓	✓	✓	✓	✓	$\checkmark$	✓		✓			✓		
Missouri		✓				✓			✓					
Montana		$\checkmark$							$\checkmark$	$\checkmark$			$\checkmark$	
Nebraska	✓	✓			~	✓	~	✓	✓	✓	✓			✓
Nevada	✓	✓	✓	✓	✓	$\checkmark$	✓	$\checkmark$	$\checkmark$	✓	$\checkmark$	✓	✓	$\checkmark$
New Hampshire									√					✓
New Jersey	✓			✓	✓	✓						√		
New Mexico	√	√	√	√	✓	√	~	✓	✓	✓	✓	✓	✓	✓
New York		✓	✓		✓	✓	✓		$\checkmark$			✓		
North Carolina		√	√	✓	√	√		✓	√	✓	√	<ul> <li>✓</li> </ul>		✓
North Dakota	✓			✓	√	√						√		
Oklahoma		√							√					
Oregon	✓	· √	✓	✓	✓	✓	✓	✓	· √	✓	✓	✓	√	$\checkmark$
	•	✓ ✓	✓ ✓	✓ ✓	•	•	▼ √	v	• √	v	•	✓ ✓	•	•
Pennsylvania				v			v							
Rhode Island		<ul> <li>✓</li> </ul>	<ul> <li>✓</li> </ul>						✓			✓ ✓		
South Carolina	✓	✓	✓	✓	✓	✓	✓	✓	✓		✓	✓		✓
South Dakota	✓	✓	$\checkmark$	$\checkmark$		✓	✓	✓	✓		✓	✓		
Texas	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓	✓
Utah	$\checkmark$	✓		$\checkmark$	$\checkmark$	$\checkmark$	✓	$\checkmark$	✓	$\checkmark$	$\checkmark$	✓	$\checkmark$	$\checkmark$
Virginia	$\checkmark$	✓	$\checkmark$	$\checkmark$	✓	$\checkmark$	$\checkmark$	✓	$\checkmark$	✓	$\checkmark$	✓	✓	$\checkmark$
West Virginia	✓													
Wisconsin				✓			✓		√			✓		
Wyoming		✓	✓	✓		✓			✓	✓		✓	✓	$\checkmark$
Total	18	22	17	19	20	23	20	12	27	12	12	22	11	15
CRNA: Certified Registe								PHA:P					1	L
DEN: Dentists.									PHY: Physicians.					
DH: Dental Hygienists.								PSY: Psychologists.						
LPN: Licensed Practical Nurses.								PT: Physical Therapists.						
MDW: Nurse Midwives/Midwives.								RN: Registered Nurses.						
NP: Nurse Practitioners. SW: Social Workers.														
PA: Physician Assistants. OTH: Other Health Professions.														

 Table 1. Health Workforce Supply Data Collection by State and Profession

In 13 states health workforce data collection is mandatory. In 10 of those states, data collection is mandatory for all of the professions for which data are collected. In 3 states data collection is mandatory for a subset of professions for which data are collected (Missouri, New York, and Wisconsin.)

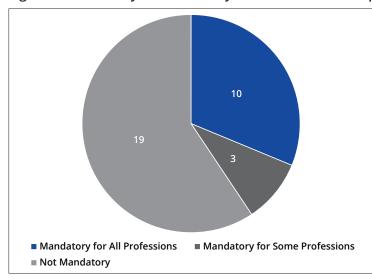


Figure 2. Mandatory and Voluntary Health Workforce Supply Data Collection

Data collection is most likely to be mandatory for the following professions: RNs [9 states], NPs [9 states], physicians [8 states], midwives [8 states], licensed practical nurses (LPNs) [8 states], and certified registered nurse anesthetists [8 states].

State	CRNA	DEN	DH	LPN	MDW	NP	PA	PHA	PHY	PSY	PT	RN	SW	OTH
Louisiana	✓				✓	✓						✓		✓
Mississippi	✓	✓	✓	✓	✓	✓	✓		✓			✓		
Missouri									~					
New Hampshire									~					✓
New Mexico	✓	✓	✓	✓	✓	~	~	✓	~	~	✓	✓	✓	✓
New York						~								
North Carolina		✓	~	✓	✓	~	~	✓	~	~	~	~		✓
North Dakota	✓			~	✓	✓						~		
Oregon	✓	✓	~	✓	✓	~	~	✓	~	~	~	~	✓	✓
South Carolina	✓	~	✓	✓	✓	✓	~	✓	✓		✓	~		✓
Texas	✓	~	~	~	✓	~	~	✓	~	~	~	~	~	✓
West Virginia	✓													
Wisconsin				~								~		
Total	8	6	6	8	8	9	6	5	8	4	5	9	3	7

In 26 states health workforce supply data are collected on a regular basis at the time of licensing/ relicensing. In most of these states health workforce supply data are only collected through a survey that is part of the licensing/relicensing process. However, a few states report different data collection strategies for different professions. Eight states report using a recurring survey that is not part of the licensing/relicensing process.

		-	_	-
State	A survey that is part of the	A survey that is not part of the licensing process	Telephone interviews	In-person interviews
	√	√	Interviews	Interviews
California				
Colorado	✓	✓		
Florida	✓			
Hawaii	✓			
Georgia	✓			
Indiana	✓			
Kansas	✓		~	
Louisiana	✓			
Minnesota	✓			
Mississippi	✓			
Missouri	✓			
Montana	✓	✓	$\checkmark$	✓
Nebraska		~		
Nevada	$\checkmark$			
New Hampshire	✓			
New Jersey	✓			
New Mexico	✓			
New York	✓			
North Carolina	✓			
North Dakota	✓			
Oklahoma		~		
Oregon	✓			
Pennsylvania	✓			
Rhode Island		✓		
South Carolina	✓			
South Dakota	✓			
Texas	✓			
Utah		✓		
Virginia	✓			
West Virginia		~		
Wisconsin	✓			
Wyoming			$\checkmark$	
Total	26	8	3	1

Table 3. Health Workforce Supply Data Collection Strategies by State

The most frequent supply variables collected are demographic characteristics (30 states), practice characteristics (30 states), and educational backgrounds (26 states). 25 states report collecting data on health professionals in all three of these categories.

	Demographic	Education	Practice	
State	Characteristics	Background	Characteristics	Other
California	~	✓	✓	
Colorado	✓	$\checkmark$	✓	$\checkmark$
Florida	✓	✓	✓	
Georgia	✓		✓	
Hawaii	✓			
Indiana	✓	$\checkmark$	✓	
Kansas	✓	✓	✓	
Louisiana	✓	$\checkmark$	✓	
Minnesota	✓	$\checkmark$	✓	$\checkmark$
Mississippi	$\checkmark$	$\checkmark$	✓	
Missouri	✓	$\checkmark$	✓	
Montana	✓	$\checkmark$	✓	
Nebraska	✓	$\checkmark$	✓	$\checkmark$
Nevada	✓		✓	
New Hampshire	$\checkmark$	$\checkmark$	✓	$\checkmark$
New Jersey	✓	$\checkmark$	✓	
New Mexico	✓	$\checkmark$	✓	
New York	✓	$\checkmark$	✓	
North Carolina	✓	$\checkmark$	✓	
North Dakota	✓	$\checkmark$	✓	$\checkmark$
Oklahoma	$\checkmark$		✓	
Oregon	✓	$\checkmark$	✓	$\checkmark$
Pennsylvania	✓	$\checkmark$	✓	
Rhode Island			✓	$\checkmark$
South Carolina	✓	$\checkmark$	✓	
South Dakota	$\checkmark$			
Texas	✓	$\checkmark$	✓	
Utah	$\checkmark$	$\checkmark$	✓	
Virginia	✓	$\checkmark$	✓	$\checkmark$
West Virginia		$\checkmark$	✓	
Wisconsin	✓	$\checkmark$	✓	
Wyoming	✓	$\checkmark$	✓	
Total	30	26	30	8

Table 4. Health Workforce Supply Data Collection by State and Type of Data

#### Health Workforce Demand Data

#### Organizations in 15 states collect health workforce demand data.

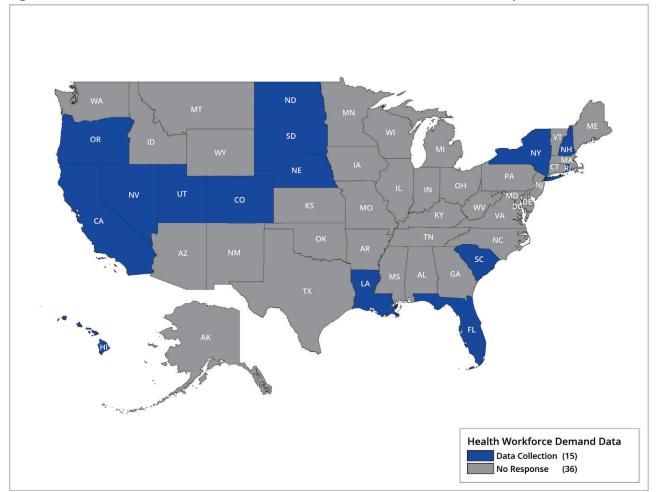


Figure 3. States Where Health Workforce Demand Data Collection Has Been Reported

Health workforce demand data collection most frequently targets RNs (10 states), physicians (9 states), NPs (9 states), and LPNs (9 states).

Health workforce demand data is collected on a regular basis in 14 states.

State	CRNA	DEN	DH	LPN	MDW	NP	PA	PHA	PHY	PSY	PT	RN	SW	OTH
California	✓			~	✓	~	~	✓				~	~	
Colorado		✓							~					
Florida	✓			~	~	~						~		
Hawaii							~		~					
Louisiana	✓			✓	~	~						~		
Nebraska							✓	✓	✓		✓			✓
Nevada	✓	✓	~	~	✓	~	~	~	~	✓	✓	~	~	✓
New Hampshire									~					
New York	✓	✓	~	~	~	~	~	~	~	✓	~	~	~	✓
North Dakota	✓			✓	✓	~						~		
Oregon	✓	✓	✓	✓	~	~	~	✓	~		✓	~		✓
Rhode Island									~					
South Carolina				✓	~	~						~		✓
South Dakota	✓	✓	~	✓		~	~	~	~		✓	~		
Utah												~		
Total	8	5	4	9	8	9	7	6	9	2	5	10	3	5

 Table 5. Health Workforce Demand Data Collection by State and Profession

Health workforce demand data are most likely to be collected for hospitals (10 states) and nursing homes (8 states).

State	Community Health Centers	Home Health Agencies	Hospitals	Nursing Homes	Other
California			$\checkmark$		
Colorado	✓				
Florida		$\checkmark$	✓	✓	✓
Hawaii					✓
Louisiana		$\checkmark$	✓	✓	
Nebraska					✓
Nevada			✓		✓
New Hampshire	✓	✓	✓	✓	
New York	✓	$\checkmark$	✓	✓	
North Dakota			✓	✓	✓
Oregon		✓	✓	✓	✓
Rhode Island	✓			✓	✓
South Carolina			✓		
South Dakota					✓
Utah	✓	$\checkmark$	$\checkmark$	✓	
Total	5	6	10	8	8

Table 6. Health Workforce Demand Data Collection by State and Setting

The most frequently collected demand data are on vacancies (11 states), recruitment difficulty (10 states), and turnover (9 states). In 4 states, information is also collected on retention difficulties.

State	Vacancies	Turnover	Recruitment Difficulty	Retention Difficulty	Other
California	$\checkmark$	$\checkmark$	✓		
Colorado	$\checkmark$	✓	✓	✓	
Florida	~	✓	~		$\checkmark$
Hawaii					✓
Louisiana	$\checkmark$	~	~		
Nebraska	✓		✓		
Nevada	$\checkmark$	✓	~	✓	
New Hampshire					$\checkmark$
New York	~	~	~	✓	$\checkmark$
North Dakota	~	~	~		
Oregon	~	~	~		
Rhode Island					✓
South Carolina	~				~
South Dakota					$\checkmark$
Utah	$\checkmark$	✓	~	✓	
Total	11	9	10	4	7

Table 7. Health Workforce Demand Data Collection by State and Type of Data

### Health Workforce Educational Pipeline Data

Organizations in 19 states collect information about the health workforce educational pipeline.

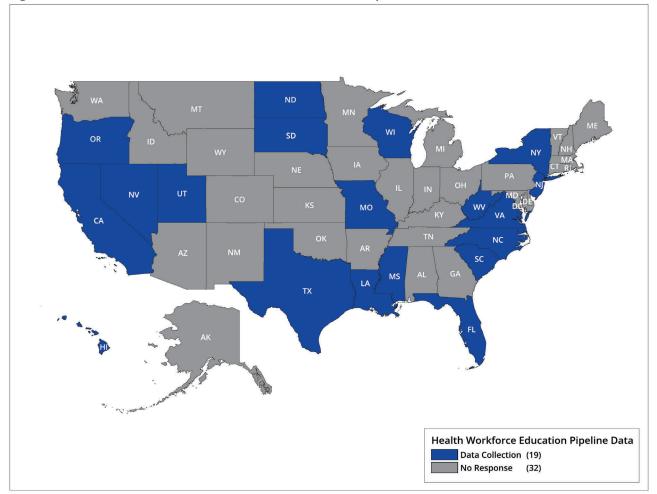


Figure 4. States in which Health Workforce Educational Pipeline Data Are Collected

Health workforce educational pipeline data are most likely to be collected for RNs (13 states), physicians (11 states), and LPNs (10 states).

#### Educational pipeline data collection is recurring in 17 states.

State	CRNA	DEN	DH	LPN	MDW	NP	PA	PHA	PHY	PSY	PT	RN	SW	OTH
California	✓				~	~						~		
Florida	✓			✓	~	✓						~		
Hawaii									~					
Louisiana	✓				✓	~						✓		
Mississippi		✓							~					
Missouri									~					
Nevada	✓	✓	~	✓	✓	~	~	✓	~	✓	✓	~	~	✓
New Jersey				✓								✓		
New York									~			~		
North Carolina									~					
North Dakota	✓			~		>						~		
Oregon		✓	~				~	✓	~	$\checkmark$	~		~	✓
South Carolina		✓	~	✓		~	~	✓	~		✓	~		✓
South Dakota	✓	<b>~</b>	✓	✓		<b>~</b>	~	✓	~		✓	✓		
Texas	✓	✓		✓	✓	~	~	✓		~	✓	~		
Utah	✓	$\checkmark$		✓	✓	~	~	✓		<ul> <li>Image: A start of the start of</li></ul>	✓	✓		
Virginia				✓								✓		
West Virginia									✓					$\checkmark$
Wisconsin				✓			~		~			~		
Total	8	7	4	10	6	9	7	6	11	4	6	13	2	4

Table 8. Health Workforce Education Pipeline Data Collection by State and Profession

Educational pipeline data are collected from education programs in 15 states and from individuals in training in 7 states.

Information is most likely collected about graduation rates (17 states), enrollment rates (15 states) and the demographic characteristics of trainees (13 states). In a few states data are collected about trainees' post-graduation plans (4 states) and job market experiences (1 state).

State	Graduation Rates	Enrollment Rates	Demographic Characteristics	Job Market Experiences	Graduation Plans	Other
California	Rates ✓	√		Experiences	FIGITS	Other
Florida	√	√	· · ·			✓
Hawaii	 ✓					
Louisiana	· · ·	√	✓			
Mississippi	· ·	· · · · · · · · · · · · · · · · · · ·	· · ·			
Missouri	√	✓ ✓	· · · · · · · · · · · · · · · · · · ·			
Nebraska		•	•			
Nevada	√	√	✓	✓	✓	•
	✓ ✓	 ✓	✓ ✓	v	•	
New Jersey New York	v √	v √	↓ ↓	✓	✓	
	v	*	v	•	•	
North Carolina			(			✓
North Dakota	✓	✓	✓			
Oregon	✓	√	✓			
South Carolina	✓	✓				
South Dakota	✓					
Texas						✓
Utah	✓	✓	✓			
Virginia	✓	✓	✓			✓
West Virginia	✓	√			✓	✓
Wisconsin	✓	√	✓		✓	
Total	17	15	13	2	4	6

Table 9. Health Workforce Education Pipeline Data Collection by State and Type of Data

# DISCUSSION

The Health Workforce Data Collection Inventory is an ongoing project. To date 40 organizations in 32 states have reported collecting health workforce data. The majority of organizations that are collecting data are state agencies and universities, nursing centers, and AHECs. Of the 18 states where no responses have been received, it is anticipated that some organizations are collecting data and others are planning to launch data collection efforts.

Every survey respondent to date indicated that they collect health workforce supply data (40); fewer organizations report collecting health workforce demand data (15) and education pipeline data (19). While in some states there are efforts to collect health workforce data on a wide array of health professions, the most likely professions are physicians and licensed nursing professions (eg, NPs, RNs, and LPNs).

# CONCLUSION

In order for health reform initiatives to succeed, there is an urgent need to better understand the supply and distribution of a state's health workforce. Lack of relevant and timely data on the health workforce is a significant barrier to the development of effective health workforce programs and policies to support improvements in the health care delivery system. Expanded, prompt collection of data about the health workforce is essential.

There is growing interest and activity among states to collect the health workforce data needed to inform effective health workforce planning. This HWTAC initiative aims to describe and routinely update workforce data collection efforts underway in states. The inventory is designed to be a resource for states, where they can learn from each other about best practices in data collection.

# The Health Workforce Minimum Data Set (MDS): What You Need to Know

David Armstrong, Jean Moore

Resource Brief, Jan. 2015

# What is the MDS?

Consistent data collection is needed for effective health workforce planning at both the state and national level. Basic health workforce supply data can be used to: describe the supply and distribution of health care professionals; build better supply and demand forecast models; and inform the development of health workforce programs and policies and evaluate their effectiveness.

The quality of current health workforce supply data is variable, however. There are substantial differences in the data being collected and there are issues of timeliness, completeness, and accuracy of the data. While many organizations collect data, "fundamental" questions are often asked differently; this lack of standardization limits comparability. To address these issues, NCHWA established the MDS which provides basic, minimum, consistent *guidelines* for fundamental health workforce survey questions. These questions are for use by all groups who collect data on the supply of health workers.

## What are the MDS Questions?

The MDS questions focus on characteristics in three key areas: demographics, education and practice.

Demographic Questions:

Birth date Gender Race/Ethnicity

#### **Optional Demographic Questions:**

State or Country of Birth Languages Spoken Fluently

**Education Questions:** 

Professional education, entry level, including degree, year and state/county Professional education, highest level, including degree, year and state/county (includes residency training) Licensure – type, initial year and state Specialty (as applicable) Certifications (as applicable)

#### **Optional Education Questions:**

*Name and location of educational institution(s)* 

### Practice Questions:

Employment status Number of positions Hours by activity Patient Care Research Teaching Administration Practice setting Practice location Clinical hours by location Title

**Optional Practice Questions:** 

Patients served (e.g., Medicaid, Medicare, etc.) Practice capacity Retirement plans

# Health Workforce Technical Assistance Center www.healthworkforceTA.org

# How can MDS data be used?

An important question for policy makers is how MDS data can be used to support health workforce planning efforts. MDS data can play a crucial role in health workforce planning if used effectively. It can help answer several important policy related questions including:

- What is the supply and distribution of the health workforce by setting and location?
- What is the primary care provider capacity in the state?
- What is the racial/ethnic diversity of a health profession in relation to the diversity of the population served?
- What are the characteristics of health professionals who practice in underserved communities?

# Before collecting data...

Before beginning data collection it is important to identify the research questions that the data must answer. HRSA's MDS questions are designed to provide the fundamental information needed for effective health workforce analysis. The MDS is not designed to answer every health workforce question posed. More detailed data are often needed for more complex health workforce analyses. Hence, it is imperative to identify key research questions that will be answered using these data and then design the data collection instruments with the workforce analysis plan in mind.

# **Useful Links**

HRSA's MDS Webpage: http://bhpr.hrsa.gov/healthworkforce/data/minim umdataset/index.html

Boulton, Matthew L., et al. "Public health workforce taxonomy." American Journal of Preventive Medicine 47.5 (2014): S314-S323. http://www.ajpmonline.org/article/S0749-3797(14)00382-1/pdf

2013 Statistical Profile of Certified Physician Assistants, An Annual Report of the National Commission on Certification of Physician Assistants

http://www.nccpa.net/Upload/PDFs/2013Statisti calProfileofCertifiedPhysicianAssistants-AnAnnualReportoftheNCCPA.pdf

# About

The primary objective of the Health Workforce Technical Assistance Center (HWTAC) is to support the efforts of the National Center for Health Workforce Analysis (NCHWA) by providing technical assistance to states and organizations engaged in health workforce planning. An important goal of NCHWA is to expand and improve health workforce data collection. NCHWA established the Health Professions Minimum Data Set (MDS) to facilitate this effort.

The Health Workforce Technical Assistance Center (HWTAC) is supported by the Health Resources and Services Administration (HRSA) of the U.S. Department of Health and Human Services (HHS) under grant number U81HP26492, a Cooperative Agreement for a Regional Center for Health Workforce Studies, in the amount of \$1,820,048. This content and conclusions are those of HWTAC and should not be construed as the official position or policy of, nor should any endorsements be inferred by HRSA, HHS or the U.S. Government.

# **MDS: PHYSICIANS**

# **Demographics**

Demographies
Month Day Year
1. Birth date
2. Sex: O Male O Female
3. Race (1 or more categories may be selected)—Recommended as Optional         O White       O Black or African American         O American Indian or Alaska Native       O Asian         O Native Hawaiian/Other Pacific Islander       O Other (specify)         The workgroup acknowledges that this is a condensed list and state boards may choose to use more detailed response sets (e.g., HHS Data Standards for Race and US Census Bureau Race Categories).
4. Ethnicity         Are you Hispanic, Latino/a, or of Spanish origin?         (1 or more categories may be selected)—Recommended as Optional         O No       O Yes, Mexican, Mexican American, Chicano/a         O Yes, Puerto Rican       O Yes, Cuban         O Yes, Another Hispanic, Latino/a, or of Spanish origin (specify)
<ul> <li>5. Do you speak a language other than English at home? (optional) <ul> <li>O Yes</li> <li>O No</li> </ul> </li> <li>6. What is this language? (if you answered Yes to #5) <ul> <li>O Spanish</li> <li>O Other Language (identify)</li></ul></li></ul>
Education & Training 6. Medical Education
<ul> <li>A. What is your medical degree?</li> <li>O M.D. O D.O. O M.B.B.S.</li> <li>B. What year did you complete your medical degree?</li> </ul>
C. Where did you complete your medical degree? O United States (specify state): Medical School Name O Foreign Country (specify):
7. Residency Training/Graduate Medical Education
<ul> <li>A. First Specialty Training</li> <li>Location (State)</li></ul>

Year Completed\_\_\_\_\_

### B. Subspecialty Training

- Location (State) \_
- Number of Years of Training\_\_\_\_\_\_
- Year Completed\_\_\_\_\_\_

#### C. Additional Training

- Location (State) \_
  - Number of Years of Training\_\_\_\_\_\_
  - Year Completed\_\_\_\_

### 8. Training and Certification

#### Completed Accredited

Res	idency Progra	am / Fellowship	Board Certified
Principal Specialty Secondary Specialty	O Yes O Yes	O No O No	O Yes O Yes

# **Practice Characteristics**

#### 9. What is your employment status?

- O Actively working in a position that requires a medical license
- O Actively working in a field other than medicine
- O Not currently working
- O Retired

# 10. Are you currently providing direct clinical or patient care on a regular basis?

- O Yes
- O No

#### 11. If no, how many years has it been since you provided clinical or patient care?

- O Less than 2 years
- O 2 to 5 years
- O 5 to 10 years
- O More than 10 years
- 12. Which of the following best describes the area(s) of practice in which you spend most of your professional time:

Area of Practice	Principal	Secondary	Completed Accredited Residency Program or Fellowship
Adolescent Medicine	0	0	0
Anesthesiology	0	0	0
Allergy and Immunology	0	0	0
Cardiology	0	0	0
Child Psychiatry	0	0	0
Colon and Rectal Surgery	0	0	0
Critical Care Medicine	0	0	0
Dermatology	0	0	0
Endocrinology	0	0	0
Emergency Medicine	0	0	0
Family Medicine/General Practice	0	0	0
Gastroenterology	0	0	0
Geriatric Medicine	0	0	0
Gynecology Only	0	0	0

O No O No

Hematology & Oncology	0	0	0
Infectious Diseases	0	0	0
Internal Medicine (General)	0	0	0
Nephrology	0	0	0
Neurological Surgery	0	0	0
Neurology	0	0	0
Obstetrics and Gynecology	0	0	0
Occupational Medicine	0	0	0
Ophthalmology	0	0	0
Orthopedic Surgery	0	0	0
Other Surgical Specialties	0	0	0
Otolaryngology	0	0	0
Pathology	0	0	0
Pediatrics (General)	0	0	0
Pediatrics Subspecialties	0	0	0
Physical Med. & Rehab.	0	0	0
Plastic Surgery	0	0	0
Preventive Medicine/Public Health	0	0	0
Psychiatry	0	0	0
Pulmonology	0	0	0
Radiation Oncology	0	0	0
Radiology	0	0	0
Rheumatology	0	0	0
Surgery (General)	0	0	0
Thoracic Surgery	0	0	0
Urology	0	0	0
Vascular Surgery	0	0	0
Other Specialties	0	0	0

13. Which of the following categories best describes your primary and secondary practice or work setting(s) where you work the most hours each week?

Practice Setting	Principal	Secondary
Office/Clinic—Solo Practice	0	0
Office/Clinic—Partnership	0	0
Office/Clinic—Single Specialty Group	0	0
Office/Clinic—Multi Specialty Group	0	0
Hospital-Inpatient	0	0
Hospital—Outpatient	0	0
Hospital—Emergency Department	0	0

Hospital—Ambulatory Care Center	0	0
Federal Government Hospital	0	0
Research Laboratory	0	0
Medical School	0	0
Nursing Home or Extended Care Facility	0	0
Home Health Setting	0	0
Hospice Care	0	0
Federal/State/Community Health Center(s)	0	0
Local Health Department	0	0
Telemedicine	0	0
Volunteer in a Free Clinic	0	0
Other (specify):	0	0

- 14. How many weeks did you work in medical related positions in the past 12 months? \_\_\_\_
- 15. For all medical related positions held in (insert state name), indicate the average number of hours per week spent on each major activity:

hours/week
hours/week

Another approach to obtaining this information would be to ask licensees: (1) number of weeks worked in the past 12 months, (2) average number of hours worked per week, and (3) the percentage of time per week spent on each major activity (e.g., clinical or patient care, research etc.).

16. What is the location of the site(s) where you spend most of your time providing <u>direct</u> clinical or patient care? Please enter the complete address for up to three locations and your direct patient care hours per week at each site.

(The workgroup strongly recommends collecting full addresses if all possible, but zip codes only would be acceptable for a minimal data set.) Principal Location Address

Number	Street	
City/Town	State	Zip Code:

Direct patient care hours per week at site:

#### Second Location Address

Number	Street

City/Town State Zip Code:

Direct patient care hours per week at site: \_\_\_\_\_

#### Third Location Address

Number Street

City/Town State Zip Code:

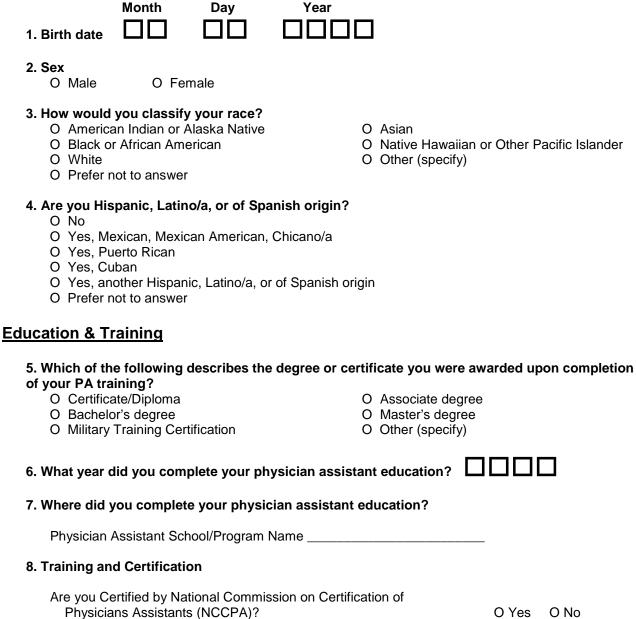
Direct patient care hours per week at site: \_\_\_\_\_

developed by the nccPA Health Foundation and the National Commission on Certification of Physician Assistants (NCCPA)

# MDS: PHYSICIAN ASSISTANTS\*

# **REQUIRED ITEMS**

## Demographics



O No Have you completed Physician Assistant Post-Graduate Training?

-		-		-		
Have you	complete	d Special	ty Certification?		O Yes	O No

O Native Hawaiian or Other Pacific Islander

O Yes O No

developed by the nccPA Health Foundation and the National Commission on Certification of Physician Assistants (NCCPA)

# **Practice Characteristics**

#### 9. What is your employment status? (mark all that apply)

- O Actively working in a position that requires a physician assistant license
- O Actively working in a field other than physician assistant
- O Not currently working
- O Retired

# 10. Please indicate which of the following best describes the area of practice of your supervising physician(s) in your principal and secondary clinical position(s). (Check all that apply)

Adolescent Medicine Anesthesiology Critical Care Medicine Dermatology Emergency Medicine Family Medicine/General Practice	Principal Practice O O O O O O O	Secondary Practice O O O O O O O
General Pediatrics Gynecology Only Hospital Medicine (Hospitalist) Internal Medicine – General Practice		
Internal Medicine – Subspecialties Allergy and Immunology Cardiology Endocrinology Gastroenterology Geriatrics Hematology Infectious Disease Nephrology Oncology Pulmonology Pulmonology Rheumatology Sports Medicine Neurology Obstetrics and Gynecology Occupational Medicine Ophthalmology Otolaryngology Pathology Pediatric Subspecialties Physical Medicine/Rehabilitation		
Preventive Medicine/Public Health Psychiatry Radiation Oncology Radiology Surgery - General		0 0 0 0 0

developed by the nccPA Health Foundation and the National Commission on Certification of Physician Assistants (NCCPA)

Surgery - Subspecialties Cardiothoracic	0 0 0	0 0 0
Colon and rectal Gynecology and obstetrics	0	0
Gynecologic oncology	0	0
Neurologic	0	0
Ophthalmic	0	0
Oral and maxillofacial	0	0
Orthopedic	0	0
Otorhinolaryngology	0	0
Pediatric	0	0
Plastic and maxillofacial	0	0
Urology	0	0
Vascular	0	0
Other	0	0

# 11. Which of the following best describes the type of practice setting in which your principal and secondary clinical PA position(s) are located?

	Principal	Secondary
Office-based private practice	•	-
Solo practice	0	0
Single specialty physician group	0	0
Multi-specialty physician group	0	0
<u>Hospital (non-VA, non-government)</u>		
Inpatient department	0	0
Outpatient department	0	0
Emergency department	0	0
Ambulatory surgical center	0	0
Behavioral/mental health facility	0	0
Community Health Center (Federally Qualified Health Center)	0	0
Federal Government facility/hospital/unit		
Bureau of Prisons (BOP)	0	0
Indian Health Service (IHS)	0	0
Public Health Service (PHS)	0	0
United States Military	0	0
Veterans Administration (VA)	0	0
Rural health clinic	0	0
Home health care agency	0	0
Extended care facility (non-hospital)/nursing home	0	0
Hospice	0	0
Occupational health setting	0	0
Public or community health clinic (non-federally qualified)	0	0
Rehabilitation facility	0	0
School-based or college-based health center or school clinic	0	0
Free clinic	0	0
Other setting (specify):	0	0

# 12. Please enter the zip code and the number of hours you work in a typical week at your principal and secondary clinical position(s).

developed by the nccPA Health Foundation and the National Commission on Certification of Physician Assistants (NCCPA)

Principal practice site
Zip Code of practice site:
Hours worked at site:

Secondary Practice Site (if applicable)

Zip Code of practice site:

Hours worked at site:

#### ALTERNATE 12

12. Direct Patient Care: Practice Locations Please enter the location of the sites of your principal and secondary clinical positions:

### **Principal Practice Site**

Zip Code

Number	Street	
City/Town	State	
Zip Code		
Secondary P	ractice Site (if applicable)	
Number	Street	
City/Town	State	

# 13. During the regular hours of a typical work week, what number of hours do you spend on the following activities at your principal and secondary clinical position(s)? (Totals should add to hours worked as provided for question 12.)

Direct, face-to-face patient care (inpatient)	Principal	Secondary
Direct, face-to-face patient care (outpatient)		
Indirect (collateral) patient care (e.g., phone calls, reviewing labs, charting)		
Administration (e.g., of own practice, hospital committees)		
Teaching/precepting		
Continuing education (e.g., courses, journal reading, video		

developed by the nccPA Health Foundation and the National Commission on Certification of Physician Assistants (NCCPA)

and audiotapes	
Research	
Activities related to quality improvement or patient safety	
Volunteerism	
Other activities (please specify: )	

14. Do you have a National Provider Identification (NPI) number?

O No O Yes If yes, NPI number:	
--------------------------------	--

- 15. Are you planning to leave your principal clinical PA position in the next 12 months?
  - O Yes
  - O No

FINAL MDS: Physician Assistants developed by the nccPA Health Foundation and the National Commission on Certification of Physician Assistants (NCCPA)

# **RECOMMENDED, BUT OPTIONAL ITEMS**

A. Which of the following best describes your current employment arrangement at your principal practice location?

- O Self employed
- O Salaried employment
- O Hourly employment
- O Locum tenens
- O Other (specify):

B. Number of Physicians at each practice location:



C. Do you personally communicate with patients in a language other than English? Ο

Yes	0	No
-----	---	----

If yes – What language(s)? \_\_\_\_\_

\*Note: This MDS reflects the incorporation of MDS-based questions approved by and used in the NCCPA Professional Profile.

# Nursing Supply Minimum Data Set

- 1. Jurisdiction
- 2. License Number
- 3. First Name \_\_\_\_\_
- 4. Last Name \_\_\_\_\_
- 5. What is your gender?
  - a. Male
  - b. Female
- 6. What is your race/ethnicity? (Mark all that apply)
  - a. American Indian or Alaska Native
  - b. Asian
  - c. Black/African American
  - d. Native Hawaiian or Other Pacific Islander
  - e. White/Caucasian
  - f. Hispanic/Latino
- 7. What is your date of birth?



- 8. What type of nursing degree/credential qualified you for your first U.S. nursing license?
  - a. Vocational/Practical certificate-nursing
  - b. Diploma-nursing
  - c. Associate degree-nursing
  - d. Baccalaureate degree-nursing
  - e. Master's degree-nursing
  - f. Doctoral degree-nursing
- 9. What is the name of the school (education program) you graduated from that qualified you for your first U.S. RN license?

10. In what city and state was this education program located?

City

State

11. What is your highest level of education?

- a. Vocational/Practical certificate-nursing
- b. Diploma-nursing
- c. Associate degree-nursing
- d. Associate degree-other field
- e. Baccalaureate degree-nursing
- f. Baccalaureate degree-other field
- g. Master's degree-nursing
- h. Master's degree-other field
- i. Doctoral degree-nursing
- j. Doctoral degree-other field
- 12. What type of license do you currently hold?
  - a. RN
  - b. LPN
  - c. Advanced Practice RN license (include all advanced license statuses in your state)
- 13. What is the status of the license currently held?
  - a. Active
  - b. Inactive
- 14. Are you currently licensed/certified as a...
  - a. Nurse Practitioner
  - b. Clinical Nurse Specialist
  - c. Certified Registered Nurse Anesthetist
  - d. Certified Nurse Midwife
  - e. Not licensed/certified as any of the above
- 15. What is your employment status? (Mark all that apply)
  - a. Actively employed in nursing
    - i. Yes
      - 1. Full-time
      - 2. Part-time
      - 3. Per diem
    - ii. No
  - b. Actively employed in a field other than nursing
    - i. Yes
      - 1. Full-time
      - 2. Part-time
      - 3. Per diem
    - ii. No
  - c. Working in nursing only as a volunteer
  - d. Unemployed
    - i. Seeking work as a nurse
    - ii. Not seeking work as a nurse
  - e. Retired
- 16. If unemployed, please indicate the reasons.

MDS: Nursing

developed by the National Forum of State Nursing Workforce Centers and the National Council of State Boards of Nursing (NCSBN)

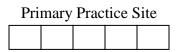
- a. Taking care of home and family
- b. Disabled
- c. Inadequate Salary
- d. School
- e. Difficulty in finding a nursing position
- f. Other

17. In how many positions are you currently employed as a nurse?

- a. 1
- b. 2
- c. 3 or more
- 18. How many hours do you work during a typical week in all your nursing positions?



19. Please indicate the state and zip codes of your primary and secondary employer and total hours worked at each position.



Seco	ondar	y Pra	octice	Site	

Total Hours Worked this Site



Total Hours Worked this Site

- 20. Please identify the type of setting that most closely corresponds to your primary nursing practice position.
  - a. Hospital
  - b. Nursing Home/Extended Care/Assisted Living Facility
  - c. Home Health
  - d. Correctional Facility
  - e. Academic Setting
  - f. Public Health
  - g. Community Health
  - h. School Health Service
  - i. Occupational Health
  - j. Ambulatory Care Setting
  - k. Insurance Claims/Benefits
  - 1. Policy/Planning/Regulatory/Licensing Agency
  - m. Other

21. Please identify the position title that most closely corresponds to your primary nursing

practice position.

- a. Consultant/Nurse Researcher
- b. Nurse Executive
- c. Nurse Manager
- d. Nurse Faculty
- e. Advanced Practice Nurse
- f. Staff Nurse
- g. Other-Health Related
- h. Other-Not Health Related

22. Please identify the employment specialty that most closely corresponds to your primary nursing practice position.

- a. Acute care/Critical Care
- b. Adult Health/Family Health
- c. Anesthesia
- d. Community
- e. Geriatric/Gerontology
- f. Home Health
- g. Maternal-Child Health
- h. Medical Surgical
- i. Occupational health
- j. Oncology
- k. Palliative Care
- 1. Pediatrics/Neonatal
- m. Public Health
- n. Psychiatric/Mental Health/Substance Abuse
- o. Rehabilitation
- p. School Health
- q. Trauma
- r. Women's Health
- s. Other

23. Please identify the type of setting that most closely corresponds to your secondary nursing practice position.

a. Hospital

- b. Nursing Home/Extended Care/Assisted Living Facility
- c. Home Health
- d. Correctional Facility
- e. Academic Setting
- f. Public Health
- g. Community Health
- h. School Health Service
- i. Occupational Health
- j. Ambulatory Care Setting
- k. Insurance Claims/Benefits
- 1. Policy/Planning/Regulatory/Licensing Agency
- m. Other

### n. No Secondary Practice Position

24. Please identify the position title that most closely corresponds to your secondary nursing practice position.

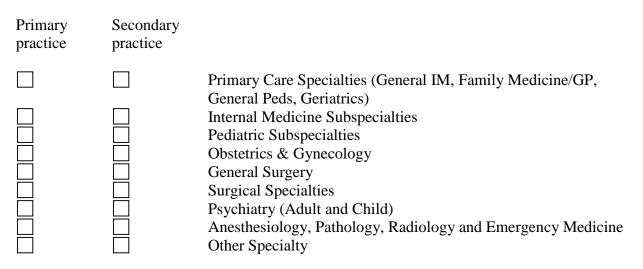
- a. Consultant/Nurse Researcher
- b. Nurse Executive
- c. Nurse Manager
- d. Nurse Faculty
- e. Advanced Practice Nurse
- f. Staff Nurse
- g. Other-Health Related
- h. Other-Not Health Related
- i. No Secondary Practice Position
- 25. Please identify the employment specialty that most closely corresponds to your secondary nursing practice position.
  - a. Acute care/Critical Care
  - b. Adult Health/Family Health
  - c. Anesthesia
  - d. Community
  - e. Geriatric/Gerontology
  - f. Home Health
  - g. Maternal-Child Health
  - h. Medical Surgical
  - i. Occupational health
  - j. Oncology
  - k. Palliative Care
  - 1. Pediatrics/Neonatal
  - m. Public Health
  - n. Psychiatric/Mental Health/Substance Abuse
  - o. Rehabilitation
  - p. School Health
  - q. Trauma
  - r. Women's Health
  - s. Other
  - t. No Secondary Practice Position
- 26. Please list all states in which you hold an active license to practice as an RN or LPN/VN:

27. Please list all states in which you are currently practicing:

28. In what country did you receive your entry-level education?

29. <u>If you are licensed/certified/recognized as a Nurse Practitioner or Nurse Midwife</u>, indicate the specialty of the physicians(s) with whom you have a practice. If you have your own practice, please select the specialty that best describes your practice.

Indicate ONE primary practice specialty and ONE secondary practice specialty, if applicable, from the list below:



# **Glossary of Operational Definitions**

Active - a license that is up to date on all licensure and/or renewal requirements

Certified Nurse Midwife (CNM) – an RN who through a formal post-basic education program has developed expertise in the specialty area of midwifery and who possesses evidence of certification according to the requirements of the American College of Nurse-Midwives.

Certified Registered Nurse Anesthetist (CRNA) – an RN who through a formal post-basic education program has developed expertise in the specialty area of anesthesiology and who possesses evidence of certification according to the requirements of the Council on Certification of Nurse Anesthetists or its predecessor

Clinical Nurse Specialist (CNS) - an RN who through a formal post-basic education program has developed expertise within a specialty area of nursing practice. In addition to the delivery of direct patient/client care, the role may include consultative, educational, research, and/or administrative components. Certification and/or state recognition may be required for practice as a CNS.

Employed in nursing – A nurse who receives compensation for work that requires licensure and/or educational preparation as a nurse.

Full-time – An individual employed for a full work week as defined by the employer.

Highest level of education – the highest degree obtained; in the event that a person holds the same level of education in two different fields (ex. a master's in nursing and a master's in education) the nursing degree should be selected

Inactive (in regard to licensure) - A license that was not renewed or a license placed on inactive status at the request of the licensee.

LPN – (or LVN) Licensed Practical Nurse or Licensed Vocational Nurse – An individual who holds a current license to practice as a practical or vocational nurse in at least one jurisdiction of the United States.

Nurse Practitioner - an RN prepared in a formal, post-basic nurse practitioner program, who functions in an independent primary health care provider role addressing the full range of patient's/client's health problems and needs within an area of specialization. Certification and/or state recognition may be required for practice as an NP.

Part-time - An individual employed less than full time or less than a full work week, as defined by the employer.

Per diem – an arrangement wherein a nurse is employed directly on an as needed basis, less than part-time as defined by the facility and usually has no benefits.

Primary Position – The position at which you work the most hours during your regular work year.

RN - Registered Nurse (RN) An individual who holds a current license to practice within the

scope of professional nursing in at least one jurisdiction of the United States.

Secondary Position – The position at which you work the second greatest number of hours during your regular work year.

Employment Setting - The setting in which nursing personnel provide nursing services See examples below:

Hospital (Exclude nursing home units in hospitals but include all clinics and other services of the hospital) Non-federal, short-term hospital (for example, acute care hospital) Non-federal, long-term hospital Non-federal psychiatric hospital (for example, state mental hospital) Federal government hospital Other type of hospital

Nursing Home/Extended Care Facility Nursing home unit in hospital Freestanding skilled nursing facility (nursing home) Facility for mentally retarded Inpatient hospice Other type of extended care facility

Home Health Health care (including hospice care) provided in the patient's home

Correctional Facility Jail or prisons

Academic Setting LPN/LVN program Diploma program (RN) Associate degree program (RN) Baccalaureate and/or higher degree nursing program (RN) Other

Public Health Official state health department Official state mental health agency Official city or county health department

Community Health Combination (official/voluntary) nursing service Visiting nurse service (VNS/VNA) Other home health agency Community mental health center Community/neighborhood health center Planned parenthood/family planning center Day care center

September 1, 2013 NCSBN Supply MDS

Rural health center Retirement community center

School Health Service Board of education (public school system) Private or parochial elementary or secondary school College or university Other

Occupational Health (Employee Health Service) Private industry Government Other

Ambulatory Care Setting Employee (e.g., Physician/Dentist office) Solo practice (physician) Solo practice (nurse) Partnership (one or more physicians) Partnership (one or more nurses) Group practice (physicians) Group practice (nurses) Partnership or group practice (mixed group of professionals) Freestanding clinic (physicians) Freestanding clinic (nurses) Ambulatory surgical center (non-hospital-based) Dental practice Health Maintenance Organization (HMO)

Insurance Claims/Benefits Insurance Company

Policy/Planning/Regulatory/Licensing Agency Central or regional office of Federal agency State Board of Nursing Health planning agency Nurse Workforce Center

Other Nursing or health professional membership association Medical supplier (e.g., Drug Company, equipment, etc.) Other

Employment position/position title – the position an individual holds at their place of employment

Advanced Practice Nurse - An umbrella classification (not an intended title, per se) for the purpose of regulation. Individuals are licensed as Advanced Practice Nurses in the categories of Nurse Practitioner, Certified Registered Nurse Anesthetist, Certified Nurse-Midwife and Clinical Nurse Specialist. Each individual who practices nursing at an advanced level does so with

substantial autonomy and independence and a high level of accountability. The scope of practice in each of the advanced roles is distinguishable from the others. Each jurisdiction establishes the legal scope of practice for each role.

Consultant/Nurse Researcher - An individual who conducts research in the field of nursing

Nurse Executive - involved with management and administration concerns. They provide leadership roles in the designing of care, the planning and developing of procedures and policies, and administration of budgets in hospitals, health clinics, nursing homes, and ambulatory care centers.

Nurse Manager - An individual who has line management position with 24-hour accountability for a designated patient care services which may include operational responsibility for patient care delivery, fiscal and quality outcomes.

Nurse Faculty – An individual employed by a school of nursing or other type of nursing education

program; nurse faculty are generally involved in teaching, research and service.

Staff Nurse – a nurse in direct patient care who is responsible for the treatment and well-being of patients

Employment Specialty - the specific area in which a nurse is specialized or practices

Acute care/Critical Care – nurses in this specialty provide care to patients with acute conditions. They also provide care to pre- and post-operative patients

Anesthesia – nurses in this specialty provide care to patients receiving anesthesia during operative procedures

Community – nurses in this specialty provide health care services that focus on both treatment and prevention for all members of the community.

Geriatric/Gerontology – nurses in this specialty provide the special care needed in rehabilitating and maintaining the mental and physical health of the elderly.

Home Health - nurses in this specialty provide care for people in their homes, such as those recovering from illness, an accident, or childbirth

Maternal-Child Health – nurses in this specialty provide medical and surgical treatment to pregnant women and to mother and baby following delivery

Medical/Surgical – nurses in this specialty provide diagnostic and therapeutic services to acutely ill patients for a variety of medical conditions, both surgical and non-surgical

Occupational health - nurses in this specialty provide on-the-job health care for the nation's workforce, striving to ensure workers' health, safety, and productivity

Oncology – nurses in this specialty provide care and support for patients diagnosed with cancer.

Palliative Care - nurses in this specialty provide sensitive care and pain relief to patients in the final stages of life. They protect patients from unnecessary, painful therapies, and often provide care at home, in order to maximize meaningful time patients can spend with family and loved ones.

Pediatrics/Neonatal – nurses in this specialty provide care and treatment to young patients ranging in age from infancy to late teens; provide care and support for very sick or premature newborn babies

Public Health - nurses in this specialty provide population -based community services

Psychiatric/Mental Health/Substance Abuse - nurses in this specialty aid and support the mental health of patients with acute or chronic psychiatric needs.; pain management nurses who help regulate medications and provide care for those addicted to drugs or alcohol, or who are suffering from other types of substance abuse.

Rehabilitation – nurses in this specialty provide physical and emotional support to patients and the families of patients with illnesses or disabilities that affect their ability to function normally and that may alter their lifestyle.

School Health – nurses in this specialty are dedicated to promoting the health and well being of children of all ages in an academic environment.

Trauma - nurses in this specialty provide emergency care to patients of all ages. These nurses work to maintain vital signs and prevent complications and death.

Women's Health – nurses in this specialty provided care for women across the life cycle with emphasis on conditions that are particular to women

Sources: "Definitions" Interagency Collaborative on Nursing Statistics (ICONS) http://www.iconsdata.org/definitions.htm

"Nursing Careers" Discover Nursing. http://www.discovernursing.com/nursing-careers

Healthy People 200, "Healthy People in Healthy Communities." http://www.healthypeople.gov/Publications/HealthyCommunities200/healthycom0hk.pdf FINAL MDS: Substance Abuse/Addiction Counselors developed by the International Certification & Reciprocity Consortium (IC&RC) and NAADAC, the Association for Addiction Professionals

# MDS: SUBSTANCE ABUSE/ADDICTION COUNSELORS

# **Demographics**

Yea	r	
1. Birth date		
2. Sex: O Male	O Female	
<b>3. Race/Ethnicity</b> (mark O American Indian O Black or African O Native Hawaiian O Prefer not to ans	or Alaska Native American or Other Pacific Islander	O Asian O Hispanic/Latino of any race O White/Caucasian
Education & Training		
4. Do you currently ho	old an addiction counseling ce	ertification?
O Yes O	No	
5. What year did you a	attain your addiction counseli	ng certification?
6. Do you currently ho	old an addiction counseling lic	ense?
O Yes O	No	

7. What year did you attain your addiction counseling license?

- 8. Please mark all counseling certifications you currently hold.
  - O Certified Alcohol and Drug Counselor (CADC)
  - O Certified Advanced Alcohol and Drug Counselor (CAADC)
  - O Certified Clinical Supervisor (CCS)
  - O Certified Advanced Alcohol and Drug Counselor (CAADC)
  - O Certified Prevention Specialist (CPS)
  - O Certified Criminal Justice Addictions Professional (CCJP)
  - O Certified Co-Occurring Disorders Professional (CCDP)
  - O Certified Co-Occurring Disorders Professional Diplomate (CCDPD)
  - O National Certified Counselor (NCC)
  - O National Certified Addiction Counselor I
  - O National Certified Addiction Counselor II
  - O Master Addictions Counselor (MAC)
  - O Certified Clinical Mental Health Counselor (CCMHC)
  - O National Certified School Counselor (NCSC)
  - O None
  - O Other (please specify; include state-specific and non-reciprocal credentials): \_\_\_\_\_

### 9. Where did you obtain your addiction counseling certification or license?

State (postal abbreviation)

пппп

developed by the International Certification & Reciprocity Consortium (IC&RC) and NAADAC, the Association for Addiction Professionals

#### 10. What is your highest level of education you have completed?

- O High school diploma/GED
- O Associate degree
- O Bachelor's degree

- O Master's degree
- O Doctoral degree

11. What year did you complete your highest level of education?

12. Where did you complete your highest level of education?

State (postal abbreviation)		

### Practice Characteristics

#### 13. What is your employment status? (mark all that apply)

- O Actively working in a substance abuse/addiction counseling position that <u>requires</u> a substance abuse/addiction counseling license/certification
- O Actively working in a substance abuse/addiction counseling position that <u>does not require</u> a substance abuse/addiction counseling license/certification
- O Actively working in a field other than substance abuse/addiction counseling
- O Not currently working
- O Retired

14. For all positions held, indicate the average <u>number of hours spent per week</u> (excluding call) on each substance abuse/addiction counseling major activity:

Direct Patient care	Clinical Supervision			Other	Total hours

OPTIONAL14B. For all direct patient care, indicate the average <u>number of hours spent per week</u> (excluding call) on each major activity:

Assessment / Evaluation	
Medication prescription and management:	
Treatment:	

- **15. Did you work part-time or full time as a substance abuse/addiction counselor in the past year:** O Full-time O Part-time
- 16. Do you have a National Provider Identification (NPI) number?

O No O Yes:	
-------------	--

\*\*The remaining items should be completed only by substance abuse/addiction counselors

developed by the International Certification & Reciprocity Consortium (IC&RC) and NAADAC, the Association for Addiction Professionals

### practicing direct patient care.\*\*

#### 17. Direct Patient Care: Practice Locations

What is the location of sites where you spend the most time providing direct patient care:

Principal practice site	Secondary Practice Site (if applicable)
Zip Code of practice site:	Zip Code of practice site:
Direct care hours at site*:	Direct care hours at site*:

#### ALTERNATE

#### **17. Direct Patient Care: Practice Locations**

What is the location of sites where you spend the most time providing addiction counseling:

#### **Principal** Location Address

Number	Street	
City/Town	State	
Zip Code		

#### Secondary Location Address (if applicable)

Number	Street	
City/Town	State	

Zip Code			
Zip Code			

developed by the International Certification & Reciprocity Consortium (IC&RC) and NAADAC, the Association for Addiction Professionals

# 18. Which best describes the type of setting that most closely corresponds to your principal and secondary (if applicable) <u>direct patient care</u> practice location(s): (Select One)

	Principal	Secondary
Specialized substance abuse outpatient treatment facility		
Community health center	0	0
Mental health clinic	0	0
Methadone clinic	0	0
Primary or specialist medical care	0	0
Child welfare	0	0
Criminal justice	0	0
Hospital		
Federal Government hospital	0	0
Non-federal hospital: Inpatient	0	0
Non-federal hospital: General Medical	0	0
Non-federal hospital: Psychiatric	0	0
Non-federal hospital: Other - e.g. nursing home unit	0	0
Private practice	0	0
Rehabilitation	0	0
Detox	0	0
Residential setting	0	0
Recovery support services	0	0
School health service	0	0
Faith-based setting	0	0
Other setting (specify):	0	0

### 19. What best describes your employment plans for the next 12 months?

- O Increase hours
- O Decrease hours
- O Seek non-clinical job
- O Retire
- O No change
- O Seek career advancement
- O Move to a different career
- O Unknown

# OPTIONAL

# 20. Is your principal practice site formally affiliated with a network of other practices or health providers?

- O No
- O Yes, Staff Model HMO
- O Yes, Medical-Hospital Organization
- O Yes, Independent Practice Association
- O Yes, Federally Qualified Health Center
- O Yes, other:

# 21. Which of the following best describes your current employment arrangement at your principal practice location?

- O Self employed
- O Salaried employment
- O Hourly employment
- O Temporary
- O Other (specify): \_\_\_\_\_

developed by the International Certification & Reciprocity Consortium (IC&RC) and NAADAC, the Association for Addiction Professionals

### 22. Number of substance abuse/addiction counselors at each practice location:

Principal		Secondary	
-----------	--	-----------	--

- 23. Are you able to communicate with patients in a language other than English?
  - O Yes O No

If yes – What language(s)? \_\_\_\_\_

# State-Level Health Workforce Data Collection, Analysis, and Dissemination: An Introduction

Katie Gaul, Erin Fraher

Resource Brief, Feb. 2015

# Background

With the transformation of the U.S. health care delivery system, states are more motivated to collect timely, objective, and comprehensive state-level health workforce data because policymakers and stakeholders lack the basic information needed to answer questions about the supply, demand, and distribution of health professionals. For example, states often ask<sup>1</sup>:

- How many health professionals do we currently have and in what settings and places do they work?
- For what types of health professions and in what settings/places will demand for their services outpace supply?
- How many nurses do we currently have and how many diploma and associate degree nurses go on to pursue an advanced degree?
- Are we retaining the health professionals that we train in our state?
- Are health professionals serving in the geographic areas, specialties, and practice settings where they are needed most?
- How can we retool our education and regulatory systems to meet the needs of a rapidly changing health care system?

This brief addresses common challenges facing states that are interested in using health workforce data to inform state health workforce policy decisions. It is relevant to states that are just beginning to collect health workforce data, as well as states that have workforce data collection efforts underway but wish to go further in using them to support policy decisions.

# Starting a Data System

### **Opportunities**

While many health profession labor markets are local, most policy levers affecting the training and deployment of health professionals can be applied at the state level. Access to basic health workforce data is essential to plan for educational programs, shape regulatory policies, identify shortage areas, forecast employment needs, and justify funding requests. Data can also be used to evaluate the impact that policy decisions have on workforce. These can be applied in reforms that focus on state mental health or Medicaid reform, or changes in medical or dental school admissions policies. Proper information about a state's current health workforce is necessary to evaluate existing programs and to plan for future needs.

### Challenges

Collecting, analyzing and disseminating health workforce data is a complex task and there are several challenges to consider.

- 1. *Motivational:* The need for more accurate, timely, and comprehensive workforce data may appear clear, but persuading policymakers, funders, and owners of data to invest in resources (time, staff, and funding) can be a daunting task. The challenge is how to bring the right stakeholders to the table and convince them that this is a crucial activity.
- 2. *Organizational:* Who will be responsible for collecting the data and where will the data be housed? The answers to these questions will

Research Website. <u>http://www.shepscenter.unc.edu/</u> workforce\_product/nursing-data-system-briefs-inqri-2/. 2013. Accessed February 20, 2015.

<sup>&</sup>lt;sup>1</sup> Fraher EP, Gaul K, Spero JC. Building State Nursing Workforce Data Systems: Three Briefs. Program on Health Workforce Research and Policy, Cecil G. Sheps Center for Health Services

affect the perceived objectivity of the data and analyses. Deciding where to house the data can also sometimes generate turf wars between agencies as stakeholders jockey for control of the data. Other important questions to consider are: will the collection of health workforce data be part of a legislative mandate?; how will you protect data confidentiality when it comes?; and who will be able to access the data, for what purposes, and at what cost?

3. *Analytical:* Once data has been collected, it is important to clean, analyze, and report the data in a way that is timely and useful to state policy makers. How current and accurate is it? Determining who is actively practicing in the state and where they are practicing is useful, but can be difficult depending on the quality of the data. Other useful analyses may include:



Age-sex breakdowns to help indicate whether the number of entering professionals is enough to replace those who are approaching retirement



Comparing the racial diversity of the workforce to the populations they serve



Summarizing training location to identify how many professionals were educated in the state or region



Mapping the distribution of health professionals to identify gaps in access to care

4. *Financial:* How will the development and continued operation of a state-level health workforce data system be funded?

### **Other Considerations**

*Maintain objectivity:* To build trust with stakeholders, it is important to maintain objectivity on what are often contentious health

<sup>2</sup> See <u>http://bhpr.hrsa.gov/healthworkforce/data/</u> <u>minimumdataset/index.html</u> workforce policy debates. Set clear boundaries between the organizations(s) collecting and reporting the data and those that are using the data for advocacy purposes. To the extent possible, house the data system under a neutral party where it will be free from political, professional, and advocacy influences.

*Don't reinvent the wheel:* The National Center for Health Workforce Analysis (NCHWA) and key partners have developed Minimum Data Set (MDS) guidelines.<sup>2</sup> The MDS is a set of basic questions that states and organizations can build upon to collect the data they need about their health workforce. Additionally, the Health Workforce Technical Assistance Center<sup>3</sup> (HWTAC) and the National Governors Association<sup>4</sup> (NGA) have been assisting various states in their health workforce data collection efforts. The HWTAC and NGA are resources for best practices and put states and organizations in contact with other states that are developing or have developed their own data systems.

*Determine what data to collect:* Whether developing a new data system or expanding an existing system, decisions need to be made about the:

- 1. Number and types of health professions from whom to collect data;
- 2. Frequency of data collection; and
- 3. Amount of data to collect about the profession.

For example, since 1979, North Carolina has collected and reported licensure data annually on 19 different health professions. New York surveys health professionals at re-registration every 2 years for physicians and every 3 years for other professions. The National Sample Survey of Registered Nurses was administered and reported on every 4 years.

These decisions will affect your analyses, results, staffing, funding, ability to answer policy questions and, fundamentally, how to set up a data system.

<sup>3</sup> <u>http://www.HealthWorkforceTA.org</u> <sup>4</sup> <u>http://www.nga.org/cms/center/health</u>



# Determine data collection method: How you collect data depends on the partners, stakeholders, and funding. Most

established data systems draw on one of the following mechanisms:

- <u>Licensure System</u>: Data are collected when health professionals apply for their initial license and when they renew. This is one of the most efficient and cost-effective methods to collect data. Some questions are mandatory, others are optional. The organizational structure of the licensing boards—whether they operate independently or are housed under the umbrella of state government—will present different opportunities and barriers to collecting and sharing data. *Examples: North Carolina, South Carolina, Virginia*
- <u>Surveys</u>: Data are collected through periodic surveys, either in conjunction with the licensure process or as a separate effort. This method requires more staff time and money, and response rates may vary, but this is a good option if licensure data are unavailable. *Examples: New York, Wisconsin*
- <u>Continuous Monitoring</u>: Data collection begins with a list of all licensees in one or more professions. From there, states track individuals through surveys, news clipping services and other methods to determine practice status, practice setting, and other characteristics. This method can be costly, especially for states with many health professionals, but it may provide more up-to-date information. *Examples: Iowa, Nebraska*

Other secondary data sources that can be used to enumerate the workforce in a specific state include the National Provider Identification (NPI) file, the American Medical Association (AMA) Physician Masterfile, the US Bureau of Labor Statistics, and the Census Bureau's American Community Survey, among others. Additionally, all-payer claims databases can also be used to enumerate the health workforce in select states, but there are significant limitations. It is important to understand the primary sources, costs, and limitations of each data set.

*Relationships Matter:* Good working relationships and trust between stakeholder groups are crucial to the initial and continued success of a health workforce data system. Stakeholders are a data system's audience, champions, and funders. They help identify research and policy questions and provide financial support. They utilize, promote, and help contextualize the data, and can point out issues that need to be addressed.

Building and maintaining strong relationships requires strong leadership and communication. Third-party facilitation can help groups work together and overcome barriers to collaborating. Additionally, relationships can solidify through funding opportunities. For example, state health care workforce development planning and implementation grants awarded by the Health Resources and Services Administration (HRSA) in 2010<sup>5</sup> required a link to the state's departments of commerce and/or labor. For many states, this was an opportunity to create new partnerships and expand their body of work.

# Maintaining a Data System

Once a health workforce data system is in place, keeping it going requires continuous effort. Results must be produced, and documentation must be completed to support a case for continued funding.

### **Opportunities**

Leverage results and relationships: States with existing data systems have data to show as fruits of their labor, and they have begun to form solid

D-C405-4199-BFE2-78FBF3C52CD3 &txtAction=View+Details&submitAction= Go&ViewMode=EU. Accessed February 20, 2015.

<sup>&</sup>lt;sup>5</sup> Affordable Care Act: State Health Care Workforce Planning Grants. Health Resources and Services Administration Website. <u>https://grants3.hrsa.gov/2010/Web2External/</u> Interface/FundingCycle/ExternalView.aspx?&fCycleID=70332C9

relationships with stakeholders. It is valuable to leverage these tangible results and relationships when it comes time to secure additional and/or sustained funding.

*Opportunities for expansion:* States with established data systems covering a small number of health professions can expand their system to include additional professions or collect additional information on their professions. As health care professionals work in teams, it is advantageous to collect data on multiple health professions to depict a more complete picture of the workforce in a particular state.

*Data sharing:* States that have more welldeveloped data systems have been able to successfully navigate data sharing challenges and other obstacles. They may be able to share information about developing data use agreements, and about developing policies on filling data requests and providing analytic files for additional research purposes.

#### Challenges

Regardless of whether a data system has been recently established or has been in operation for decades, states still face a number of challenges maintaining and advancing their data systems.

*Funding:* Relatively fixed infrastructure costs are required to maintain a data system, in order to continue collecting and cleaning data. Variable analysis costs are also needed in order to compile the data and disseminate them in a meaningful way. Expanding a data system to answer more complex questions and develop more useful tools requires additional resources.

There is often a lack of funding for the collection and analysis of data to inform policy. Foundations are often geared to fund initiatives that show more tangible results. Stakeholders who require data may be persuaded to fund the analysis costs to meet their specific needs, but they frequently are not willing or able to fund the fixed infrastructure costs. Developing research and policy agendas: Developing a research agenda requires a deeper understanding of health workforce issues and health policy. Developing a policy agenda is a fine line to walk; those that are perceived to have crossed the line to advocacy can lose the trust of their stakeholders and can lose their funding as well. Sometimes it may be better for outside entities to drive policy, while states provide the data upon which they can make recommendations.

*Capacity and priorities:* Once planners and policy makers learn that health workforce data are available for analysis, requests may come pouring in. It can be difficult to prioritize or refuse requests, particularly if they are coming from the state legislature, current funders, or potential future funders. One option to manage incoming requests is to establish a fee structure and develop consistent protocols for filling data requests.

# Conclusions

Collecting, analyzing, and disseminating health workforce data is a valuable service to states and to other stakeholders. Policy decisions can be made based on valid data rather than anecdotal evidence.

Regardless of whether a state is struggling to develop a data system or has one already established, there are both opportunities and challenges.

The Health Workforce Technical Assistance Center (HWTAC) is supported by the Health Resources and Services Administration (HRSA) of the U.S. Department of Health and Human Services (HHS) under grant number U81HP26492, a Cooperative Agreement for a Regional Center for Health Workforce Studies, in the amount of \$1,820,048. This content and conclusions are those of HWTAC and should not be construed as the official position or policy of, nor should any endorsements be inferred by HRSA, HHS or the U.S. Government.

# Health Workforce Technical Assistance Center www.healthworkforceTA.org

# Appendix I: Technical Notes on Methods

This report is based on registration data obtained on selected licensed health professional categories within North Carolina between November 1, 2013 and October 31, 2014.

Data on the licensed health professionals profiled in this report were collected from both initial and renewal licensure forms by their respective licensing boards. All boards supplied computerized copies of their files containing all necessary data to the Cecil G. Sheps Center for Health Services Research. All data files were run through a series of editing programs and procedures to check for inconsistencies.

Data were updated on a regular basis at each board with new licensees as well as changes in address. Physician data were checked and corrected for residency status using data supplied by hospitals with postgraduate medical education programs. Physicians are the only profession assigned to county location based on ZIP code information.

Counties for which teen pregnancy rates appear as an asterisk indicate that those data were suppressed by the North Carolina State Center for Health Statistics as rates based on fewer than 20 cases are considered unstable and were therefore not reported.

Population percentages appearing in the county and region pages may not add up to 100% due to rounding.

# Appendix II: Definition of Terms

### Health Professions Related

1)	Active	Licensed individuals who are currently practicing in their respective field.
2)	Inactive	Licensed individuals who are retired, employed in another field or otherwise not practicing in their respective field.
3)	Unknown Activity Status	Licensed individuals for whom practice status is not reported. Experience has shown that these usually are persons just entering their field. They are therefore considered active.
4)	In-State	Licensed individuals who practice in North Carolina if they are active or who reside in North Carolina if they are inactive. If business county is missing for active individuals, mailing county is used.
5)	Out-of-State	Licensed individuals who practice outside of North Carolina if they are active or who reside outside of North Carolina if they are inactive. If business county is missing for active individuals, mailing county is used.
6)	Physicians	Doctors of medicine and doctors of osteopathy licensed with the N.C. Medical Board.
7)	Federal physician	Physician whose primary employer is the federal government and whose principal setting of primary practice is a health facility on a military installation, in a V.A. hospital, in the Public Health Service, in the Indian Health Service, or in another federal health facility. Federally-funded primary health care clinics serving the private sector are not considered a federal facility. Federal physicians are not required to be licensed by the N.C. Medical Board. Counts include only those federal physicians who hold active licenses from the North Carolina Medical Board.
8)	Resident physician <sup>18</sup>	A physician who is presently enrolled in a postgraduate medical education training program at Duke University Medical Center, University of North Carolina at Chapel Hill–UNC Hospitals, East Carolina University–Pitt County Memorial Hospital, Wake Forest University Baptist Medical Center, Greensboro AHEC– Moses Cone Hospital, Charlotte AHEC–Carolinas Medical

<sup>&</sup>lt;sup>18</sup> Definition of the North Carolina Medical Board.

### 2014 N.C. Health Professions Data Book

Center, South East AHEC<sup>19</sup>–New Hanover Regional Medical Center, Mountain AHEC in Asheville, Southern Regional AHEC in Fayetteville, and Cabarrus Memorial Hospital.

#### Demographics<sup>20</sup>

- 102 BIRTHS: Resident live births. Live births occurring to residents of the area during the calendar year. Data downloaded from the LINC Data System; see http://www.linc.state.nc.us/. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics
- 103 DEATHS: Resident deaths. Deaths occurring to residents of the area during the calendar year.
   Data downloaded from the LINC Data System; see http://www.linc.state.nc.us. Source:
   Department of Health and Human Services, North Carolina Public Health, State Center for Health Statistics.
- LABORFORCE: Labor Force by Place of Residence. Estimates represent the sum of the average 104 annual employed (variable 105) and unemployed (variable 106) persons by place of residence. "Place of residence" estimates were developed with data secured from establishments (i.e., place of work employment) and adjusted to remove the effects of commuting and multiple jobholding. The data through 1983 are based on the 1970 census commuting patterns through a residency adjustment. Beginning in 1984, the adjustments are based on the 1980 census commuting patterns. This variable is an annual average of monthly data for the calendar year. County level data are revised both one and two years after the reference year ends. The data are presented in unrounded form from 1986 forward to permit aggregation of county data (e.g., to the MSA or regional level), while the data for earlier years are rounded to the nearest ten, as they are in publications from the Labor Market Information Division. State level data are rounded to the nearest 100, both on LINC and in publications, and are periodically revised independent of the county revisions so that they differ significantly from the sum of counties. See also variable 201, MONTHLYLF, the monthly equivalent. 1990 to 1994 have been adjusted to the 1990 census. 1994 data are not strictly comparable to previous data due to a major revision in the Current Population Survey. For more information, call the Employment Security Commission at (919) 733-2936. Data for all years except 1999 downloaded from the LINC Data System; see http://www.linc.state.nc.us. Data for 1999 downloaded from: http://www.ncesc.com. Source: Department of Commerce.
- 105 EMPLOYED: Employment by Place of Residence. All persons who worked for pay or profit, or worked without pay for 15 hours or more per week in a family farm or business. Includes agricultural employment, nonagricultural wage and salary employment, unpaid family workers, and domestic workers in private households. "Place of residence" estimates were developed with data secured from establishments (i.e., place of work employment) and adjusted to remove the effects of commuting and multiple jobholding. This variable is an annual average of monthly data for the calendar year. County level data are revised both one and two years after the reference year ends. The data are presented in unrounded form from 1986 forward to permit aggregation of county data (e.g., to the MSA or regional level), while the data for earlier years are rounded to the nearest ten, as they are in publications from the Labor Market Information Division. State level data are rounded to the nearest 100, both on LINC and in publications, and are periodically revised independent of the county revisions so that they differ significantly from the sum of counties. 1990 to 1994 have been adjusted to the 1990 census. 1994 data are not strictly

<sup>&</sup>lt;sup>19</sup> Formerly Coastal AHEC

<sup>&</sup>lt;sup>20</sup> Variable numbers, names and definitions are from the Log Into North Carolina (LINC) Database, N.C. State Data Center.

comparable to previous data due to a major redesign to the Current Population Survey. For more information, call the Employment Security Commission at (919) 733-2936. Data for all years except 1999 downloaded from the LINC Data System; see http://www.linc.state.nc.us. Source: Department of Commerce. Data for 1999 downloaded from: http://www.ncesc.com.

- 106 UNEMPLOYED: Unemployment by Place of Residence. This variable is an annual average of monthly data for the calendar year and is the estimated number of residents who did not work at all during the month but were able, available and looking for work. Includes all jobless persons looking for work, regardless of whether or not they qualify for unemployment insurance benefits. County level data are revised both one and two years after the reference year ends. The data are presented in unrounded form from 1986 forward to permit aggregation of county data (e.g., to the MSA or regional level), while the data for earlier years are rounded to the nearest ten, as they are in publications from the Labor Market Information Division. State level data are rounded to the nearest 100, both on LINC and in publications, and are periodically revised independent of the county revisions so that they differ significantly from the sum of counties. 1990 to 1994 have been adjusted to the 1990 census. 1994 data are not strictly comparable to previous data due to a major redesign to the Current Population Survey. For more information, call the Employment Security Commission at (919) 733-2936. Data for all years except 1999 downloaded from the LINC Data System; see http://www.linc.state.nc.us. Source: Department of Commerce. Data for 1999 downloaded from: http://www.ncesc.com.
- 107 UNEMPRATE: Unemployment Rate by Place of Residence. The average annual number of unemployed (variable 106) as a percentage of the average annual civilian labor force (variable 104). County level data are revised both one and two years after the reference year ends. State level may be revised at other times as well. Prior to 1986, the rate is based on unemployment and labor force figures which have been rounded to the nearest 10 (nearest 100 for the state), as is the case in publications from the Labor Market Information Division. The purpose of this rounding is to emphasize the fact that the numbers are estimates. Beginning with 1986, the numbers are unrounded, to permit aggregation to the MSA or regional level; the unemployment rate is based on these unrounded numbers, and hence may differ slightly in some cases from the published rates. This variable is derived from variables 104 and 106 by the formula 100 \* V106 / V104. 1990 to 1994 have been adjusted to the 1990 census. 1994 data are not strictly comparable to previous data due to a major redesign to the Current Population Survey. For more information, call the Employment Security Commission at (919) 733-2936. Data for all years except 1999 downloaded from the LINC Data System; see http://www.linc.state.nc.us. Source: Department of Commerce. Data for 1999 downloaded from: http://www.ncesc.com.
- 136 INFANTDEATHS: Infant deaths. An infant death is defined as death of a live born infant under one year of age. The infant death rate is defined as resident infant deaths per 1,000 resident live births for the calendar year, which can be computed as variable 136 divided by variable 102, multiplied by 1,000. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics.
- 501 PREGNANCIES: Pregnancies for females, all ages. The total number of the following events during the calendar year to resident women of all ages: live births, fetal deaths of 20 or more weeks gestation and induced abortions. Stillbirths of less than 20 weeks gestation are not included in this count. The sum of the counties does not always equal data for the state since the state includes persons whose county of residence is unknown. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics.
- NA PREGNANCY RATE: Pregnancy rates are created by dividing pregnancies by female population ages 15-44 and multiplying by 1,000. This yields pregnancy rate per 1,000 women of childbearing

age. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics.

- 502 PREGTEEN: Pregnancies for females 15-19. The total number of the following events during the calendar year to resident women ages 15-19: live births, fetal deaths of 20 or more weeks gestation and induced abortions. Stillbirths of less than 20 weeks gestation are not included in this count. The sum of the counties does not always equal data for the state since the state includes persons whose county of residence is unknown. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics.
- NA TEEN PREGNANCY RATES (15-19): Pregnancy rates are created by dividing the number of teen pregnancies by female population ages 15-19 and multiplying by 1,000. This yields pregnancy rate per 1,000 women of this age group. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics.
- 512 HOSPDISCH: General Hospital Discharges. Discharges of residents of the county in all short stay, acute care general hospitals in the state during the federal fiscal year. Excluded are federal and state hospitals, with the exception of one state facility which is included, UNC Hospitals, in Orange County. Normal ("well") newborn babies are excluded. Counties which border other states reflect under-reporting of discharges since only discharges to residents of the county from hospitals in North Carolina are counted. Counties affected are mainly Alleghany, Camden, Caswell, Cherokee, Clay, Columbus, Currituck, Dare, Gates, Hertford, Pasquotank, and Perquimans. See HOSPBEDGEN for beds included in short-stay, acute care, and general hospitals. Source: Department of Insurance. Compiled by the Cecil G. Sheps Center for Health Services Research, derived from data from Truven Health Analytics (formerly Thomson Healthcare), Fiscal Year 2014.
- 513 LONGTERMCARE: Nursing facility beds. This count includes beds licensed as nursing facility beds, meaning those offering a level of care less than that offered in an acute care hospital, but providing licensed nursing coverage 24 hours a day, seven days a week. In addition to these beds, licensed long-term nursing care (extended nursing care) beds in non-federal, non-state general hospitals are included. Data for each county represent the sum of the beds in the facilities located in that county. Data for the state reflect the sum of licensed beds in the counties. Long-term nursing care beds in both nursing facilities and hospitals are licensed annually for the calendar year. Source: State Medical Facilities Plan, Department of Health and Human Services, Division of Health Service Regulation;

see http://www.dhhs.state.nc.us/dhsr/ncsmfp/index.html.

- 523 BIRTHLOWWT: Low-weight births under 2500 grams. Newborns weighing less than 2500 grams (5 pounds, 8 ounces) at birth, regardless of length of gestation, as reported on the birth certificate for the calendar year, to mothers who are residents. Low-weight births are at increased risk of infant death and illness. The term premature is used in a number of publications. Source: LINC Data System; see http://www.linc.state.nc.us/. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics
- 103 DEATHS: Resident deaths. Deaths occurring to residents of the area during the calendar year. Source: LINC Data System; see http://www.linc.state.nc.us/. Source: Department of Health and Human Services, North Carolina State Center for Health Statistics
- 524 HOSPBEDGEN: Beds in general hospitals. Defined as "beds in use" in hospitals, which are designated for short-stay use as licensed at the end of the third calendar quarter of the year. Included are beds for general medical or surgical use, beds that are for general psychiatric disorders, rehabilitation beds, eye-ear-nose-and-throat beds and pulmonary

disease beds. Excluded are beds in all federal hospitals and state hospitals. Data for each county represent the sum of the beds in the general hospitals located in that county. Data for the state reflect the sum of beds in the counties. Source: State Medical Facilities Plan, Department of Health and Human Services, Division of Health Service Regulation; see http://www.dhhs.state.nc.us/dhsr/ncsmfp/index.html.

- 710 MEDICAID: Count of Medicaid eligibles. An eligible is defined as a person who receives a Medicaid ID card authorizing Medicaid coverage for any portion of the state fiscal year. An eligible is counted in each county of residence during the fiscal year. The sum of the counties does not equal the state total since eligibles are unduplicated with respect to the state for the fiscal year. Data downloaded from the LINC Data System; see http://www.linc.state.nc.us.
- 3001 PERSINC: Total personal income by place of residence (000s). The income received by, or on behalf of, all the residents of the area. Includes income received by persons from all sources from participation in production, from transfer payments, from government and business, and from government interest (which is treated like a transfer payment). Personal income is the sum of wage and salary disbursements, other labor income, proprietors' income with inventory valuation and capital consumption adjustments, rental income of persons with capital consumption adjustment, personal dividend income, personal interest income, and transfer payments, less personal contributions for social insurance. For counting income, persons are defined as individuals, nonprofit institutions, private non-insured welfare funds, and private trust funds. The last three are referred to as "quasi-individuals." Proprietors' income is treated in its entirety as received by individuals. Life insurance carriers and private noninsured pension funds are not counted as persons, but their saving is credited to persons. Personal income is entirely different from money income, which is the measure of income used by Census and CPS. Source: Department of Commerce, Bureau of Economic Analysis. Data for 1996 through 1999 and 2003 editions downloaded from Bureau of Economic Analysis at http://www.bea.doc.gov/. Data for all other years downloaded from the LINC Data System; see http://www.linc.state.nc.us.
- 3004 BEAPOP: Population estimate by place of residence (BEA denominator). BEA uses the U.S. Census Bureau county population totals as of July 1. Population is measured at midyear, whereas income is measured as a flow over the year. The state population figure used by BEA will agree with the the U.S. Census Bureau county estimates but may not be the most current state level figure released by the Census Bureau. These population figures should be used only with BEA income figures to calculate per capita estimates. Source: Department of Commerce, Bureau of Economic Analysis. Data for 1996 through 1999 and 2003 editions downloaded from Bureau of Economic Analysis at http://www.bea.doc.gov/. Data for all other years downloaded from the LINC Data System; see http://www.linc.state.nc.us.
- 3005 PERCAPINC: Per Capita Income by Place of Residence (3005): The total personal income of residents of an area divided by the resident population of the area. See BEAPOP and PERSINC. Per capita personal income serves as an indicator of the quality of consumer markets and of the economic well-being of the residents of an area. It should be used with caution for several reasons: (1) An unusually high or low per capita income may be the temporary result of unusual conditions such as a bumper crop, a major construction project, or a catastrophe. In some cases, a high per capita income is not representative of the standard of living in an area. Conversely, a county with a large institutional population may show an unusually low per capita income. (2) Population is measured at mid-year, and income is measured as a flow over the year, so a significant change in population during the year could cause a distortion in the per capita figures. (3) Farm proprietors' income reflects return from current production; it does not measure current

cash flows. Sales out of inventories, though included in current gross farm income, are excluded from net farm income because they represent income from a previous year's production. Additions to inventories are included in net farm income at current market prices. (4) In counties that are characterized by small population and almost total dependence upon farming, the per capita income will react more sharply to the vagaries in weather, world market demand, and changing government policies related to agriculture than in counties where the sources of income are more diversified. (5) Substantial differences between BEA estimates of per capita income and Census Bureau estimates are due to differences in definition of income, collection mode, and method of computation. The BEA data are derived primarily from administrative records, while the census data are self reports of individuals. This variable is derived from variables 3001 and 3004 as 1000 \* V3001 / V3004. Data for 1996 through 1999 and 2003 editions downloaded from Bureau of Economic Analysis at http://www.linc.state.nc.us. Source: Federal Agency Data: Bureau of Economic Analysis.

5001 POPULATION (Estimate). This is the census estimate from the State Demographer (April 1, 2010). See variables 401-424 for age/race/sex breakdown of the same data for counties (available through the "Population by age/race/sex" topic report option on the main menu). Data downloaded from http://www.osbm.state.nc.us/ncosbm/facts\_and\_figures/socioeconomic\_data/population\_estimate s.shtm. Source: N.C. Office of State Budget and Management.

### Location

 MSA<sup>21</sup>"Core Based Statistical Area" (CBSA) is the OMB's collective term for Metropolitan and Micropolitan statistical areas. OMB has not defined an affirmative title for areas outside CBSAs. Source: U.S. Census Bureau and Office of Management and Budget, 2013.
 \*Nonmetro in previous Data Book editions
 \*\*Metro in previous Data Book editions

Metropolitan	Alamance	Alexander	Brunswick	Buncombe
	Burke	Cabarrus	Caldwell	Catawba
	Chatham	Craven*	Cumberland	Currituck
	Davidson*	Davie	Durham	Edgecombe
	Forsyth	Franklin	Gaston	Gates*
	Guilford	Haywood*	Henderson*	Hoke
	Iredell*	Johnston	Jones*	Lincoln*
	Madison	Mecklenburg	Nash	New Hanover
	Onslow	Orange	Pamlico*	Pender*
	Person*	Pitt	Randolph	Rockingham*
	Rowan*	Stokes	Union	Wake
	Wayne*	Yadkin		
Nonmetropolitan	Alleghany	Anson**	Ashe	Avery
	Beaufort	Bertie	Bladen	Camden
	Carteret	Caswell	Cherokee	Chowan
	Clay	Cleveland	Columbus	Dare
	Duplin	Graham	Granville	Greene**
	Halifax	Harnett	Hertford	Hyde
	Jackson	Lee	Lenoir	McDowell
	Macon	Martin	Mitchell	Montgomery
	Moore	Northampton	Pasquotank	Perquimans
	Polk	Richmond	Robeson	Rutherford
	Sampson	Scotland	Stanly	Surry
	Swain	Transylvania	Tyrrell	Vance
	Warren	Washington	Watauga	Wilkes
	Wilson	Yancey		

2) HSA: Counties are assigned to a Health Service Area (HSA) in the following manner:

HSA I	Alexander	Alleghany	Ashe	Avery
Western	Buncombe	Burke	Caldwell	Catawba
	Cherokee	Clay	Cleveland	Graham
	Haywood	Henderson	Jackson	McDowell
	Macon	Madison	Mitchell	Polk
	Rutherford	Swain	Transylvania	Watauga
	Wilkes	Yancey		

 $<sup>^{21}</sup>$  Prior to 1993 MSA locations were not included in NC HPDS Data Book publications.

HSA II Piedmont	. Alamance Forsyth Stokes	Caswell Guilford Surry	Davidson Randolph Yadkin	Davie Rockingham
HSA III So. Piedmont	. Cabarrus Mecklenburg	Gaston Rowan	Iredell Stanly	Lincoln Union
HSA IV Capitol	. Chatham Johnston Vance	Durham Lee Wake	Franklin Orange Warren	Granville Person
HSA V Cardinal	Anson Cumberland Moore Robeson	Bladen Harnett New Hanover Sampson	Brunswick Hoke Pender Scotland	Columbus Montgomery Richmond
HSA VI Eastern	Beaufort Chowan Duplin Halifax Lenoir Onslow Pitt Wilson	Bertie Craven Edgecombe Hertford Martin Pamlico Tyrrell	Camden Currituck Gates Hyde Nash Pasquotank Washington	Carteret Dare Greene Jones Northampton Perquimans Wayne

3) AHEC Counties are assigned to an Area Health Education Center (AHEC) region in the following manner:

Greensboro (1)	Alamance	Caswell	Chatham	Guilford
	Montgomery	Orange	Randolph	Rockingham
Mountain (2)	Buncombe	Cherokee	Clay	Graham
	Haywood	Henderson	Jackson	McDowell
	Macon	Madison	Mitchell	Polk
	Rutherford	Swain	Transylvania	Yancey
Charlotte (3)	Anson	Cabarrus	Cleveland	Gaston
	Lincoln	Mecklenburg	Stanly	Union
South East <sup>22</sup> (4)	Brunswick Pender	Columbus	Duplin	New Hanover
Area L (5)	Edgecombe Wilson	Halifax	Nash	Northampton

<sup>&</sup>lt;sup>22</sup> Formerly Coastal AHEC

Wake (6)	. Durham Lee Warren	Franklin Person	Granville Vance	Johnston Wake	
Eastern (7)	Chowan Gates Jones Pamlico Tyrrell Alexander	Bertie Craven Greene Lenoir Pasquotank Washington Alleghany	Camden Currituck Hertford Martin Perquimans Wayne Ashe	Carteret Dare Hyde Onslow Pitt Avery	
Southern	Burke Davie Stokes Yadkin Bladen	Caldwell Forsyth Surry Cumberland	Catawba Iredell Watauga Harnett	Davidson Rowan Wilkes Hoke	Moore
Regional (10)	Richmond	Robeson	Sampson	Scotland	

4) DEHNR Counties are assigned to Department of Environment, Health and Natural Resources (DEHNR) regions in the following manner:

Region I	Avery Cherokee Henderson Mitchell Swain	Buncombe Clay Jackson McDowell Transylvania	Burke Graham Macon Polk Yancey	Caldwell Haywood Madison Rutherford
Region II	Alexander Gaston Rowan	Cabarrus Iredell Stanly	Catawba Lincoln Union	Cleveland Mecklenburg
Region III	Alamance Davie Randolph Watauga	Alleghany Davidson Rockingham Wilkes	Ashe Forsyth Stokes Yadkin	Caswell Guilford Surry
Region IV	Chatham Granville Nash Vance	Durham Halifax Northampton Wake	Edgecombe Johnston Orange Warren	Franklin Lee Person Wilson
Region V	Anson Harnett Robeson	Bladen Montgomery Sampson	Cumberland Moore Scotland	Hoke Richmond

	2	014 N.C. H	ealth Profe	ssions Data	DOOK
	Region VI	. Beaufort Craven Greene Lenoir Perquimans Wayne	Bertie Currituck Hertford Martin Pitt	Camden Dare Hyde Pamlico Tyrrell	Chowan Gates Jones Pasquotank Washington
	Region VII	. Brunswick New Hanover	Carteret Onslow	Columbus Pender	Duplin
5)	PCR: Coun	ties are assigned to I	Perinatal Care Regio	ns (PCR) in the follo	owing manner:
	Western Region I	. Buncombe Haywood Macon Rutherford	Cherokee Henderson Madison Swain	Clay Jackson Mitchell Transylvania	Graham McDowell Polk Yancey
	Northwestern Region II	. Alexander Burke Davie Rowan Surry	Alleghany Caldwell Forsyth Randolph Watauga	Ashe Catawba Guilford Rockingham Wilkes	Avery Davidson Iredell Stokes Yadkin
	Southwestern Region III	. Anson Lincoln	Cabarrus Mecklenburg	Cleveland Stanly	Gaston Union
	Northeastern Region IV	. Alamance Franklin Orange Warren	Caswell Granville Person	Chatham Johnston Vance	Durham Lee Wake
	Southeastern Region V	. Bladen Harnett New Hanover Sampson	Brunswick Hoke Pender Scotland	Columbus Montgomery Richmond	Cumberland Moore Robeson
	Eastern Region VI	Beaufort Chowan Duplin Halifax Lenoir Onslow Pitt Wilson	Bertie Craven Edgecombe Hertford Martin Pamlico Tyrrell	Camden Currituck Gates Hyde Nash Pasquotank Washington	Carteret Dare Greene Jones Northampton Perquimans Wayne

# Appendix III: Procedures for Requesting Additional Information

### **Procedures for Requesting Additional Information**

This appendix describes the health professions information available through the North Carolina Health Professions Data System at the Cecil G. Sheps Center for Health Services Research and the necessary procedures for obtaining data.

The Sheps Center maintains health professions data files through a cooperative effort with each licensing board for the following categories of health professionals licensed in North Carolina:

- Certified Nurse Midwives
- Chiropractors
- Dental Hygienists
- Dentists
- Nurses (RNs and LPNs)
- Nurse Practitioners
- Occupational Therapists
- Occupational Therapy Assistants
- Optometrists
- Pharmacists
- Physical Therapists
- Physical Therapist Assistants
- Physicians, includes MDs and DOs licensed by the North Carolina Medical Board
- Physicians in Residency Training
- Physician Assistants
- Podiatrists
- Practicing Psychologists
- Psychological Associates
- Respiratory Therapists

Although the Sheps Center does have files for each year since 1975<sup>23</sup>, the data prior to 1979 are relatively inaccurate, and therefore their use is discouraged.

Health professionals provide the data to the respective licensing boards at the time of initial license or renewal. The data are tabulated by the Sheps Center, but at all times remain the property of the boards. The data are confidential because they include detailed information on the individuals licensed. Therefore, any requests for names, addresses, or other information that would lead to identification of any individuals cannot be honored without the prior written approval of the appropriate licensing board.

Data are provided in several formats: electronic mailing lists, cross-tabulations, frequencies and graphic representations. A two-week interval is normally required to process data requests, with a minimum charge dependent upon the type of output. Please visit the Health Professions Data System web site at *http://www.shepscenter.unc.edu/hp* for more information.

When board approval is necessary, the following steps should be taken:

- Contact the Sheps Center's NC Health Profession Data System by phone (919) 966-9985, email (nchp@unc.edu) or letter to discuss the details or your request. Direct verbal or written approval from the executive officer of the appropriate board is required before any data can be released by the Sheps Center.
- 2. A written request should be sent to the executive officer of the appropriate board (see list on following pages) explaining the need for the data in question, variables and years of data requested, and the preferred format of the data. If the data are for an announcement or a survey, a copy of the brochure or questionnaire to be sent is usually helpful. The letter should request that approval be granted to the Sheps Center to provide the data. If board approval is required, Sheps staff require a copy of approval in before data requests are filled.

Board approval is normally not required if the data requested do not identify individuals. For requests of this type only a letter, email or telephone call to the Sheps Center is required. A phone call is helpful to ensure that your request is clear.

If data are required immediately, the request should indicate the urgency. An urgent request will be processed as soon as it can be scheduled into the workload, and an additional charge will be assessed. However, no assurance can be given as to delivery date earlier than two weeks after the request has been received. If board approval is required, the two week period begins after the Sheps Center receives a copy of the approval letter. Whenever possible, a two-week interval should be anticipated for the processing of routine requests; a longer period will be required if extensive programming is needed.

<sup>&</sup>lt;sup>23</sup> Certified nurse midwife data are available starting from 1985; respiratory therapist data are available starting from 2004. Occupational therapist and occupational therapy assistant data are available starting from 2006.

### Addresses of Health Professions Licensing Boards

N.C. Board of Chiropractic Examiners 174 Church Street Concord, NC 28025-4759 Ms. Megan Langley, Interim (704) 793-1342 FAX (704) 793-1385 Email: <u>megan@ncchiroboard.com</u> <u>www.ncchiroboard.com</u>

N.C. State Board of Dental Examiners 2000 Perimeter Park Dr., Suite 160 Morrisville, NC 27560 Mr. Bobby D. White, Chief Operations Officer (919) 678-8223 FAX (919) 678-8472 Email: <u>info@ncdentalboard.org</u> www.ncdentalboard.org

N.C. Medical Board PO Box 20007 Raleigh, NC 27619-0007 Mr. R. David Henderson, Executive Director (919) 326-1100, (919) 326-1109, or 1-800-253-9653 (in-state) FAX: (919) 326-0036 Email: <u>info@ncmedboard.org</u> www.ncmedboard.org

N.C. Board of Occupational Therapy PO Box 2280 Raleigh, NC 27602-2280 Ms. Elizabeth Kirk, Administrator (919) 832-1380 FAX: (919) 833-1059 Email: <u>administrator@ncbot.org</u> <u>www.ncbot.org</u>

N.C. Board of Physical Therapy Examiners 18 West Colony Place, Suite 140 Durham, NC 27705-5582 Mr. Ben F. Massey, Jr., PT, Executive Director (919) 490-6393 or 1-800-800-8982 (in-state) FAX: (919) 490-5106 Email: <u>ncptboard@mindspring.com</u> <u>www.ncptboard.org</u> N.C. Midwifery Joint Committee PO Box 2129 Raleigh, NC 27602-2129 Ms. Elizabeth Korb (919) 782-3211 FAX (919) 781-9461 Email: <u>email@ncbon.com</u> www.ncbon.com

N.C. Board of Pharmacy PO Box 4560 Chapel Hill, NC 27515-4560 Mr. Jay Campbell, Executive Director (919) 246-1050 FAX: (919) 246-1056 www.ncbop.org

N.C. Board of Nursing PO Box 2129 Raleigh, NC 27602-2129 Ms. Julie George, Executive Director (919) 782-3211 FAX: (919) 781-9461 Email: <u>email@ncbon.com</u> <u>www.ncbon.com</u>

N.C. State Board of Optometry 109 North Graham Street Wallace, NC 28466-2713 Dr. John Robinson, Executive Director (910) 285-3160 or 1-800-426-4457 (in-state) FAX: (910) 285-4546 Email: <u>info@ncoptometry.org</u> www.ncoptometry.org

N.C. Board of Podiatry Examiners 1500 Sunday Drive, Suite 102 Raleigh, NC 27607-5151 Ms. Penney De Pas, Executive Secretary (919) 861-5583 FAX (919) 787-4916 Email: <u>info@ncbpe.org</u> www.ncbpe.org N.C. Psychology Board 895 State Farm Road, Suite 101 Boone, NC 28607-4995 Mr. Daniel P. Collins, Executive Director (828) 262-2258 FAX: (828) 265-8611 Email: <u>ncpsybd@charter.net</u> www.ncpsychologyboard.org North Carolina Respiratory Care Board 125 Edinburgh South Drive, Suite 100 Cary, NC 27511 Dr. William Croft, Executive Director (919) 878-5595 FAX (919) 878-5565 Email: <u>bcroft@ncrcb.org</u> <u>www.ncrcb.org</u>

## Data Listing for Each Profession

The following pages list the data available for each profession. The professions are sorted in alphabetical order. When data are not available for all years, the years for which data are available will be indicated in parentheses.

Data Available for Chiropractors, 1979-2014\*

- 1. License/certification number
- 2. Licensing date (month and year)
- 3. Name
  - first middle initial last
- 4. *Mailing address* (1984-2013) office name (if business address) street city state ZIP code county AHEC HSA
- 5. Office address (if different from mailing address) (1984-1999) office name street
  - city state ZIP code county AHEC HSA
- 6. Home address (1979-1983) street
  - city state ZIP code county AHEC HSA
- 7. Business address (1979-1983) business name street city state ZIP code county
  - AHEĆ HSA
- 8. Preferred mailing address (1979-1983)
  - 1 = home
  - 2 = business • = unknown
- 9. Location codes (based on mailing address if individual is inactive or if the business address is unknown; otherwise based on the business address)
  - county state 1 = in state 2 = out of state -9 = state unknown AHEC
  - AHE HSA

- 10. Birth year
- 11. Gender
  - 1 = male
  - 2 = female
  - = unknown
- 12. *Race* 
  - 1 = White
  - 2 = Black
  - 3 = American Indian 4 = Asian
  - 4 Asian5 = Other
  - 6 = Hispanic
  - = Unknown
- 13. Spanish origin (1979-1983)
  - 1 = yes
  - 2 = no
  - = unknown
- 14. Basic professional education-state
- 15. Basic professional education-school
- 16. Basic professional education-year
- 17. Activity status
  - 1 = active
  - 2 = inactive
  - = unknown
- 18. Reason inactive (1982-2014)
  - 1 = working in other field
    - 2 = retired
    - 3 = homemaker
    - 4 = in professional training
    - 5 = other
    - = unknown
  - Reason inactive (1979-1981)
    - 1 = other work wants work in profession
    - 2 = other work doesn't want work in profession
    - 3 = not working wants work in profession
    - 4 = doesn't want work -retired
    - 5 = doesn't want work homemaker
    - 6 = doesn't want work training
    - 7 = doesn't want work other reason
    - = reason unknown
- 19. Primary specialty
  - 1 = neurology
  - 2 = orthopedics
  - 3 = roentgenology
  - 4 = other specialty
  - 0 = none • = unknown
- 20. Secondary specialty (1979-1981, 1984-2014)
- 21. Tertiary specialty (1979-1981)

#### Data Available for Chiropractors, 1979-2014\*

22. Form of employment (1982-2014) 1 = solo - self employed2 = non-solo - self employed3 = individual practitioner 4 = partnership or group 5 = local government 6 = county government 7 = state government 8 = Federal government 9 = other• = unknown Form of employment (1979-1981) Self employed: 11 = solo12 = partnership or group Non-governmental employer: 21 = individual practice 22 = retail or wholesale trade 23 = partnership or group 24 = group health plan facility 25 = otherGovernmental employer: 31 = local32 = county33 = state34 = federal-civilian 35 = federal-military Miscellaneous: 41 = unpaid worker 44 = other• = unknown 23. Employment setting (1982-2014) Nonfederal: 11 = hospital 12 = nursing home 13 = free-standing clinic 14 = group pre-paid health facility 15 = practitioner's office 16 = otherFederal: 21 = military 22 = V.A., public health, Indian health 23 = otherMiscellaneous: 30 =school, college, university or other educational institution 71 = other type of setting • = unknown Employment setting (1979-1981) Nonfederal: 11 = hospital 12 = nursing home 13 = clinic14 = group health facility 15 = practitioner's office 16 = otherFederal: 21 = military 22 = other

```
Schools:
     31 = medicine, dentistry
     32 = nursing
     33 = other health profession
     34 = school, handicapped
     35 = school, elementary or secondary
    36 = other
Miscellaneous:
     41 = patient's home
     42 = medical research facility
     43 = professional or allied health association
     44 = administrative health agency
Business establishments:
     51 = manufacturing or industrial
     52 = retail, wholesale, or other business
Other settings:
     71 = other
     • = unknown
```

- 24. Total hours practiced per average week
- 25. Percent time in patient care
- 26. Hours per week in retailing (1979-1981)
- 27. Hours per week in teaching (1979-1981)
- 28. Hours per week in research (1979-1981)
- 29. Hours per week in administration (1979-1981)
- 30. Hours per week in other activity (1979-1981)
- 31. Number of weeks worked past 12 months (1979-1981)

If a variable is not available for all years, it will be noted in parentheses.

#### Data Available for Certified Nurse Midwives, 1985-2013\*

- 1. Approval number
- 2. Year of Approval
- 3. Primary Worksite site name

street city state ZIP code county

AHEC

HSA

4. Secondary Worksite site name

street city state ZIP code county AHEC HSA

- 5. Home ZIP
- 6. Basic professional education-school
- 7. Basic professional education-year
- Location codes (based on primary worksite) county state 1 = in-state
  - 2 = out of state -9 = state unknown AHEC HAS
- 9. Primary Employment Setting (2014) hospital ambulatory care setting public health academic setting other community health
- 10. Type of Practice Setting: County Health Department (2011-2013)
- 11. Type of Practice Setting: Hospital In-patient (2011-2013)
- 12. Type of Practice Setting: Hospital Out-patient (2011-2013)
- 13. Type of Practice Setting: Free-Standing Birthing Center (2011-2013)
- 14. Type of Practice Setting: Publicly-funded Clinic (non-Health Department) (2011-2013)

- 16. Type of Practice Setting: Physician or Group Medical Practice (2011-2013)
- 17. Type of Practice Setting: Medical/Nursing School (2013)
- 18. Type of Practice Setting: HMO (2011-2013)
- 19. Highest degree (2014) doctoral master's degree baccalaureate degree associate degree diploma vocational/practical certificate

Highest degree (2011-2013) diploma associates (ADN) bachelor's degree (BS) bachelor's degree (BSN) master's degree (MSN) master's degree (MSN) master's degree (MPH) Ed.D doctorate (Ph.D.) doctorate (DrPH) other

- 20. Percentage of time spent in primary care (2011-2013)
- 21. Type of primary care (2011-2013) prenatal care and postpartum care intrapartum care well woman gynecology/familyplanning/treatment of common medical disorders newborn care
- 22. Percentage of time spent in specialized care (2011-2013)
- 23. Type of specialized care (2011-2013) infertility oncology other
- 24. Supervising physician license number (2011-2013)
- 25. Supervising physician specialty (2011-2013) OB/GYN family medicine with OB

### Data Available for Dental Hygienists, 1979-1984, 1986-2014\*

- 1. License/certification number
- 2. Licensing date
- 3. Name

4.

- first, middle initial, last
- Home address street city state ZIP code county AHEC HSA
- 5. Business address business name street city state ZIP code
  - county AHEC HSA
- 6. *Preferred mailing address (1979-2000)* 1 = home
  - 2 = business
  - = unknown
- 7. Location codes (based on home address if individual is inactive or if business is unknown; otherwise based on business address)
  - county state 1 = in state 2 = out of state -9 = state unknown AHEC
  - HSA
- 8. Birth year
- 9. Gender
  - 1 = male
  - 2 = female
  - = unknown
- 10. Race (1994-2014)
  - 1 = White
  - 2 = Black
  - 3 = American Indian
  - 4 = Asian
  - 5 = Other
  - 6 = Hispanic
  - = Unknown
  - Race (1979-1984; 1986-1993)
    - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian
    - 5 = Other
    - = Unknown

- 11. Spanish Origin (1979-1982)
  - 1 = yes
    - 2 = no
    - = unknown
- 12. Marital status (1979-1981)
  - 1 = never married
    - 2 = married
    - 3 = separated/divorced
    - 4 = widowed
    - = unknown
- 13. State of residence before training (1979-1981)
- 14. Basic professional education school
- 15. Basic professional education state
- 16. Basic professional education year
- 17. Basic professional education
  - 1 = less than high school
    - 2 = high school or equivalent
    - 3 = nursing school, diploma
    - 4 = associate degree
    - 5 = baccalaureate degree
    - 6 = master's degree
    - 7 = doctorate

*Type of Advanced Training* (1979-1981) (may have more than one type)

- 18. Advanced training in dental hygiene
- 19. Advanced training preceptor trained
- 20. Advanced training certificate or diploma
- 21. Advanced training associate degree
- 22. Advanced training bachelor's degree
- 23. Advanced training master's degree
- 24. Advanced training other degree
- 25. Degree in other field (1979-1981)
  - 1 = yes
  - 2 = no
  - = unknown
- 26. *Highest degree, other field* (1979-1981)
  - 1 = diploma
  - 2 = associate
  - 3 = bachelor's degree
  - 4 = master's degree
  - 5 = doctorate
  - 6 = other
  - = unknown
  - 8 = not applicable
- 27. Activity status
  - 1 = active
    - 2 = inactive
    - = unknown

#### Data Available for Dental Hygienists, 1979-1984, 1986-2014\*

- 28. Reason inactive (1982-1984; 1986-2014)
  - 1 = working in other field
  - 2 = retired
  - 3 = homemaker
  - 4 = in professional training
  - 5 = other
  - = unknown

Reason inactive (1979-1981)

- 1 = other wants work in profession
- 2 = other work -doesn't want work in prof.
- 3 = not working wants work in profession
- 4 = doesn't want work retired
- 5 = doesn't want work homemaker
- 6 = doesn't want work training
- 7 = doesn't want work other reason
- = reason unknown

#### 29. Form of employment (2003-2014)

- 3 = individual practitioner
- 4 = partnership or group
- 5 = local government
- 6 = county government
- 7 = State government
- 8 = Federal government
- 9 = other
- = unknown

#### *Form of employment* (1982-1984; 1986-2002)

- 1 = solo self employed
- 2 = non-solo self employed
- 3 = individual practitioner
- 4 = partnership or group
- 5 = local government
- 6 =county government
- 7 = State government 8 = Federal government
- 9 = other
- = unknown

#### Form of employment (1979-1981)

- 1 = dentist(s) private
- 2 = state government
- 3 = federal government
- 4 = local government
- 5 = private industry
- 6 = other
- = unknown
- 30. *Employment setting* (1982-1984; 1986-2014) Nonfederal:
  - 11 = hospital
  - 12 = nursing home
  - 13 = free-standing clinic
  - 14 = group pre-paid health facility
  - 15 = practitioner's office
  - 16 = other
  - Federal:
    - 21 = military
    - 22 = V.A., public health, Indian health
    - 23 = other

Miscellaneous:

- 30 = school, college, university or other
- educational institution
- 71 = other type of setting
- = unknown

- *Employment setting* (1979-1981)
  - 1 = private dental office
    - 2 = dental or dental auxiliary program
    - 3 = elementary or secondary school
  - 4 = clinic
  - 5 = instruction
  - 6 = other • = unknown
- 31. Number of practice locations (1979-1981)
- 32. Dental employers total number (1979-1981)
- 33. Dental employers number general practitioners (1979-1981)
- 34. Dental employers number pedodontists (1979-1981)
- 35. Dental employers number periodontists (1979-1981)
- 36. Dental employers number other specialists (1979-1981)
- 37. Currently providing patient care (1979-1981) 1 = yes 2 = no
  - = unknown
- 38. Total hours per week (1979-1984; 1986-2014)
- 39. Hours per week prophylaxis (1979-1981)
- 40. Hours per week oral hygiene (1979-1981)
- 41. Hours per week-oral health instruction (1979-1981)
- 42. Hours per week-other patient services (1979-1981)
- 43. Hours per week administration (1979-1981)
- 44. Hours per week teaching dental or dental auxiliary students (1979-1981)
- 45. Number of weeks worked last 12 months (1979-1981)
- 46. Percent time in patient care (1982-1984; 1986-2014)

<sup>t</sup> If a variable is not available for all years, it will be noted in parentheses. There are no data available for 1985.

#### Data Available for Dentists, 1979-1984, 1986-2014\*

- 1. License/certification number
- 2. Licensing date
- 3. Name

first middle initial last

4. Home address street city state ZIP code county AHEC HSA

5. Business address business name

- street city state ZIP code county AHEC
- HSA
- 6. Preferred mailing address (1979-2000) 1 = home
  - 2 = business
  - = unknown
- 7. Primary location codes (based on home address if individual is inactive or if the primary business address is unknown; otherwise based on based on primary business address)

county state 1 = in state 2 = out of state -9 = state unknown AHEC HSA

- 8. Secondary practice
  - 1 = yes
  - 2 = no
  - = unknown
- 9. Secondary location codes (based on address of secondary business if one exists) city county
- 10. Percent time at primary location (1979-1984)
- 11. Percent time at secondary location (1979-1984)
- 12. Birth year

- 13. Gender
  - 1 = male
    - 2 = female
    - = unknown
- 14. *Race* (1994-2014)
  - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian
    - 5 = Other
    - 6 = Hispanic • = unknown
  - Race (1979-1984, 1986-1993)
    - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian
    - 5 = Other
    - = unknown
- 15. Spanish Origin (1979-1982)
  - 1 = yes
  - 2 = no
  - = unknown
- 16. State or country of residence before training (1979-1981)
- 17. Basic professional education school
- 18. Basic professional education state
- 19. Basic professional education year
- 20. Advanced training (1979-1981) 1 = yes 2 = no
  - = unknown

*Type of Advanced Training* (1979-1981) (may have more than one type)

- 21. Advanced training in general practice residency/internship
- 22. Specialty training certificate
- 23. Specialty training master's degree
- 24. Other dental
- 25. Master's degree, non-dental
- 26. Doctorate, non-dental
- 27. *Other, non-dental*
- 28. Activity status
  - 1 = active
  - 2 =inactive
  - = unknown

#### Data Available for Dentists, 1979-1984, 1986-2014\*

- 29. Reason inactive (1982-1984; 1986-2014)
  - 1 = working in other field
    - 2 = retired
    - 3 = homemaker
    - 4 = in professional training
    - 5 = other
    - = unknown
- 30. Reason inactive (1979-1981)
  - 1 = other work wants work in profession
  - 2 = other work doesn't want work in prof.
  - 3 = not working wants work in profession
  - 4 = doesn't want work retired
  - 5 = doesn't want work homemaker
  - 6 = doesn't want work training
  - 7 = doesn't want work their reason
  - = reason unknown
- 31. Primary specialty
  - 1 = general dentistry
  - 2 = endodontics
  - 3 = oral pathology
  - 4 = oral surgery
  - 5 = orthodontics
  - 6 = pedodontics
  - 7 = periodontics
  - 8 = prosthodontics
  - 9 = dental public health
  - 10 = oral/maxillofacial radiology 0 = no specialty
  - = unknown
- 32. Form of employment (2003-2014)
  - 1 = self employed
  - 3 = individual practitioner
  - 4 = partnership or group
  - 5 = local government
  - 6 = county government
  - 7 = State government
  - 8 = Federal government
  - 9 = other
  - = unknown
  - Form of employment (1982-1984; 1986-2002)
    - 1 = solo self employed
    - 2 = non-solo self employed
    - 3 = individual practitioner
    - 4 = partnership or group
    - 5 = local government 6 = county government
    - 7 = State government
    - 8 = Federal government
    - 9 = other
    - = unknown

#### Form of employment (1979-1981)

Self-employed:

1 = solo

- 2 = partnership or group
- Non-governmental employer:
- 3 = other dentists
- Governmental employer:
  - 4 = state government
  - 5 = federal government
  - 6 = other• = unknown

- 33. Employment setting (1982-1984; 1986-2014)
  - Nonfederal:
    - 11 = hospital
      - 12 = nursing home
      - 13 = free-standing clinic
      - 14 = group pre-paid health facility
      - 15 = practitioner's office 16 = other
    - Federal:
      - 21 = military

        - 22 = V.A., public health, Indian health
      - 23 = other

#### Miscellaneous:

- 30 = school, college, university or other
- educational institution
- 71 = other type of setting
- = unknown

### Employment setting (1979-1981)

- 1 = private office
- 2 = dental school
- 3 = clinic
- 4 = hospital
- 5 = other institution 6 = other
- = unknown
- 34. Total hours per week in dentistry
- 35. Percent time patient care
- 36. Hours per week administration (1979-1981)
- 37. Hours per week research (1979-1981)
- 38. Hours per week teaching (1979-1981)
- 39. Hours per week other (1979-1981)
- 40. Weeks worked past 12 months (1979-1981)
- Number of office staff (1980-1981)
  - 41. Dental assistants
  - 42. Dental hygienists
  - 43. Lab technologists
  - 44. *Receptionists, secretaries*

47. Dentists (1982-1984, 1986-2014)

50. Dental assistants (1990-2014)

48. Non-dentists (1982-1984, 1986-1989)

Dental hygienists (1990-2014)

If a variable is not available for all years, it will

be noted in parentheses. There are no data

- Other non-dentists 45.
- 46. Total non-dentists

#### Number of office staff

available for 1985.

49.

202

Data Available for Licensed Practical Nurses, 1979-2014\*

- 1. License/certification number
- 2. Licensing date month year
- 3. License expiration date
- 4. Name
  - first middle initial last
- 5. Home address state county AHEC HSA
- 6. Business address
  - city state ZIP code county AHEC HSA
- 7. Mailing address street city state
  - ZIP code
- 8. Location codes (based on mailing address if individual is inactive or if the business address is unknown; otherwise based on the business address) county state 1 = in state 2 = out of state
  - -9 = state unknown AHEC
    - HSA
- 9. Birth year
- 10. Gender
  - 1 = male
  - 2 = female
  - = unknown
- 11. Race (2014)
  - 1 = Caucasian
  - 2 = Black/African-American
  - 3 = American Indian/Alaska Native
  - 4 = Hispanic
  - 5 = Asian
  - 6 = Hawaiian/Pacific Islander
  - 7 = Other
  - = Unknown
  - Race (1992-2013)
    - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Hispanic
    - 5 = Asian
    - 6 = Other
    - = Unknown

- Race (1979-1991)
  - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian5 = Other
    - = Unknown
- 12. Spanish origin (1979-2014)
  - 1 = yes
  - 2 = no
  - = unknown
- 13. Marital status (1979-1981)
  - 1 = never married
  - 2 = married
  - 3 = separated/divorced
  - 4 = widowed
  - = unknown
- 14. Graduate of practical or vocational nursing program (1979-1981)
  - 1 = yes
  - 2 = no
  - = unknown
- 15. Basic professional education school
- 16. Basic professional education state
- 17. Basic professional education year
- 18. Highest degree (2014)
  - doctoral master's degree baccalaureate degree associate degree diploma vocational/practical certificate
  - Highest education completed (2000-2013)
    - 1 = Diploma
    - 2 = Associate degree
    - 3 = Baccalaureate in nursing (BSN)
    - 4 = Baccalaureate degree (other)
    - 5 = Master's degree in nursing
    - 6 = Master's degree (other)
    - 7 = Doctorate in Nursing
    - 8 = Doctorate (other)
    - = unknown
  - Highest education completed (1992-1999)
    - 0 = High school graduate or equivalent
    - 1 = LPN diploma
    - 2 = Associate degree in nursing
    - 3 = Associate degree (other)
    - 4 = Baccalaureate degree in nursing
    - 5 = Baccalaureate degree (other)
    - = unknown

*Highest education completed* (1985-1991)

- 1 = High school graduate or equivalent
  - 2 = LPN diploma
  - 3 = Associate degree in nursing
  - 4 = Associate degree (other)
  - 5 = Baccalaureate degree in nursing
  - 6 = Baccalaureate degree (other)
  - 7 = Less than high school
  - 8 = Associate degree (type unknown)
  - 9 = Baccalaureate degree (type unknown)
  - = unknown

#### Data Available for Licensed Practical Nurses, 1979-2014\*

- *Highest education completed* (1979-1984)
  - 1 = Less than high school
  - 2 = High school
  - 3 = Associate
  - 4 = Baccalaureate or higher
  - = unknown
- 19. Education toward registered nursing degree (1979-1981)
  - 1 = none
  - 2 = some RN courses
  - 3 = working toward RN license
  - 4 = active RN license
  - = unknown
- 20. State or country of active RN license (1979-1981)
- 21. Present employment status (2014)
  - A = Full-time in nursing
  - B = Nursing volunteer
  - C = Full-time employed, non-nursing
  - H = Retired
  - I = Part-time in nursing
  - J = Per diem in nursing
  - K = Part-time employed, not in nursing
  - L = Per diem employed, not in nursing

Present employment status (2001-2013)

- 1 = employed in nursing full-time
- 2 = employed in nursing part-time
- 3 = employed in other field full-time
- 4 = employed in other field part-time
- 5 = unemployed
- 6 = retired
- 7 = on disability
- = unknown

Present employment status (1980-2000)

- 1 = employed in nursing full-time
- 2 = employed in nursing part-time
- 3 = employed in other field full-time
- 4 = employed in other field part-time
- 5 = unemployed
- = unknown

Present employment status (1979 only)

- 1 = employed in nursing full-time
- 2 = employed in nursing part-time
- 3 = employed in other field full-time
- 4 = employed in other field part-time
- 5 = unemployed
- 6 = employed in nursing, hours unknown
- 7 = employed in other field, hours unknown
- = unknown
- 22. *Inactive status* (1980-1981)
  - 1 = wants work in profession
  - 2 = other work doesn't want work in profession
  - 3 = not working wants work in profession
  - 4 = not working doesn't want work in profession
  - 5 = other
  - = unknown
- 23. Primary Employment Setting (2014)
  - A = Hospital
  - B = Ambulatory Care Setting
  - C = Public Health
  - D = Occupational Health
  - E = Insurance Claims/Benefits
  - F = Nursing Home/ Extended Care/ Assisted Living Facility

- G = Home Health/ Hospice
- H = Academic Setting
- I = Correctional Facility
- J = School Health Service
- K = Other
- L = Community Health
- M = Policy Planning/ Regulatory Licensing Agency
- Setting (1999-2013)
  - 1 = hospital-in-patient
  - 2 = hospital-out-patient
  - 3 = long term care
  - 4 = solo/group medical practice
  - 5 = HMO/insurance company
  - 6 = home care/hospice
  - 7 = public clinic/ health department
  - 8 = mental health facility
  - 9 = student health site
  - 10 = industry/ manufacturing site
  - 11 = private duty
  - 12 = school of nursing
  - 13 = other
  - = unknown

#### Setting (1992-1998)

- 1 = hospital
  - 2 = nursing home
  - 3 = private duty
  - 4 = industrial/occupational health
  - 5 = physician/dentist office nurse
  - 6 = community
  - 7 = school
  - 8 = other
  - = unknown
- Setting (1979-1991)
  - 1 = hospital

7 = other

25.

26.

204

• = unknown

3 = Anesthesia

4 = Community

6 = Home Health

10 = Oncology

8 = Medical Surgical

11 = Palliative Care 12 = Pediatrics/ Neonatal

13= Public health

15 = Rehabilitation

16 = School Health

18 = Women's Health

17 = Trauma

19 = Other

- 2 = nursing home
- 3 = private duty
- 4 = industrial/occupational health 5 = physician/dentist office nurse

6 = community/public health

Avg. hours worked per week in nursing

2= Adult Health/ Family Health

1 = Acute Care/ Critical Care/ Emergency Care

14 = Psychiatric/ Mental Health/ Substance Abuse

24. Secondary Employment Setting (2014)

Weeks worked last year (1979-1981)

27. Primary Employment Specialty (2014)

5 = Geriatric/ Gerontology

7 = Maternal Child Health

9 = Occupational Health

#### Data Available for Licensed Practical Nurses, 1979-2014\*

#### Major clinical practice area (2001-2013)

- 1 = public/community health
- 2 = general practice
- 3 = geriatrics
- 4 = ob/gyn
- 5 = med/surg 6 = pediatrics
- 7 = psychiatric
- 8 = AIDS
- 9 = cardiology
- 10 = critical care
- 11 = dermatology
- 12 = dialysis
- 13 = drug/alcohol
- 14 = EENT
- 15 = emergency care 16 = family health
- 17 = neonatal
- 18 = neurology
- 19 = occupational health
- 20 = oncology
- 21 = orthopedics
- 22 = peri-operative
- 23 = rehabilitation
- 24 = transplants
- 25 = urology
- 26 = other • = unknown
- 28. Secondary Employment Specialty (2014)

\* If a variable is not available for all years, it will be noted in parentheses.

#### Data Available for Nurse Practitioners, 1979-2014\*

(Prior to 2008 available data for Nurse Practitioners and Physician Assistants were combined)

- 1. License/certification number
- 2. Issue date month
  - year
- 3. Name

first middle initial last

- 4. Home address (1979-1993) county state
- 5. *Mailing address*

address line 1 address line 2 city state ZIP code county AHEC HSA

- 6. Business address
  - address line 1 address line 2 city state ZIP code county AHEC HSA
- 7. Location codes (based on mailing address if the business address is unknown; otherwise based on the business address with most patient care hours)
  - county state 1 = in state 2 = out of state -9 = state unknown AHEC
  - HSA

8. Birth date (day, month, and year)

- 9. Gender (1994, 1999-2014)
  - 1 = male
  - 2 = female
  - = unknown
- 10. Race (2014)
  - 1 = Asian
    - 2 = Black/ African-American
    - 3 = Caucasian
    - 4 = Hispanic
    - 5 = Native American
    - 6 = Other

Race (2008-2013)

- 1 = White, not of Hispanic Origin
- 2 = Black, not of Hispanic origin
- 3 = American Indian/Alaskan Native
- 4 = Hispanic
- 5 = Asian/Pacific Islander
- 6 = Other

- 7 = Multi-racial
- Race (1999-2007)
  - 1 = White/Non-Hispanic
  - 2 = Black/Non-Hispanic
  - 3 = American Indian/Alaskan Native
  - 4 = Asian/Pacific Islander
  - 5 = Hispanic
  - 6 = Other
  - = unknown
- Race (1994)
  - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian
  - 5 = Other
  - 6 = Hispanic
  - = unknown
- 11. Training program school (1985-2014)
- 12. Training program state (1995-2014)
- 13. Training program year (1985-1993, 1995-2014)
- 14. *Activity Status* (1995-2014) 1 = active
  - 2 = inactive
  - = unknown
- 15. *Type of Completion* (2008-2013) C = Certificate Awarded A = Academic Degree Granted
- 16. *Profession code* (1992-2007) 1 = physician assistant 2 = nurse practitioner
- 17. Physician Extender Type (2008-2014)
  0 = nurse practitioner, type unknown
  2= family nurse practitioner
  3 = pediatric nurse practitioner
  4 = family planning nurse
  5 = women's health
  6 = geriatric nurse
  8 = obstetrics/gyn nurse
  9 = adult nurse practitioner
  10 = acute care nurse practitioner
  11 = neonatal nurse practitioner
  12 = psychiatric mental health
  13 = occupational health nurse
  - 14 = physician med nurse
  - 15 = school nurse practitioner
  - 16 = special volunteer license
  - 17 = pediatric acute care nurse practitioner
  - 18 = multiple types
  - = unknown

Physician Extender Type (2000-2007)

- 0 = nurse practitioner, type unknown
- 2= family nurse practitioner
- 3 = pediatric nurse practitioner
- 4 = family planning nurse
- 5 = women's health
- 6 = geriatric nurse
- 8 = obstetrics/gyn nurse
- 9 = adult nurse practitioner
- 10 = acute care nurse practitioner
- 11 = neonatal nurse practitioner
- 12 = psychiatric mental health
- 13 = occupational health nurse
- 14 = physician med nurse

#### Data Available for Nurse Practitioners, 1979-2014\*

(Prior to 2008 available data for Nurse Practitioners and Physician Assistants were combined)

- 18. *Primary Specialty* (1996-2007) (see pages 220-222 for specialty listing)
- Secondary Specialty (1996-2007) (see pages 220-222 for specialty listing)
- 20. Supervising Physician License Number (1996-2008)
- 21. Backup Physician license number (1994-1995)
- 22. Profession code (1979-1991)
  - 1 = physician assistant
  - 2 = family nurse practitioner
  - 3 = pediatric nurse practitioner
  - 4 = family planning nurse
  - 5 = nurse midwife
  - 6 = geriatric nurse
  - 7 = emergency nurse practitioner
  - 8 = ob/gyn nurse practitioner
  - 9 = adult nurse practitioner
  - 10 = nurse practitioner, type unknown
  - = unknown
- 23. Basic professional education school (1979-1984)
- 24. Basic professional education state (1979-1984)
- 25. *Basic professional education year* (1979-1984)
- 26. Primary practice location ZIP code (1997-2014) county (1997-2014)
- 27. Practice Setting Codes (2008-2014)
  - 01 = Hospital In-patient (IP)
  - 02 = Hospital Out-patient (OPD)
  - 03 = Hospital Emergency (ED)
  - 04 = Hospital other than IP, ED, OPD
  - 05 = Long Term Care
  - 06 = Group Medical Practice/Physician Office
  - Practice
  - 07 = Group Nursing Practice
  - 08 = HMO or insurance company
  - 09 = Home Health Care 10 = Public/Community Health
  - 11 = Mental Health
  - 12 = Cabaal Haalth
  - 12 = School Health

- 13 = Nursing School
- 14 = Medical School
- 15 = Self Employed as Nurse Practitioner
- 16 = Industry/Occupational setting
- 17 = Retail Clinic
- 18 = Other
- *Primary location facility type* (1998-2007)
  - 01 = locum tenens
  - 02 = solo practitioner's office
  - 03 = free-standing clinic
  - 04 = group office
  - 05 = staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = telemedicine
  - 12 = other
  - = unknown

*Primary location facility type* (1997)

- 01 = locum tenens
- 02 = solo practitioner's office
- 03 = free-standing clinic
- 04 = group office
- 05 = staff or group model HMO
- 06 = hospital-outpatient dept
- 07 = hospital-emergency room
- 08 = hospital-other
- 09 = medical school or parent university
- 10 = nursing home/extended care facility

11 = other

- 28. Primary location hours per week-clinical care excluding on-call hours (1997-2007)
- 29. Primary location clinical care hours per week-primary care (1997-2007)
- 30. Primary location number of week-day nights and weekend days on call (1997-2007)
- 31. Secondary practice location ZIP code (1992-2007) county (1992-2007)
- 32. Secondary location facility type (1998-2007) 01 = locum tenens 02 = solo practitioner's office 03 = free-standing clinic 04 = group office
  - 05 = staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = telemedicine
  - 12 = other
  - = unknown

Secondary location facility type (1997)

- 01 = locum tenens
- 02 = solo practitioner's office
- 03 = free-standing clinic
- 04 = group office

#### Data Available for Nurse Practitioners, 1979-2014\*

#### (Prior to 2008 available data for Nurse Practitioners and Physician Assistants were combined)

- 05 = staff or group model HMO
- 06 = hospital-outpatient dept
- 07 = hospital-emergency room
- 08 = hospital-other
- 09 = medical school or parent university
- 10 = nursing home/extended care facility
- 11 = other
- 33. Secondary location hours per week-clinical care excluding on-call hours (1997-2007)
- 34. Secondary location clinical care hours per weekprimary care (1997-2007)
- 35. Secondary location number of week-day nights and weekend days on call (1997-2007)
- 36. Other practice location ZIP code (1992-2007) county (1992-2007)
- 37. Other location facility type (1998-2007)
  - 01 = locum tenens
    - 02 = solo practitioner's office
    - 03 = free-standing clinic
    - 04 = group office
    - 05 = staff or group model HMO
    - 06 = hospital-outpatient dept
    - 07 = hospital-emergency room
    - 08 = hospital-other
    - 09 = medical school or parent university
    - 10 = nursing home/extended care facility
    - 11 = telemedicine
    - 12 = other • = unknown
  - *Other location facility type* (1997)
    - 01 = locum tenens
    - 02 = solo practitioner's office
    - 03 = free-standing clinic
    - 04 = group office
    - 05 = staff or group model HMO
    - 06 = hospital-outpatient dept
    - 07 = hospital-emergency room
    - 08 = hospital-other
    - 09 = medical school or parent university
    - 10 = nursing home/extended care facility 11 = other
- 38. Other location hours per week-clinical care -excluding on-call hours (1997-2005)
- 39. Other location clinical care hours per week-primary *care* (1997-2005)
- 40. Other location number of week-day nights and weekend days on call (1997-2007)
- 41. Languages spoken other than English (2014)
  - Languages spoken other than English (2008-2013)
    - 01 =Spanish
    - 02 = Korean
    - 03 = French
    - 04 = Vietnamese
    - 05 = Russian
    - 06 = Polish

- 07 = Tagalog/Filipino
- 08 = American Sign Language
- 09 = German
- 10 = Chinese
- 11 = Other
- 42. Clinical primary care hours per week (2009-2014)
- 43. Clinical specialty care hours per week (2009-2014)
- 44. Non-clinical care hours per week (2009-2013)
- 45. On-call hours per week (2009-2014)

\* If a variable is not available for all years, it will be noted in parentheses.

- 1. License/certification number
- 2. Licensing date day
  - month year
- 3. License designation 1 = OT/L2 = OTA/L
- 4. Date of license renewal day month year
- 5. Name first middle last
- 6. Home address street city state ZIP code county AHEC
- 7. **Business** address employer street city state ZIP county AHEC HSA

HSA

- 8. Preferred address 1 = home
  - 2 = business
- Location codes (based on home address if individual is 9. inactive or if the business address is unknown; otherwise based on the business address)
  - county
  - state
    - 1 = in state2 = out of state-9 = state unknown
  - AHEC
  - HSA
- 10. Gender
  - 1 = male
  - 2 = female
  - = unknown

- Race (2007-2014) 11.
  - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian5 = Hispanic
    - 6 = Multi-Racial
    - 7 = Other
    - = unknown
  - Race (2006)
    - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian
    - 5 = Indian
    - 6 = Hispanic 7 = Other
    - = unknown
- 12. Basic professional education school
- 13. Basic professional education - state
- 14. Basic professional education - year
- 15. Basic professional education - degree
- 16. Current employment status
  - 1 = employed full-time in field
  - 2 = employed part-time in field
  - 3 = employed in other field, plan to return to field
  - 4 = employed in other field, no plan to return to field
  - 5 = unemployed, seeking employment in field
  - 6 = unemployed, not seeking employment in field
  - 7 = unemployed, not seeking employment in any field
  - 8 = retired
  - 9 = other
  - = unknown
- 17. Employment setting (2008-2014)
  - 1 = home health
  - 2 = hospital
  - 3 = skilled nursing facility/long term care
  - 5 = free standing clinic
  - 6 = mental health
  - 7 = education and academic
  - 8 = research
  - 9 = school system
  - 11 = private practice
  - 12 = traveler
  - 13 = administration 14 = other

  - 15 = unknown
  - = missing

### Data Available for Occupational Therapists and Occupational Therapy Assistants 2006-2014\*

### Employment setting (2007)

- 1 = home health
- 2 = hospital
- 3 = skilled nursing facility
- 4 = long term care 5 = free standing clinic
- 6 = mental health
- 7 = education
- 8 = research
- 9 = school system
- 10 = academic
- 11 = private practice
- 12 = traveler
- 13 = administration
- 14 = other
- = unknown
- Employment setting (2006)
  - 1 = home health
  - 2 = hospital
  - 3 = skilled nursing facility
  - 4 = education
  - 5 = research
  - = unknown
- 18. Specialty practice area (2007-2014)
  - 1 = administration
  - 2 = mental health
  - 4 = home health
  - 5 = school system/early intervention
  - 7 = pediatrics
  - 8 = hand rehabilitation
  - 9 = sensory integration
  - 10 = physical disabilities
  - 11 = developmental disabilities
  - 12 = education
  - 13 = geriatric
  - 14 = technology
  - 15 = acute care 16 = other
    - = other • = unknown

Specialty practice area (2006)

- 1 = administration
- 2 = mental health
- 3 = work program
- 4 = home health
- 5 = school system
- 6 = pediatrics
- 7 = hand rehabilitation
- 8 = sensory integration
- 9 = physical disabilities
- 10 = developmental disabilities
- 11 = education
- 12 = geriatric
- 13 = technology
- 14 = other
- = unknown
- 19. Activity Status

- 20. Reason Inactive
  - 1 = employed in other field, do not plan to return to field
  - 2 = employed in other field, plan to return to field
  - 3 = other
  - 4 = retired
  - 5 = unemployed, not seeking employment in any field
  - 6 = unemployed, not seeking employment in field
  - 7 = unemployed, seeking employment in field
  - 8 = unemployed, undefined
  - = unknown
- 21. Degree (2007-2014)
  - 1 = Associates
    - 2 = BS
    - 3 = MS
    - 4 = PhD

If a variable is not available for all years, it will be noted in parentheses.

#### Data Available for Optometrists, 1979-2014\*

- 1. License/certification number
- 2. Licensing date month year
- 3. Name

first middle initial last

- 4. Home address street city state ZIP code
  - county AHEC HSA
- 5. Business address
  - business name street city state ZIP code county
  - AHEC HSA
- 6. Preferred mailing address (1979-1981)
  - 1 = home
  - 2 = business
  - = unknown
- 7. Location codes (based on home address if individual is inactive or if the business address is unknown; otherwise based on the business address)
  - county state 1 = in state 2 = out of state -9 = state unknown AHEC HSA
- 8. Birth year
- 9. Gender
  - 1 = male
    - 2 = female
    - = unknown
- 10. Race (1992-2014)
  - 1 = White
  - 2 = Black
  - 3 = American Indian
  - 4 = Asian
  - 5 = Hispanic 6 = Other

  - 7 = Pacific Islander
  - = Unknown

- Race (1979-1991)
  - 1 = White
  - 2 = Black 3 = American Indian
  - 4 = Asian
  - 5 = Other
  - = Unknown
- 11. Spanish origin (1979-1986)
  - 1 = yes
  - 2 = no
  - = unknown
- 12. Basic professional education school (1979-1982)
- 13. Basic professional education state
- 14. Basic professional education year
- 15. Basic professional education degree (1979-1984)
  - 1 = less than high school
  - 2 = high school or equivalent
  - 3 = nursing school, diploma
  - 4 = associate degree
  - 5 = baccalaureate degree
  - 6 = master's degree
  - 7 = doctoral degree
  - = unknown
- 16. Activity status
  - 1 = active
  - 2 = inactive
  - = unknown
- 17. *Reason inactive* (1982-2014)
  - 1 = working in other field
  - 2 = retired
  - 3 = homemaker
  - 4 = in professional training
  - 5 = other
  - = unknown
  - Reason inactive (1979-1981)
    - 1 = other work wants work in profession
    - 2 = other work doesn't want work in profession
    - 3 = not working wants work in profession
    - 4 = doesn't want work retired
    - 5 = doesn't want work homemaker
    - 6 = doesn't want work training
    - 7 = doesn't want work other reason
    - = unknown
- 18. Primary specialty (1982-2014)
  - 11 = general practice/primary care
  - 12 = contact lenses
  - 14 = low/subnormal vision
  - 15 = developmental vision
  - 17 = public health/community health
  - 20 = other
  - 0 = no specialty
  - = unknown

#### Data Available for Optometrists, 1979-2014\*

#### Primary specialty (1979-1981)

- 11 = general practice
- 12 = contact lenses
- 13 = vision/training orthoptics
- 14 = low/subnormal vision
- 15 = developmental vision
- 16 = industrial/environmental/occupational
- 17 = public/community health
- 18 = vision screening 19 = aniseikonia
- 19 = aniseiko10 = other
- 0 = no specialty
- = unknown
- 19. Secondary specialty see primary specialty
- 20. Tertiary specialty (1979-1981) see primary specialty
- 21. Form of employment (1982-2014)
  - 1 =solo-self-employed
    - 2 = non-solo- self-employed
    - 3 = individual practitioner
    - 4 = partnership or group
    - 5 = local government
    - 6 = county government
    - 7 = State government
    - 8 = Federal government
    - 9 = other
    - = unknown

#### Form of employment (1979-1981)

Self employed:

- 1 = solo
- 2 = partner
- 3 = group
- 4 = other
- Employed by other:
  - 5 = professional corporation
  - 6 = optometrist 7 = ophthalmologist
  - 8 = physician not ophthalmologist
  - 9 = school/college of optometry
  - 10 = federal govt military
  - 11 = federal govt civilian
  - 12 = state/county/local govt
  - 13 = business organization
  - 14 = non-profit organization
  - 15 = multidisciplinary group
  - 16 = group health plan
  - 17 = other
  - 18 = professional association
  - = unknown
- 22. Employment setting (1982-2014)

Nonfederal:

- 11 = hospital
- 12 = nursing home
- 13 = free-standing clinic
- 14 = group pre-paid health facility
- 15 = practitioner's office
- 16 = other
- Federal:
  - 21 = military
  - 22 = VA, public health, Indian health
  - 23 = other

- Miscellaneous:
  - 30 = school, college, university or other
  - educational institution
  - 71 = other type of setting
  - = unknown
- Employment setting (1979-1981)
  - 1 = practitioners office
  - 2 = ĥospital
  - 3 = optometric center
  - 4 = college, university
  - 5 = other
  - = unknown
- 23. Total hours per average week (1979-1984; 1986-2014)
- 24. Percent time in patient care
- 25. Hours per week in teaching (1979-1981)
- 26. Hours per week in research (1979-1981)
- 27. Hours per week in administration (1979-1981)
- 28. Hours per week in other activity (1979-1981)
- 29. Number of weeks worked in past 12 months (1979-1981)
- 30. Non-optometric degree(s) (1979-1981)

• = unknown

*Type of non-optometric degree* (1979-1981)

- 31. Baccalaureate
- 32. Master's, Public Health
- 33. *Master's*, other
- 34. Doctorate, Public Health
- 35. Doctorate, other
- 36. Other

212

- 37. Percent time in primary specialty in past 12 months (1979-1981)
- 38. Support personnel (1979-1981)

- 2 = no • = unknown
- 39. Certified to prescribe drugs (1979-1984)
  - 1 = yes
  - 2 = no
  - = unknown
- 40. Patients seen in last 24 months (1979-1981)
- 41. Number of complete vision analyses in last 12 months (1979-1981)
- 42. Total continuing education credits (1979-1984)

Data Available for Optometrists, 1979-2014\*

- 43. General continuing education credits (1979-1984)
- 44. Certified continuing education credits (1979-1984)
- 45. DEA number
- 46. Branch office (1984-2006)
  - 1 = yes 2 = no
  - 2 = no
  - = unknown
- 47. Number of branch offices (1982-2004)

\* If a variable is not available for all years, it will be noted in parentheses.

Data Available for Pharmacists, 1979-2014\*

1.	License/certification number	11.	Spanish origin (1979-1992)
2.	Licensing date		1 = yes
	month		2 = no • = unknown
	year	10	
3.	Name	12.	Basic professional education - state
	first middle initial	13.	Basic professional education - school (1984-2014)
	last	14.	Basic professional education - year
4.	Home address street city state ZIP code county AHEC HSA	15.	Basic professional education - degree (1992-2014) 0 = non-graduate 1 = Ph.G/C/D before 1940 2 = Bachelor of Science (4 year) 3 = Bachelor of Science (5 year) 4 = P.D. (Pharm D.) 5 = D.Ph (Cuba) • = unknown
5.	Business address business name street city state ZIP code county AHEC HSA		Basic professional education - degree (1982-1991) 1 = Bachelor of Science 2 = Doctor of Philosophy 3 = Doctor of Pharmacy (Cuba: D Pharm, DPh) 4 = Non-graduate degree 5 = Ph.G., 18 month degree 6 = Pharmacy Doctor (Pharm D or PD) • = unknown
6.	Preferred mailing address 1 = home 2 = business • = unknown		Basic professional education - degree (1979-1981) 1 = no degree 2 = Ph.C., Ph.G., Pharm. D, D (before 1940) 3 = B.S., or B. Pharm, 4 year program 4 = B.S. or B. Pharm, 5 year program
7.	Location codes (based on home address if individual is inactive or if business address is unknown; otherwise based on business address) county state 1 = in state 2 = out of state -9 = state unknown AHEC HSA	16.	5 = Pharm. D., 6 year program Advanced professional degree (1979-1981) 1 = Pharmaceutics 2 = Hospital pharmacy 3 = Clinical pharmacy 4 = Pharmacognosy 5 = Pharmacology 6 = Pharmacology 6 = Pharmacy - administration 7 = Medicinal chemistry 8 = other • = unknown
8.	Birth year	17	
9.	Gender 1 = male 2 = female • = unknown	17.	Advanced training (1979-1981) 1 = yes 2 = no • = unknown
10.	Race (1993-2014)		<i>Type of advanced training</i> (1979-1981) ( <i>may have more than one type</i> )
	1 = White 2 = Black	18.	Advanced training - continuing education
	3 = American Indian	19.	Advanced training - residency, hospital pharmacy
	4 = Asian 5 = Hispanic	19.	
	6 = Other	20.	Advanced training - residency, clinic pharmacy
	• = Unknown	21.	Advanced training - master of science
	Race (1979-1992)	22.	Advanced training - post B.S. Pharm. D.
	1 = White 2 = Black	23.	
	3 = American Indian	<i>2</i> 3.	Advanced training - Ph.D. or D.Sc.
	4 = Asian	24.	Advanced training - other

214

5 = Other • = Unknown

#### Data Available for Pharmacists, 1979-2014\*

- 25. Activity status
  - 1 = active
    - 2 = inactive
    - = unknown
- 26. *Reason inactive* (1982-2014)
  - 2 = retired
  - 4 = student
  - 6 = other reason
  - = unknown

Reason inactive (1979-1981)

- 1 = other work wants work in profession
- 2 = other work doesn't want work in profession
- 3 = not working wants work in profession
- 4 = doesn't want work retired
- 5 = doesn't want work homemaker
- 6 = doesn't want work training
- 7 = doesn't want work other reason
- = unknown

27. Form of employment (1997-2001; 2008-2014)

- 1 = sole owner manager
- 2 = partner/manager
- 3 = partner/non-manager
- 4 = supervisor
- 5 = pharmacist manager/employee
- 6 = staff pharmacist
- 7 = unpaid worker (volunteer) 8 = pharmaceutical sales
- 9 = pharmaceutical manufacturing
- 10 = consultant
- 11 = relief pharmacist
- 13 = other
- 14 = long term care
- 15 = clinical pharmacist practitioner
- 16= pharmacist non-manager
- = unknown

Form of employment (2002-2007)

- 5 = pharmacist manager/employee
- 6 = staff pharmacist
- 13 = other
- 15 = clinical pharmacist practitioner
- = unknown

Form of employment (1982-1996)

- 1 = sole owner manager
- 2 = partner/manager
- 3 = partner/non-manager
- 4 = area manager/supervisor
- 5 = pharmacist manager/employee
- 6 = staff pharmacist
- 7 = unpaid worker (volunteer)
- 8 = pharmaceutical sales
- 9 = pharmaceutical manufacturing
- 10 = consultant11 = relief pharmacist
- 12 = research pharmacist
- 13 = other
- = unknown

Form of employment (1979-1981)

- 1 = sole owner manager
- 2 = partner
- 3 = manager employee
- 4 = assistant manager employee

- 5 = staff employee
- 6 = unpaid worker
- 7 = other
- = unknown
- 28. Principal employment setting (1982-2014)
  - 1 = independent
    - 2 = chain
    - 3 =small chain
    - 4 = clinic/medical building
    - 5 = nursing home
    - 6 = hospital
    - 7 = government hospital 8 = government
    - 9 = manufacturing
    - 10 = wholesale
    - 11 = teaching
    - 12 = other

    - 13 = sales (pharmaceutical) 14 = research

    - 15 = health department (added in 1992) • = unknown
  - Principal employment setting (1979-1981)
    - 1 = independent community pharmacy
    - 2 = small chain
    - 3 = large chain
    - 4 = clinic/medical building
    - 5 = nursing home
    - 6 = private hospital
    - 7 = government hospital
    - 8 = other government
    - 9 = manufacturer
    - 10 = wholesale
    - 11 = college
    - 12 = other
    - = unknown
- 29. Hours worked per average week

Hours worked per week, by function (1979-1981)

- 30. Hours per week administration
- 31. Hours per week info. to prescribers and institutional clients

Hours per week - dispensing prescriptions

Hours per week - teaching or research

Hours per week - manufacturing or bulk

36. Hours per week - retailing non-health merchandise

Hours worked per week, by setting (1979-1981)

Hours per week - clinic/medical building

38. *Hours per week - independent community pharmacy* 

32. Hours per week - info. to patients

compounding

37. Hours per week - other

39. Hours per week - small chain

40. Hours per week - large chain

42. Hours per week - nursing home

33.

34.

35.

41.

215

Data Available for Pharmacists, 1979-2014\*

- 43. Hours per week private hospital
- 44. Hours per week government hospital
- 45. Hours per week other government
- 46. Hours per week manufacturer
- 47. Hours per week wholesaler
- 48. Hours per week college
- 49. Hours per week other
- 50. Weeks worked last year (1979-1984)

\* If a variable is not available for all years, it will be noted in parentheses.

### Data Available for Physical Therapists and Physical Therapist Assistants, 1979-2014\*

- 1. License/certification number
- 2. Licensing date (1979-1981; 1983-2014) month and year
- 3. Name

first, middle initial, last

- 4. Home address street city
  - state ZIP code county AHEC HSA
- 5. Business address
  - business name street city state ZIP code county AHEC
  - HSA
- 6. Preferred mailing address
  - 1 = home
  - 2 = business • = unknown
- 7. Location codes (based on home address if individual is inactive or if the business address is unknown; otherwise based on the business address)
  - county
  - state
  - 1 = in state 2 = out of state -9 = state unknown
  - AHEC
  - HSA
- 8. Birth year (1979-1981; 1983-2014)
- 9. Gender (1979-1981; 1983-2014)
  - 1 = male
  - 2 = female
  - = unknown
- 10. Race (2007-2014)
  - 1 = American Indian/Alaskan Native
  - 2 = Asian-American/Pacific Islander
  - 3 = Black/Non-Hispanic
  - 4 = Hispanic
  - 5 = Multiracial
  - 6 = White/Non-Hispanic
  - 7 = Other
  - = unknown
  - Race (1993-2004)
    - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Asian5 = Other
    - 6 = Spanish Origin
  - Race (1979-1981; 1983-1992)
    - 1 = White

- 2 = Black
- 3 = American Indian
- 4 = Asian
- 5 = Other
- = unknown
- 11. Spanish origin (1979-1981; 1983-2014)
  - 1 = yes
  - 2 = no
  - = unknown
- 12. Basic professional education school (1979-1981; 1983-2014)
- 13. Basic professional education state (1979-1981; 1983-2014)
- 14. *Basic professional education year* (1979-1981; 1983-2014)
- 15. Basic professional education degree (1993-2014)
  - 2 = associate degree
  - 3 = baccalaureate degree
  - 4 = physical therapy certificate
  - 5 = master's degree
  - 6 = GED
  - 7 = high school
  - 8 = doctoral degree
  - = unknown
  - Basic professional education degree (1983-1992)
    - 1 = GED
    - 2 = high school
    - 4 = associate degree
    - 5 = baccalaureate degree
    - 6 = master's degree
    - 7 = doctoral degree
    - 8 = PT certificate
    - = unknown
  - Basic professional education degree (1979-1981)
    - 2 = high school
    - 4 = associate degree
    - 5 = baccalaureate degree
    - 6 = master's degree
    - 7 = doctoral degree
    - = unknown
- 16. Activity status
  - 1 = active
  - 2 = inactive
  - = unknown
- 17. Reason inactive (2000 2014)
  - 1 = unemployed not seeking employment in physical therapy
  - 5 = retired from physical therapy
  - 6 = working in another field and do not plan to return to physical therapy
  - 7 = working in another field but would like to
    - return to physical therapy
  - 8 = not working in any field
  - 9 = student in physical therapy
  - 10 = student not in physical therapy
  - 11 = other
  - = unknown
  - Reason inactive (1982-1999)
    - 1 = working in other field
      - 2 = retired
    - 3 = homemaker
    - 4 = student in physical therapy

#### Data Available for Physical Therapists and Physical Therapist Assistants, 1979-2014\*

5 = student not in physical therapy 6 = other• = unknown Reason inactive (1979-1981) 1 = other work - wants work in profession 2 = other work - doesn't want work in profession 3 = not working - wants work in profession 4 = doesn't want work - retired 5 = doesn't want work - homemaker 6 = doesn't want work - training 7 = doesn't want work - other reasons • = reason unknown Form of employment (2000-2014) 18. 1 = self employed2 = for-profit corporation 3 = not-for-profit corporation 4 = contract employee 5 = city, town government (not county) 6 = county government 7 = state government 8 = federal government 9 = other• = unknown Form of employment (1983-1984; 1986-1999) Self-employed: 1 = solo practice 2 = non-solo practice Non-governmental employer: 3 = individual practitioner 4 = group of practitioners Governmental employer: 5 = city, town government (not county) 6 = county government 7 = state government 8 = federal government 9 = other• = unknown Form of employment (1979-1981) Self-employed: 11 = solo12 = partnership or group Non-governmental employer: 21 = individual practice 22 = retail or wholesale trade 23 = partnership or group 24 = group health plan facility 25 = other Governmental employer: 31 = local32 = county33 = state34 = federal - civilian 35 = federal - military Miscellaneous: 41 = unpaid worker 42 = other• = unknown Employment setting (2000-2014) 19. 10 = home health11 = hospital (acute care) 12 = sub-acute rehabilitation hospital 13 = health system-outpatient facility 14 = free standing-outpatient facility

15 = corporation clinic-outpatient facility 16 = extended care 17 = health, fitness/wellness 18 = physicians office 19 = DEV evaluation center 20 = school system 21 = academic institution 22 = research center 23 = industry24 = other non-federal setting 30 = military installation 31 = VA, public health/Indian health 32 = other federal setting • = unknown Employment setting (1988-1999) Nonfederal 10 = home health 11 = hospital 12 = nursing home 13 = free-standing clinic 14 = rehabilitation facility 15 = practitioner's office 16 = DEC 17 = other nonfederal Federal: 21 = military facility 22 = VA, public health or Indian Health 23 = other federal Miscellaneous: 30 = school, college, educational institution 71 = other = unknown \*\* Employment setting (1983-1987) Nonfederal: 11 = hospital 12 = nursing home 13 = free standing clinic 14 = rehabilitation facility 15 = practitioner's office 16 = other nonfederal Federal: 21 = military facility 22 = VA., Public Health or Indian Health 23 = other federal Miscellaneous: 30 = school, college, educational institution 71 = otherEmployment setting (1979-1981) Nonfederal 11 = hospital 12 = nursing home 13 = clinic14 = group health facility 15 = practitioner's office 16 = otherFederal: 21 = military22 = otherSchools: 31 = school or college of medicine or dentistry 32 = school or college of nursing 33 = school or college of other health discipline 34 = school or treatment center for the handicapped or disabled

35 = elementary or high school 36 = other school or college

# Data Available for Physical Therapists and Physical Therapist Assistants, 1979-2014\*

Employment setting-continued (1979-1981)
Miscellaneous:
41 = patient's home
42 = medical research facility
43 = professional or allied health association
44 = administrative health agency
Business establishments:
51 = manufacturing or industrial
52 = retail, wholesale, or other business
Animal treatment settings:
61 = small animal hospital
62 = large animal hospital
63 = farm or ranch
64 = other animal treatment setting
Other settings:
71 = other
• = unknown
**20. Total hours practiced per average week (1979-1981; 1983-1992)

- \*\*21. Percent time in patient care (1979-1981; 1983-1992)
- 22. Hours per week in patient care (1979-1981)
- 23. Hours per week in retailing (1979-1981)
- 24. Hours per week in teaching (1979-1981)
- 25. Hours per week in research (1979-1981)
- 26. Hours per week in administration (1979-1981)
- 27. Hours per week in other activity (1979-1981)
- 28. Number of weeks worked past 12 months (1979-1981)

If a variable is not available for all years, it will be noted in parentheses.

\*\* The accuracy of these variables is uncertain for 1985 due to the licensing board's lack of time to update the files.

## Data Available for Physicians, 1979-2014\*

(MDs and DOs not in residency training, licensed by the NC Medical Board)

- License/certification number 1. Licensing date (month and year) 2. 3. Name first middle initial last Home address (1979-1993) 4. city state ZIP code 5. Mailing address address line 1 address line 2 city state ZIP code county AHEC HSA Secondary address (1996-1998) 6. address line 1 address line 2 city state ZIP code country code 7. Location codes (based on home address if individual is inactive or if the business location is unknown; otherwise based on the business *location*) county (1979-2014) state 1 = in state2 = out of state-9 = state unknown AHEC
  - HSA
- 8. *Birthdate* (day, month, & year)
- 9. Birth location (1996-2014) state country
- 10. Gender (1979-1994, 1999-2014)
  - 1 = male
  - 2 = female
  - = unknown
- 11. Race (1999-2014)
  - 1 = White/Non-Hispanic
  - 2 = Black/Non-Hispanic
  - 3 = American Indian/Alaskan Native
  - 4 = Asian/Pacific Islander
  - 5 = Hispanic
  - 6 = Other
  - = unknown

- *Race* (1992-1994) 1 = White
  - 2 = Black
  - 3 = American Indian
  - 4 = Asian
  - 5 = Hispanic 6 = Other
  - = unknown
- Race (1979-1991)
  - 1 = White
  - 2 = Black
  - 3 = American Indian
  - 4 = Asian
  - 5 = Other
  - = Unknown
  - 12. *Spanish origin* (1979-1994) 1 = yes
    - 1 = yes2 = no
    - = unknown
  - 13. Pre-medical school (1979-1981)
  - 14. Pre-medical school state (1979-1981)
  - 15. Last year of pre-medical school (1979-1981)
  - 16. Medical school
  - 17. Medical school state
  - 18. Last year of medical school
  - 19. Place of internship
  - 20. State of internship
  - 21. Last year of internship
  - 22. Place of residency
  - 23. State of residency
  - 24. Last year of residency
  - 25. Primary specialty (1979-2010)
    - 1 = Aerospace medicine
    - 2 = Allergy
    - 3 = Anesthesiology
    - 4 = Broncho-esophagology
    - 5 = Cardiovascular disease
    - 6 = Dermatology 7 = Diabetes
    - 8 = Emergency medicine
    - 9 = Endocrinology
    - 10 = Family practice
    - 11 = Gastroenterology
    - 12 = General practice
    - 13 = General preventive medicine
    - 14 = Geriatrics
    - 15 = Gynecology
    - 16 = Hematology
    - 17 = Hypnosis
    - 18 = Infectious disease 19 = Internal medicine
    - 20 = Laryngology
    - 21 = Legal medicine
    - 22 = Neoplastic disease
    - 23 = Nephrology
    - 24 = Neurology

Data Available for Physicians, 1979-2014\*

(MDs and DOs not in residency training, licensed by the NC Medical Board)

25 = Neurology, Child 26 = Neuropathology 27 = Nuclear medicine 28 =Nutrition 29 = Obstetrics 30 = Obstetrics/gynecology 31 = Occupational medicine 32 = Ophthalmology 33 = Otology 34 = Otorhinolaryngology 35 = Pathology 36 = Pathology, Clinical 37 = Pathology, Forensic 38 = Pediatrics 39 = Pediatrics, Allergy 40 = Pediatrics, Cardiology 41 = Pharmacology, Clinical 42 = Physical medicine & rehabilitation 43 = Psychiatry44 = Psychiatry, Child 45 = Psychoanalysis 46 = Psychosomatic medicine 47 = Public health 48 = Pulmonary disease 49 = Radiology 50 = Radiology, Diagnostic 51 = Radiology, Pediatric 52 = Radiology Therapeutic 53 = Rheumatology 54 = Rhinology55 = Roentgenology, Diagnostic 56 = Surgery, Abdominal 57 = Surgery, Cardiovascular 58 = Surgery, Colon and Rectal 59 = Surgery, General 60 = Surgery, Hand 61 = Surgery, Head and Neck 62 = Surgery, Neurological 63 = Surgery, Orthopedic 64 = Surgery, Pediatric 65 = Surgery, Plastic 66 = Surgery, Thoracic 67 = Surgery, Traumatic 68 = Surgery, Urological 69 = Other specialty 70 = Urology71 = Adolescent medicine 72 = Allergy and immunology 73 = Blood banking 74 = Dermatopathology 75 = Immunology 76 = Maxillofacial surgery 77 = Neonatal-perinatal 78 = Nuclear radiology 79 = Oncology 80 = Pediatric endocrinology 81 = Pediatric hematology-oncology 82 = Pediatric nephrology 83 = Radioisotopic pathology 84 = Child development 85 = Addiction/chemical dependency 86 = Critical care medicine 87 = Epidemiology 88 = Gynecological oncology 89 = Maternal and fetal medicine 90 = Reproductive endocrinology 91 = Radiation oncology 92 = Sports medicine

93 = Vascular surgery

94 = Medicine/pediatrics 95 = Anatomic pathology 96 = Facial plastic surgery 97 = Hand surgery, plastic 98 = Pediatric gastroenterology 99 = Pediatric rheumatology 100 = Pediatric pulmonology 101 = Pediatric infectious disease 102 = Surgery, oncology 103 = Administrative medicine 104 = Neuro-radiology 105 = Medical microbiology/genetics 106 = Cardiothoracic surgery 107 = Unspecified 108 = Hematology/oncology 109 = Pediatric critical care 110 = Medicine/Psychiatry 111 = Anesthesiology Critical Care 112 = Pain Medicine 113 = Spinal Reconstructive Surgery 114 = Cytopathology 115 = Forensic Psychiatry 116 = Hematology Pathology 117 = Otolaryngology 118 = Radiology, Musculoskeletal 119 = Surgery, Critical Care 120 = Surgery, Research 121 = Industrial Medicine 122 = Addiction Psychiatry 123 = Alcohol and Drug Abuse 124 = Anesthesiology-Pain Management 125 = Clinical Neuropathology 126 = Dermatology Immunology 127 = Emergency Sports Medicine 128 = Family Practice, Geriatric 129 = Family Practice, Sports Medicine 130 = Pathology/Immunopathology 131 = Internal Medicine, Cardiac Electrophysiology 132 = Internal Medicine/Immunology Diagnostic Lab 133 = Internal Medicine/Pulmonary Dis. & Crit. Care 134 = Neurology/Clinical Neurophysiology 135 = Obstetrics and Gynecology/ Critical Care 136 = Orthopedic Sports Medicine 137 = Orthopedic Surgery of the Spine 138 = Orthopedic Surgery/Adult Reconstructive 139 = Orthopedic Surgery/Musculoskeletal Oncology 140 = Orthopedic Surgery/Pediatric 141 = Orthopedic Surgery/Trauma 142 = Orthopedic/Ankle, Foot 144 = Pediatric Neurosurgery 145 = Pediatric Sports Medicine 146 = Pediatric Urology 147 = Psychiatry/Geriatric 148 = Vascular and Interventional Radiology 149 = Physical Medicine & Rehab/Spinal Cord Injury 150 = Pathology/Pediatric 151 = Neurological Surgery/Critical Care 152 = Neurological Surgery/Pediatric 153 = Chemical Pathology 154 = Transitional Year 155 = Clinical Research/Hypertension 156 = Endourology 157 = Ambulatory Care Fellow 158 = Stroke Fellow 159 = Undersea & Hyperbaric 160 = Biomedical 161 = Epilepsy & Sleep Medicine

162 = Otolarynyology, Pediatric

163 = Pediatric Ophthalmology

Data Available for Physicians, 1979-2014\*

(MDs and DOs not in residency training, licensed by the NC Medical Board)

164 = Gerontology 165 = Clinical Investigator Pathway 166 = Abdominal Organ Transplantation 167 = Bariatric Medicine 168 = Dermatologic Surgery 169 = Electrodiagnostics 170 = Medical Oncology 172 = Oculoplastic Surgery 173 = Oral Surgery 174 = Phlebology175 = Physiatry 176 = Pain Management (physical med) 178 = Cardiology 179 = Pediatric-Anesthesiology 180 = Broncho-Esophagology 181 = Hospitalist 182 = Radioisotopic Pathology 183 = Parkinson Disease & Movement Disorder 184 = Emergency Medicine/Hospice and Palliative 185 = Family Medicine/Hospice and Palliative 186 = Pediatric Emergency Medicine 187 = Neuromuscular 188 = Vascular Neurology 189 = Geriatric Medicine/Internal Medicine 190 = Psychoanalysis 191 = Urgent Care 192 = Med/Emergency Medicine 193 = Med/OBGYN194 = Otolaryngology/Neurology 195 = Otolaryngology/Plastic Surgery 196 = Otolaryngology/Sleep Medicine 197 = Otoneurotology 198 = Anesthesiology/Hospice and Palliative 199 = Proctology 200 = Abdominal Radiology 201 = Neurorehabilitation 202 = Global Health 203 = Nuclear Cardiology (Specialties 85-93 were added in 1990; 94 was added in 1991; 95-105 were added in 1992; 106 was added in 1994; 33 was used in 1994 only; 107-111 were added in 1995; 112-119 were added in 1996; 120 was added in 1997; 121 was added in 1998; 122-154 were added in 1999; 155-161 were added in 2000; 162-163 were added in 2001; 164-166 were added in 2002; 167-177 were added in 2004; 178-179 were added in 2005; 180-183 were added in 2006; items 180 and 182 were used only in 2006 and 180 was recoded to 4 and 182 recoded to 83 in 2007; 184-193 were added in 2008; 194-202 were added in 2009; 203 was added in 2010.) 26. Primary area of practice (2011-2014) 1 = Aerospace Medicine 2 = Allergy3 = Anesthesiology 4 = Broncho-esophagology 5 = Cardiovascular disease 6 = Dermatology

- 7 = Diabetes
- 8 = Emergency medicine
- 8 Enlergency medicine
- 9 = Endocrinology
- 10 = Family practice
- 11 = Gastroenterology
- 12 = General practice
- 13 = General preventive medicine
- 14 = Geriatrics
- 15 = Gynecology
- 16 = Hematology
- 17 = Hypnosis
- 18 = Infectious disease
- 19 = Internal medicine

20 = Laryngology 21 = Legal medicine 22 = Neoplastic disease 23 = Nephrology 24 = Neurology 25 = Neurology, child 26 = Neuropathology 27 = Nuclear medicine 28 = Nutrition 29 = Obstetrics 30 = Obstetrics/gynecology 31 = Occupational medicine 32 = Ophthalmology 33 = Otology 34 = Otorhinolaryngology 35 = Pathology 36 = Pathology, clinical 37 = Pathology, forensic 38 = Pediatrics 39 = Pediatrics, allergy 40 = Pediatrics, cardiology 41 = Pharmacology, clinical 42 = Physical medicine & rehabilitation 43 = Psychiatry 44 = Psychiatry, child 45 = Psychoanalysis 46 = Psychosomatic medicine 47 = Public health 48 = Pulmonary disease 49 = Radiology50 = Radiology, diagnostic 51 = Radiology, pediatric 52 = Radiology, therapeutic 53 = Rheumatology 54 = Rhinology 55 = Roengenology, diagnostic 56 = Surgery, abdominal (transplant) 57 = Surgery, cardiovascular 58 = Surgery, colon and rectal 59 = Surgery, general 60 = Surgery, hand 61 = Surgery, head and neck 62 = Surgery, neurological 63 = Surgery, orthopedic 64 = Surgery, pediatric 65 = Surgery, plastic 66 = Surgery, thoracic 67 = Surgery, traumatic 68 = Surgery, urological 69 = Other specialty 70 = Urology71 = Adolescent medicine 72 = Allergy and immunology 73 = Blood banking 74 = Dermatopathology 75 = Immunology 76 = Maxillofacial surgery 77 = Neonatal-perinatal 78 = Nuclear radiology 79 = Oncology 80 = Pediatric endocrinology 81 = Pediatric hematology-oncology 82 = Pediatric nephrology 83 = Radioisotopic pathology 84 = Child development

- 85 = Addiction/chemical dependency
- 86 = Critical care medicine
- 87 = Epidemiology
- 88 = Gynecological oncology

#### Data Available for Physicians, 1979-2014\*

## (MDs and DOs not in residency training, licensed by the NC Medical Board)

(1125 and 200 not in feetachey training)	neenseu ey une rive meanear board)
89 = Maternal and fetal medicine	159 = Undersea & hyperbaric
90 = Reproductive endocrinology	160 = Biomedical
91 = Radiation oncology	161 = Epilepsy & sleep medicine
92 = Sports medicine 93 = Vascular surgery	162 = Otolaryngology, pediatric 163 = Pediatric ophthalmology
94 = Medicine/pediatrics	164 = Gerontology
95 = Anatomic pathology	165 = Clinical investigator pathway
96 = Facial plastic surgery	166 = Abdominal organ transplantation
97 = Hand surgery, plastic	167 = Bariatric medicine
98 = Pediatric gastroenterology	168 = Dermatologic surgery
99 = Pediatric rheumatology	169 = Electrodiagnostics
100 = Pediatric pulmonology	170 = Medical oncology
101 = Pediatric infectious disease	172 = Oculoplastic surgery
102 = Surgery, oncology 103 = Administrative medicine	173 = Oral surgery 174 = Phlebology
105 – Administrative medicine 104 = Neuro-radiology	174 – Theology 175 = Physiatry
105 = Biomedical	176 = Cardiology
106 = Cardiothoracic surgery	179 = Pediatric-anesthesiology
107 = Unspecified	180 = Broncho-esophagology
108 = Hematology/oncology	182 = Radioisotopic pathology
109 = Pediatric critical care	181 = Hospitalist
110 = Medicine/psychiatry	183 = Parkinson disease & movement disorder
111 = Anesthesiology critical care	184 = Emergency medicine/hospice and palliative
112 = Pain medicine	185 = Family medicine/hospice and palliative
113 = Spinal reconstructive surgery 114 = Cytopathology	186 = Pediatric emergency medicine 187 = Neuromuscular
115 = Forensic psychiatry	188 = Vascular neurology
116 = Hematology pathology	189 = Geriatric medicine/internal medicine
117 = Otolaryngology	190 = Psychoanalysis
118 = Radiology, mulculoskeletal	191 = Urgent care
119 = Surgery, critical care	192 = Med/emergency medicine
120 = Surgery, research	193 = Med/OBGYN
121 = Industrial medicine	194 = Otolaryngology/neurology
122 = Addiction psychiatry	195 = Otolaryngology/plastic surgery 196 = Otolaryngology/sleep medicine
123 = Alcohol and drug abuse 124 = Anesthesiology-pain management	197 = Otoneurotology
125 = Clinical neuropathology	198 = Anesthesiology/hospice and palliative
126 = Dermatology immunology	199 = Proctology
127 = Emergency sports medicine	200 = Abdominal radiology
128 = Family practice, geriatric	201 = Neurorehabilitation
129 = Family practice, sports medicine	202 = Global health
130 = Pathology/immunopathology	203 = Nuclear cardiology
131 = Internal medicine, cardiac electrophysiology	205 = Micrographic surgery
132 = Internal Medicine/Immunology Diagnostic Lab	206 = Hematology/oncology 207 = Transplant hapatology
133 = Internal medicine/pulmonary dis. & crit care 134 = Neurology/clinical neurophysiology	207 = Transplant hepatology 208 = Medical toxicology
135 = Obstetrics gynecology/critical care	209 = Body imaging
136 = Orthopedic sports medicine	210 = Laboratory medicine
137 = Orthopedic surgery of the spine	211 = Diagnostic ultrasound
138 = Orthopedic surgery/adult reconstructive	212 = Integrative medicine
139 = Orthopedic surgery/musculoskeletal oncology	213 = MOHS-Micrographic surgery
140 = Orthopedic surgery/pediatric	214 = Pediatric dermatology
141 = Orthopedic surgery/trauma	215 = Pediatric rehabilitation medicine
142 = Orthopedic/ankle, foot 144 = Pediatric neurosurgery	217 = Student health 218 = Urogynecology
145 = Pediatric sports medicine	219 = Pathology-molecular, genetic
146 = Pediatric urology	220 = Anatomic and clinical pathology
147 = Psychiatry/geriatric	221 = Hospice and palliative medicine
148 = Vascular and interventional radiology	(Primary areas of practice were reordered 85-93 were added
149 = Physical medicine & rehab/spinal cord injury	in 1990; 94 was added in 1991; 95-105 were added in 1992; 106
150 = Pathology, pediatric	was added in 1994; 33 was used in 1994 only; 107-111 were
151 = Neurological surgery/critical care	added in 1995; 112-119 were added in 1996; 120 was added in
152 = Neurological surgery/pediatric	1997; 121 was added in 1998; 122-152 were added in 1999; 153-
153 = Chemical pathology 154 = Transitional year	158 were added in 2000; 159-160 were added in 2001; 161-163
155 = Clinical research/hypertension	were added in 2002; 164-171 were added in 2004; 172-173 were added in 2005; 174-176 were added in 2006; 177-185
156 = Endourology	were added in 2003, 174-176 were added in 2006, 177-185 were added in 2008; 186-194 were added in 2009; 195 was
157 = Ambulatory care fellow	added in 2010; 196-213 were added in 2011.
158 = Stroke follow	

- 157 = Ambulatory care fellow
- 158 = Stroke fellow

#### Data Available for Physicians, 1979-2014\*

(MDs and DOs not in residency training, licensed by the NC Medical Board)

- 27. Secondary specialty (1979-2010) (see primary specialty, 1979-2010)
- 28. Areas of practice (2011-2014)
- 29. Board certification primary specialty (self reported) (1979-1994, 1998)
- 30. Board eligible primary specialty (self reported) (1994)
- 31. Board certification secondary specialty (self reported) (1979-1994, 1998)
- 32. Board eligible secondary specialty (self reported) (1994)
- 33. Doctor of osteopathic medicine (1979-1991, 1994-2012
- 34. Activity status (1980-2014)
  - 1 = active
  - 2 = inactive
  - $\cdot$  = unknown

Activity Status (1979)

- 1 = currently practicing
- 2 = employed in other field
- 3 = retired 4 = inactive
- 5 = deceased
- 6 = revoked/suspended
- $\cdot$  = unknown

35. Reason inactive (1994-2014)

- 1 = retired
- 2 = engaged in medical research, teaching, or administration as primary activity
- 3 = temporarily not in practice
- 4 = employed in a non-medical field
- 5 = engaged in a research fellowship
- · = unknown
- Reason inactive (1992-1993)
  - 1 = currently practicing
  - 2 = working in non-medical field
  - 3 = retired
  - 4 = homemaker
  - 5 = other
  - $\cdot$  = unknown
- Reason inactive (1982-1991)
  - 1 = currently practicing
  - 2 = working in other field
  - 3 = retired
  - 4 = homemaker
  - 5 = in training in medicine
  - 6 = other
  - = unknown
- Reason inactive (1979-1981)
  - 1 = other work wants work in profession
  - 2 = other work doesn't want work in profession
  - 3 = not working wants work in profession
  - 4 = doesn't want work retired
  - 5 = doesn't want work homemaker
  - 6 = doesn't want work training
  - 7 = doesn't want work other reason
  - $\cdot$  = unknown
- 36. Hours per week-patient care (1979-1981,1992-1996, 2009-2011)
- 37. Percent time in patient care (1982-1992)
- *38. Hours per week-administration* (1979-1981, 1992-1993, 1995-1996)

- 39. Hours per week-research (1979-1981, 1992-1993, 1995-1996)
- 40. Hours per week-teaching (1979-1981, 1992-1993, 1995-1996)
- 41. Hours per week-training in medicine (1992-1993, 1995-1996)
- 42. Hours per week-other medical activities (1979-1981, 1992-1993, 1995-1996)
- 43. Total hours per week (1979-1993, 1995-1996)
- 44. Primary practice location city (1992-1993) state (1992-1993) ZIP code (1992-2011) county (1992-2011)
- 45. Primary location facility type (1998-2014)
  - 01 =locum tenens
  - 02 = solo practitioner's office
  - 03 = free-standing clinic
  - 04 = group office
  - 05 =staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = telemedicine
  - 12 = other
  - $\cdot$  = unknown

#### Primary location facility type (1997)

- 01 = locum tenens
- 02 = solo practitioner's office
- 03 = free-standing clinic
- 04 = group office
- 05 = staff or group model HMO
- 06 = hospital-outpatient dept
- 07 = hospital-emergency room
- 08 = hospital-other
- 09 = medical school or parent university
- 10 = nursing home/extended care facility
- 11 = other
- Primary location facility type (1995-1996)
- 01 = locum
  - 02 = solo practitioner's office
  - 03 = free-standing clinic (publicly supported)
- 04 = group office
- 05 = nursing home extended care facility
- 06 = hospital-outpatient department (OPD)
- 07 = hospital-emergency room (ER)
- 08 = hospital-other than ER or OPD

04 = nursing home or extended care

05 = hospital-outpatient department 06 = hospital-emergency room

08 = medical school or parent university

- 09 = medical school or parent university 00 = other
- Primary location facility type (1994)
  - 01 = solo practitioner's office
  - 02 = free-standing clinic

03 = group office

07 = hospital-other

09 = other

224

#### Data Available for Physicians, 1979-2014\*

## (MDs and DOs not in residency training, licensed by the NC Medical Board)

- 46. Primary location hours per week-clinical care excluding on-call hours (1997-2008)
- 47. Primary location clinical care hours per week-primary care (1997-2008)
- 48. Primary location number of weekday nights and weekend days on call (1997-2008)
- 49. Hours per week involved in patient care (2009-2014)
- 50. Number of patient care hours spent providing primary care services (2009-2014)
- 51. Average number of days on call a week (2009-2014)
- 52. Primary location number of patient visits/week (1994)
- 53. Primary location hours/week in direct patient care (1994)
- 54. Primary location hours/week on-call (1994)
- 55. Primary location hours/week teaching, research, administration (1994)
- 56. Primary location hours/week other (1994)
- 57. Primary location hours/week in medicine (1992-1993)
- 58. Primary location employment setting (1992-1993)
- 59. Primary location percent prepaid (1992-1993)
- 60. Secondary practice location city (1992-1993) state (1992-1993, 2014) ZIP code (1992-2014) county (1992-2014)
- 61. Secondary location facility type (1998-2014)
  - 01 = locum tenens
    - 02 = solo practitioner's office 03 = free-standing clinic
    - 03 = free-standing04 = group office
    - 05 = staff or group model HMO
    - 06 = hospital-outpatient dept
    - 07 = hospital-emergency room
    - 08 = hospital-other
    - 09 = medical school or parent university
    - 10 = nursing home/extended care facility
    - 11 = telemedicine
    - 12 = other
    - · = unknown
  - Secondary location facility type (1997)
    - 01 = locum tenens
    - 02 = solo practitioner's office
    - 03 = free-standing clinic
    - 04 = group office
    - 05 = staff or group model HMO 06 = hospital-outpatient dept
    - 07 = hospital-outpatient dept
    - 08 = hospital-other
    - 09 = medical school or parent university
    - 10 = nursing home/extended care facility
    - 11 = other
  - Secondary location facility type (1995-1996)
    - 01 = locum
    - 02 = solo practitioner's office
    - 03 = free-standing clinic (publicly supported)

- 04 = group office
- 05 = nursing home extended care facility
- 06 = hospital-outpatient department (OPD)
- 07 = hospital-emergency room (ER)
- 08 = hospital-other than ER or OPD
- 09 = medical school or parent university
- 10 = other
- Secondary location facility type (1994)
  - 01 = solo practitioner's office
  - 02 = free-standing clinic
  - 03 = group office
  - 04 = nursing home or extended care
  - 05 = hospital-outpatient department
  - 06 = hospital-emergency room
  - 07 = hospital-other
  - 08 = medical school or parent university
  - 09 = other
- 62. Secondary location hours per week-clinical care excluding on-call hours (1997-2008, 2014)
- 63. Secondary location clinical care hours per weekprimary care (1997-2008)
- 64. Secondary location number of weekday nights and weekend days on call (1997-2008)
- 61. Secondary location number of patient visits/week (1994)
- 62. Secondary location hours/week in direct patient care (1994)
- 63. Secondary location hours/week on-call (1994)
- 64. Secondary location hours/week teaching, research, *administration* (1994)
- 65. Secondary location hours/week other (1994)
- 66. Secondary location hours/week in medicine (1992-1993)
- 67. Secondary location employment setting (1992-1993)
- 68. Secondary location percent prepaid (1992-1993)
- 69. Other practice location city (1992-1993) state (1992-1993, 2014) ZIP code (1992-2014) county (1992-2014)
- 70. Other location facility type (1998-2014)
  - 01 = locum tenens
  - 02 = solo practitioner's office
  - 03 = free-standing clinic
  - 04 = group office
  - 05 = staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = telemedicine
  - 12 = other
    - $\cdot$  = unknown
  - Other location facility type (1997)
    - 01 = locum tenens
    - 02 = solo practitioner's office
    - 03 = free-standing clinic

#### Data Available for Physicians, 1979-2014\*

#### (MDs and DOs not in residency training, licensed by the NC Medical Board)

- 04 = group office
- 05 = staff or group model HMO
- 06 = hospital-outpatient dept
- 07 = hospital-emergency room
- 08 = hospital-other
- 09 = medical school or parent university
- 10 = nursing home/extended care facility
- 11 = other
- Other location facility type (1995-1996)
  - 01 = locum
  - 02 = solo practitioner's office
  - 03 = free-standing clinic (publicly supported)
  - 04 = group office
  - 05 = nursing home extended care facility
  - 06 = hospital-outpatient department (OPD)
  - 07 = hospital-emergency room (ER) 08 = hospital-other than ER or OPD
  - 09 =medical school or parent university
  - 00 = other
- Other location facility type (1994)
  - 01 = solo practitioner's office
  - 02 = free-standing clinic
  - 03 = group office
  - 04 = nursing home or extended care
  - 05 = hospital-outpatient department
  - 06 = hospital-emergency room
  - 07 = hospital-other
  - 08 = medical school or parent university
  - 09 = other
- 71. Other location hours per week-clinical care -excluding on-call hours (1997-2008, 2014)
- 72. Other location clinical care hours per week-primary *care* (1997-2008)
- 73. Other location number of weekday nights and weekend days on call (1997-2008)
- 74. Other location number of patient visits/week (1994)
- 75. Other location hours/week in direct patient care (1994)
- 76. Other location hours/week on-call (1994)
- 77. Other location hours/week teaching, research, administration (1994)
- 78. Other location hours/week other (1994)
- 79. Other location hours/week in medicine (1994)
- 80. Other location employment setting (1992-1993)
- 81. Other location percent prepaid (1992-1993)
- 82. Principally employed by federal government
- 83. Add date (1996-2014)
- 84. Basis code (1996-2014)
- 85. License code (1996-2014)
- 86. Cert. date (1996-2014)
- 87. Public file (1996-2014)
- 88. Principally employed by state or local government (1995-1996)

- 89. Has practice changed since last registration? (1995-1996)
- 90. Do you provide obstetric deliveries? (1997-2014)
- 91. Do you provide prenatal care? (1997-2014)
- 92. Do you provide obstetric care? (1995-1996)
- 93. Are you engaged in direct patient care (1995-2014)
- 94. Are you engaged in direct patient care: retired from *active practice* (1995-2014)
- 95. Are you engaged in direct patient care: temporarily not in practice (on leave) (1995-2014)
- 96. Are you engaged in direct patient care: employed in a non-medical field (1995-2014)
- 97. Are you engaged in direct patient care: primarily doing research, teaching or administration (1995-2014)
- 98. Engaged in direct patient care 1-20 hrs/wk (1995-1996)
- 99. Engaged in direct patient care 1-20 hrs/wk: parttime medical practice (1995-1996)
- 100. Engaged in direct patient care 1-20 hrs/wk: research, teaching, or administration (1995-1996)
- 101. Engaged in direct patient care 1-20 hrs/wk: other (1995-1996)
- 102. Engaged in direct patient care more than 20 hrs/wk (1995-1996)
- 103. Most of practice income from salary rather than service for fees (1994)
- 104. Percent patient care income from Medicare (1994)
- 105. Percent patient care income from Medicaid (1994)
- 106. Percent patient care income from private insurance (including BC/BS) (1994)
- 107. Percent patient care income from other sources (grants, worker's comp.) (1994)
- 108. Percent patient care income from managed care (HMO/PPO) (1994)
- 109. Percent patient care income unreimbursed (1994)
- 110. Percent patient care income from patient out of pocket (1994)
- 111. Only accepts new patients with insurance (1994)
- 112. Only accepts new patients on Medicaid (1994)
- 113. Only accepts new patients on Medicare (1994)
- 114. Accepts all types of new patients, regardless of insurance (1994)
- 115. Intend to retire or relocate outside of NC this year (1994)
- 116. Number of weeks worked in clinical practice (1994)
- 117. Number of weeks worked last year (1979-1981, 1992-1993)

(MDs and DOs not in residency traini	
118. Years active (1979-1981)	Employment setting (1982-1991)
119. Number of babies personally delivered in the past	Non-federal:
year (1994)	1 = practitioners office 2 = free standing clinic
	3 = group health care facility
120. Intend to deliver babies during the next calendar	4 = professional association
<i>year</i> (1994)	5 = hospital
121. Currently delivering babies (1992-1993)	6 = nursing home
	7 = other non-federal
122. Form of employment (1980-1991)	Federal:
Self employed:	8 = health facility-military 9 = hospital-VA, public health, Indian
1 = solo	health
2 = partnership	10 = health facility-other
3 = post graduate	Miscellaneous:
Non-governmental employer:	11 = educational institution
4 = retail	12 = other
5 = partnership 6 = group health plan	· = unknown
7 = medical school	Employment setting (1980-1981)
8 = other - non-governmental	Non-federal:
Governmental employer:	1 = practitioners office
9 = local	2 = free standing clinic
10 = county	3 = group health care facility 4 = hospital
11 = state	5 = nursing home
12 = federal - non-military	6 = other
13 = federal - military	Federal:
14 = other · = unknown	7 = health facility-military
Form of employment (1979 only)	8 = health facility-non-military
Self employed:	Miscellaneous:
1 = solo	9 = patient's homes
2 = partnership	10 = medical research institute or establishment
3 = post graduate	11 = administrative or regulatory health agency
4 = academic	12 =professional association
Non-governmental employer:	Schools:
5 = retail	13 = school of medicine or dentistry
6 = group	14 = school of nursing
7 = group health plan 8 = medical school	15 = school of public health
9 = other	16 = school for handicapped
Governmental employer:	17 = other school
10 = local	18 = other setting · = unknown
11 = county	Employment setting (1979 only)
10 = state	Non-federal:
11 = federal - non-military	1 = practitioners office
12 = federal – military	2 = free standing clinic
123. National Health Service Corps (1981-1982)	3 = group health care facility
1 = yes	4 = hospital
2 = no	5 = nursing home
· = unknown	Federal:
124. Employment setting (1992-1993)	6 = military health facility
Non-federal:	7 = VA, public health
1 = practitioners office	Miscellaneous: 8 = patients' homes
2 = free standing clinic	9 = medical research institution
3 = group health care facility	10 = administrative health agency
4 = hospital	Schools:
5 = nursing home	11 = medical or dental school
Federal:	12 = nursing school
6 = health facility-military	13 = public health school
7 = hospital-VA, public health, Indian	14 = school for handicapped
health 8 = health facility-other	15 = other school 16 = other setting

Miscellaneous:

10 = other

9 = university or educational institution

 $\cdot$  = unknown

If a variable is not available for all years, it will be noted in parentheses.

- 1. License/certification number
- 2. Name

first middle initial last

3. Business address

business name street city state ZIP code county AHEC HSA

4. Mailing address

street city

state

ZIP code

- 5. Name of residency program
- 6. Residency specialty (see pages 222-223 for specialties.)
- 7. Location codes (based on business address)

county state 1 = in-state 2 = out of state -9 = state unknown AHEC HSA

\* If a variable is not available for all years, it will be noted in parentheses.

Data Available for Physician Assistants, 1979-2014\*

(Prior to 2008 available data for Nurse Practitioners and Physician Assistants were combined)

- 1. License/certification number 11. Training program - school (1985-2014) 12. Training program - state (1995-2014) 2. Issue date month 13. Training program - year (1985-1993, 1995-2014) year 14. Activity Status (1995-2014) 3. Name 1 = active2 = inactivefirst • = unknown middle initial last 15. Profession code (1992-2007) 1 = physician assistant 4. Home address (1979-1993) 2 = nurse practitioner county state 16. Physician Extender Type (1996-1999) 0 = nurse practitioner, type unknown 5. Mailing address 1 = physician assistant address line 1 2 = family nurse practitioner address line 2 3 = pediatric nurse practitioner city 4 = family planning nurse state 5 = women's health ZIP code 6 = geriatric nurse county 7 = emergency nurse practitioner AHEC 8 = obstetrics nurse HAS 9 = adult nurse practitioner 10 = acute care nurse practitioner 6. Business address 11 = neonatal nurse practitioner address line 1 12 = psychiatric mental health address line 2 = unknown city state 17. Primary Specialty (1996-2014) ZIP code (see pages 220-222 for specialty listing) county AHEC 18. Secondary Specialty (1996-2012) HAS (see pages 220-222 for specialty listing) 19. Supervising Physician License Number (1996-2014) 7. Location codes (based on home address if the business address is unknown; otherwise based on the business address) 20. Backup Physician license number (1994-1995) county 21. Profession code (1979-1991) state 1 = physician assistant 1 = in state2 = out of state2 = family nurse practitioner -9 = state unknown 3 = pediatric nurse practitioner 4 = family planning nurseAHEC 5 = nurse midwife HSA 6 = geriatric nurse 8. Birth date (day, month, and year) 7 = emergency nurse practitioner 8 = ob/gyn nurse practitioner 9. Gender (1994, 1999-2014) 9 = adult nurse practitioner 1 = male10 = nurse practitioner, type unknown 2 = female• = unknown • = unknown 22. Basic professional education - school (1979-1984) 10. Race (1999-2014) 1 = White/Non-Hispanic 23. Basic professional education - state (1979-1984) 2 = Black/Non-Hispanic 3 = American Indian/Alaskan Native 24. Basic professional education - year (1979-1984) 4 = Asian/Pacific Islander 5 = Hispanic 25. Primary practice location 6 = OtherZIP code (1997-2014) • = unknown county (1997-2014) Race (1994) 26. Primary location facility type (1998-2014) 1 = White 01 = locum tenens2 = Black02 = solo practitioner's office 3 = American Indian 03 = free-standing clinic 4 = Asian04 = group office5 = Other05 = staff or group model HMO 6 = Hispanic 06 = hospital-outpatient dept • = unknown
  - 229

#### Data Available for Physician Assistants, 1979-2014\*

(Prior to 2008 available data for Nurse Practitioners and Physician Assistants were combined)

- 07 = hospital-emergency room
- 08 = hospital-other
- 09 = medical school or parent university
- 10 = nursing home/extended care facility
- 11 = hospital other
- 12 = other
- 13 = federal government hospital
- 14 = federal/state/community health center(s)
- 15 = home health setting
- 16 = local health department
- 17 = telemedicine
- 18 = volunteer in a free clinic
- 19 = hospice care
- 20 = research laboratory
- = unknown
- Primary location facility type (1997)
  - 01 = locum tenens
  - 02 = solo practitioner's office
  - 03 = free-standing clinic
  - 04 = group office
  - 05 = staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = other
- 27. Primary location hours per week-clinical care excluding on-call hours (1997-2014)
- 28. Primary location clinical care hours per week- primary care (1997-2014)
- 29. Primary location number of week-day nights and weekend days on call (1997-2014)
- 30. Secondary practice location ZIP code (1992-2014) county (1992-2014)
- 31. Secondary location facility type (1998-2014)
  - 01 = locum tenens
  - 02 = solo practitioner's office
  - 03 = free-standing clinic
  - 04 = group office
  - 05 = staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = hospital other
  - 12 = other
  - 13 = federal government hospital
  - 14 = federal/state/community health center(s)
  - 15 = home health setting
  - 16 = local health department
  - 17 = telemedicine
  - 18 = volunteer in a free clinic
  - 19 = hospice care
  - 20 = research laboratory
  - = unknown
  - Secondary location facility type (1997)
    - 01 = locum tenens
    - 02 = solo practitioner's office
    - 03 = free-standing clinic

- 04 = group office
- 05 = staff or group model HMO
- 06 = hospital-outpatient dept
- 07 = hospital-emergency room
- 08 = hospital-other
- 09 = medical school or parent university
- 10 = nursing home/extended care facility
- 11 = other
- 32. Secondary location hours per week-clinical care excluding on-call hours (1997-2014)
- 33. Secondary location clinical care hours per weekprimary care (1997-2012)
- 34. Secondary location number of week-day nights and weekend days on call (1997-2012)
- 35. Other practice location ZIP code (1992-2014) county (1992-2014)
- 36. Other location facility type (1998-2014)
  - 01 = locum tenens
  - 02 = solo practitioner's office
  - 03 = free-standing clinic
  - 04 = group office
  - 05 = staff or group model HMO
  - 06 = hospital-outpatient dept
  - 07 = hospital-emergency room
  - 08 = hospital-other
  - 09 = medical school or parent university
  - 10 = nursing home/extended care facility
  - 11 = hospital other
  - 12 = other
  - 13 = federal government hospital
  - 14 = federal/state/comm health center(s)
  - 15 = home health setting
  - 16 = local health department 17 = telemedicine
  - 18 = volunteer in a free clinic
  - 19 = hospice care
  - 20 = research laboratory
  - = unknown
  - Other location facility type (1997)
    - 01 = locum tenens
    - 02 = solo practitioner's office
    - 03 = free-standing clinic
    - 04 = group office

08 = hospital-other

11 = other

on-call hours (1997-2013)

days on call (1997-2012)

noted in parentheses.

230

care (1997-2005)

- 05 = staff or group model HMO
- 06 = hospital-outpatient dept 07 = hospital-emergency room

09 = medical school or parent university

10 = nursing home/extended care facility

37. Other location hours per week-clinical care - excluding

38. Other location clinical care hours per week - primary

39. Other location number of weekday nights and weekend

If a variable is not available for all years, it will be

Data Available for Podiatrists, 1979-2014\*

- 1. License/certification number
- 2. Licensing date (1979-1985) month year
- 3. Name

first middle initial last

- 4. Home address street city state ZIP code county AHEC HSA
- 5. Business address
  - business name street city state ZIP code county AHEC
  - HSA
- 6. Preferred mailing address
  - 1 = home
  - 2 = business
  - = unknown
- 7. Location codes (based on home address if individual is inactive or if the business address is unknown; otherwise based on the business address)
  - county state 1 = in state 2 = out of state -9 = state unknown AHEC HSA
- 8. Birth year
- 9. Gender
  - 1 = male
    - 2 = female
    - = unknown
- 10. Race (1979-1985)
  - 1 = White
  - 2 = Black
  - 3 = American Indian
  - 4 = Asian5 = Other
  - = Unknown

- 11. Spanish origin (1979-1983)
  - 1 = yes
  - 2 = no • = unknown
- 12. Basic professional education state
- 13. Basic professional education school
- 14. Basic professional education year
- 15. Activity status
  - 1 = active
    - 2 = inactive
    - = unknown
- 16. *Reason inactive* (1986-2014)
  - 3 = teaching
  - 4 = retired
  - 5 = in professional training
  - 6 = other
  - = unknown
  - Reason inactive (1982-1985)
    - 1 = working in other field
    - 2 = retired
    - 3 = homemaker
    - 4 = in professional training
    - 5 = other
    - = unknown
  - Reason inactive (1979-1981)
    - 1 = other work wants work in profession
    - 2 = other work doesn't want work in
      - profession
    - 3 = not working want work in profession
    - 4 = doesn't want work retired
    - 5 = doesn't want work homemaker
    - 6 = doesn't want work training
    - 7 = doesn't want work other reason
    - = reason unknown
- 17. Primary specialty
  - 1 = foot orthopedics/biomechanics
  - 2 = general practice
  - 3 = podiatric dermatology
  - 4 = podogeriatrics
  - 5 = podopediatrics
  - 6 = roentgenology
  - 7 = surgery
  - 8 = other specialty
  - 0 = none
  - = unknown
- 18. Secondary specialty (1979-1981)
- 19. Tertiary specialty (1979-1981)

#### Data Available for Podiatrists, 1979-2014\*

20.	Form of employment (1982-2014)
	1 = solo - self employed
	2 = non-solo - self employed
	Employee of:
	3 = individual practitioner
	4 = partnership or group
	5 = local government
	6 = county government
	7 = State government
	8 = Federal government
	9 = other
	• = unknown
	Form of employment (1979-1981)
	Self employed:
	11 = solo
	12 = partnership or group
	Non-governmental employer:
	21 = individual practice
	22 = retail or wholesale trade
	23 = partnership or group
	24 = group health plan facility
	25 = other
	Governmental employer:
	31 = local
	32 = county
	33 = state
	34 = federal-civilian
	35 = federal-military
	Miscellaneous:
	41 = unpaid worker
	44 = other
	• = unknown
21.	Employment setting (1982-2014)
	Non-federal:
	11 = hospital
	12 = nursing home
	13 = free-standing clinic
	14 = group pre-paid health facility
	15 = practitioner's office
	16 = other
	Federal:
	21 = military
	22 = VA, public health, Indian health
	23 = 0 other
	Miscellaneous:
	30 = school, college university or other

- 30 = school, college university or other educational institution
- 71 = other type of setting
- = unknown

Employment setting (1979-1981) Nonfederal: 11 = hospital 12 = nursing home 13 = clinic14 = group health facility 15 = practitioner's office 16 = otherFederal: 21 = military 22 = otherSchools: 31 = medicine, dentistry 32 = nursing33 = other health profession 34 = school, handicapped 35 = elementary or high school 36 = otherMiscellaneous: 41 = patient's home 42 = medical research facility 43 = professional or allied health association 44 = administrative health agency Business establishments: 51 = manufacturing or industrial 52 = retail, wholesale, or other business Other settings: 71 = other• = unknown

- 22. Total hours practiced per average week (1979-1986)
- 23. Percent time in patient care (1979-1986)
- 24. Hours per week in retailing (1979-1981)
- 25. Hours per week in teaching (1979-1981)
- 26. Hours per week in research (1979-1981)
- 27. Hours per week in administration (1979-1981)
- 28. Hours per week in other activity (1979-1981)
- 29. Number of weeks worked past 12 months (1979-1981)

\* If a variable is not available for all years, it will be noted in parentheses.

- 1. License/certification number
- 2. Licensing date month year
- 3. Renewal date
- 4. Name
  - first middle initial last
- 5. Home address street city state ZIP code county AHEC HSA
- 6. Business address
  - business name street city state ZIP code county AHEC HSA
- 7. Preferred mailing address
  - 1 = home
  - 2 = business
  - = unknown
- 8. Location codes (based on home address if individual is inactive or if business address is unknown; otherwise based on business address)
  - county state 1 = in state 2 = out of state -9 = state unknown
  - AHEC HSA
  - 110/
- 9. Birth year
- 10. Gender
  - 1 = male
  - 2 = female
  - = unknown
- 11. Race
  - 1 = White
  - 2 = Black
  - 3 = American Indian
  - 4 = Asian
  - 5 = Other
  - 6 = Hispanic
  - = Unknown
- 12. Spanish origin (1979-1983)
  - 1 = yes
  - 2 = no
  - = unknown

- 13. Basic professional education state (1979-1984; 1986-2014)
- 14. Basic professional education school (1979-1984; 1986-2014)
- 15. Basic professional education year (1979-1984; 1986-2011)
- 16. Basic professional education degree (1979-1984; 1986-2011) 5 = baccalaureate
  - 6 = master's
  - 7 = doctorate required for licensure
    - (for practicing psychologists only)
  - = unknown
- 17. Activity status
  - 1 = active
    - 2 = inactive
      - = unknown
- 18. *Reason inactive* (1982-2014)
  - 1 = working in other field
  - 2 = retired
  - 3 = unemployed
  - 4 = student
  - 5 = other
  - = unknown
  - Reason inactive (1979-1981)
    - 1 = other work wants work in profession
    - 2 = other work doesn't want work in profession
    - 3 = not working wants work in profession
    - 4 = doesn't want work retired
    - 5 = doesn't want work homemaker
    - 6 = doesn't want work training
    - 7 = doesn't want work -other reason
    - = reason unknown
- 19. Degree Specialty (2007-2014)
  - 1 = clinical
  - 2 = counseling
  - 3 = industrial/organizational
  - 4 = schools
  - 5 = other
  - = unknown
- 20. Primary specialty (2003-2006)
  - 1 = clinical
    - 2 = counseling
    - 3 = industrial and organizational
  - 4 = school
  - 5 = other
  - 0 = no specialty
  - = unknown
  - Primary specialty (1992-2002)
    - 1 = clinical
    - 2 = community
    - 3 = counseling
    - 4 = developmental
    - 5 = educational
    - 6 = evaluation and measurement
    - 7 = experimental
    - 8 = industrial and organizational
    - 9 = personality
    - 10 = physiological
    - 11 = rehabilitation
    - 12 = school
    - 13 = social
    - 14 = other
    - 0 = no specialty • = unknown

233

Primary specialty (1979-1991) 1 = behavior therapy 2 = clinical 3 = community4 = comparative 5 = consulting6 = counseling 7 = developmental 8 = educational 9 = evaluation and measurement 10 = experimental 11 = mental retardation 12 = military13 = industrial and organizational 14 = rehabilitation 15 = personality 16 = physiological 17 = psychodiagnostics 18 = psychological hypnosis 19 = psychopharmacology 20 = psychotherapy 21 = school22 = social23 = other24 = neuropsychology 0 = no specialty• = unknown 21. Secondary specialty (1979-1981) 22. Tertiary specialty (1979-1981) 23. Form of employment (1982-1985) 1 =solo - self employed 2 = non-solo - self employedEmployee of: 3 = individual practitioner 4 = partnership or group 5 = local government 6 = county government 7 = State government 8 = Federal government 9 = other• = unknown Form of employment (1979-1981) Self employed: 11 = solo12 = partnership or group Non-governmental employer: 21 = individual practice 22 = retail or wholesale trade 23 = partnership or group 24 = group health plan facility 25 = otherGovernmental employer: 31 = local32 = county33 = state34 = federal-civilian 35 = federal-military Miscellaneous: 41 = unpaid worker 44 = other• = unknown 24. Employment setting (1991-2014) 1 = State or local government

2 = Federal

- 3 = public school system
- 4 = non-governmental health care

5 = private or group practice 6 = educational institution 7 = business/industry 8 = other• = unknown Employment setting (1982-1990) Nonfederal: 11 = hospital 12 = nursing home 13 = clinic 14 = group pre-paid health facility 15 = practitioner's office 16 = otherFederal: 21 = military22 = VA, public health, Indian health 23 = otherMiscellaneous: 30 = school, college university or other educational institution 71 = other type of setting = unknown Employment setting (1979-1981) Nonfederal: 11 = hospital 12 = nursing home 13 = clinic14 = group health facility 15 = practitioner's office 16 = otherFederal: 21 = military 22 = otherSchools: 31 = medicine, dentistry 32 = nursing33 = other health profession 34 = school, handicapped 35 = elementary or high school 36 = otherMiscellaneous: 41 = patients' home 42 = medical research facility 43 = professional or allied health association 44 = administrative health agency Business establishments: 51 = manufacturing or industrial 52 = retail, wholesale, or other business Other settings: 71 = other = unknown Total hours practiced per average week (1979-1984) 26. Percent time in patient care (1979-1984) 27. Hours per week in retailing (1979-1981)

- 28. Hours per week in teaching (1979-1981)
- 29. Hours per week in research (1979-1981)
- 30. Hours per week in administration (1979-1981)
- 31. Hours per week in other activity (1979-1981)
- 32. Number of weeks worked in past 12 months (1979-1981)

\* If a variable is not available for all years, it will be noted in parentheses.

25.

#### Data Available for Registered Nurses, 1979-2014\*

- 1. License/certification number
- 2. Licensing date month year
- 3. License expiration date
- 4. Name
  - first middle initial last
- 5. Home address state county AHEC HSA
- 6. Business address
  - city state ZIP code county AHEC HSA
- 7. Mailing address street city state
  - ZIP
- 8. Location codes (based on mailing address if individual is inactive or if the business address is unknown; otherwise based on the business address)
  - county state 1 = in state 2 = out of state -9 = state unknown AHEC HSA
- 9. Birth year
- 10. Gender
  - 1 = male
    - 2 = female
    - = unknown
- 11. *Race* (2014)
  - 1 = Caucasian
  - 2 = Black/ African-American
  - 3 = American Indian/ Alaska Native
  - 4 = Hispanic
  - 5 = Asian
  - 6 = Hawaiian/ Pacific Islander
  - 7 = Other
  - = Unknown
  - Race (1992-2013)
    - 1 = White
    - 2 = Black
    - 3 = American Indian
    - 4 = Hispanic
    - 5 = Asian
    - 6 = Other
    - = Unknown

- Race (1979-1991)
  - 1 = White 2 = Black
    - 3 = American Indian
    - 4 = Asian
    - 5 = Other
  - = Unknown
- 12. Spanish origin (1979-2012)
  - 1 = yes
  - 2 = no
  - = unknown
- 13. *Marital status* (1979-1981)
  - 1 = never married
  - 2 = married
  - 3 = separated/divorced
  - 4 = widowed
  - = unknown
- 14. Basic nursing education degree for licensure (1979-2013)
  - 1 = diploma
  - 2 = associate
  - 3 = baccalaureate or higher
  - 4 = other
  - = unknown
- 15. Basic professional education school
- 16. Basic professional education state
- 17. Basic professional education year
- 18. Highest degree (2014) doctoral master's degree baccalaureate degree associate degree diploma vocational/practical certificate
  - Highest degree (1999-2013)
  - 1 = diploma
    - 2 = associate
    - 3 = baccalaureate in nursing
    - 4 = baccalaureate in other field
    - 5 = master's in nursing
    - 6 = master's in other field
    - 7 = doctorate in nursing
    - 8 = doctorate in other field
    - = unknown
  - Highest degree (1979-1998)
    - 1 = diploma
    - 2 = associate
    - 3 = baccalaureate in nursing
    - 4 = baccalaureate in other field
    - 5 = master's in nursing
    - 6 = master's in other field
    - 7 = doctorate
    - = unknown
- 19. Present employment status (2014)
  - A = Full-time in nursing
  - B = Nursing volunteer
  - C = Full-time employed, non-nursing
  - H = Retired
  - I = Part-time in nursing
  - J = Per diem in nursing
  - K = Part-time employed, not in nursing
  - L = Per diem employed, not in nursing

#### Data Available for Registered Nurses, 1979-2014\*

15 = emergency care Present employment status (2002-2013) 16 = family health1 = employed in nursing full-time 17 = neonatal 2 = employed in nursing part-time 18 = neurology3 = employed in other field full-time 19 = occupational health 4 = employed in other field part-time 20 = oncology5 = unemployed 21 = orthopedics 6 = retired22 = peri-operative 7= disabled 23 = rehabilitation • = unknown 24 = transplants Present employment status (1980-2001) 25 = urology 1 = employed in nursing full-time 26 = other2 = employed in nursing part-time = unknown 3 = employed in other field full-time Major clinical practice or training area (1999) 4 = employed in other field part-time 1 = public/community health 5 = unemployed 2 = general practice • = unknown 3 = geriatrics Present employment status (1979) 4 = obstetrics-gynecology 1 = employed in nursing full-time 5 = medical-surgical 2 = employed in nursing part-time 6 = pediatrics3 = employed in other field full-time 7 = psychiatric mental health 4 = employed in other field part time 8 = AIDS5 = unemployed 9 = cardiology 6 = employed in nursing, hours unknown 10 = critical care 7 = employed in other field, hours unkn. 11 = dermatology • = unknown 12 = dialysis20. Inactive status (1980-1981) 13 = drug/alcohol1 = wants work in profession 14 = EENT2 = other work - doesn't want work in profession 15 = emergency care 3 = not working - wants work in profession 16 = family health4 = not working - doesn't want work in profession 17 = neonatal 5 = other18 = occupational health • = unknown 19 = oncology20 = orthopedics 21. Primary Employment Specialty (2014) 21 = peri-operative 1 = Acute Care/ Critical Care/ Emergency Care 22 = rehabilitation 2= Adult Health/ Family Health 23 = transplants 3 = Anesthesia 24 = urology4 = Community 25 = other5 = Geriatric/ Gerontology = unknown 6 = Home Health Major clinical practice or training area (1979-1998) 7 = Maternal Child Health 1 = community/public health/home health 8 = Medical Surgical 9 = Occupational Health 2 = general practice 3 = geriatric/gerontologic 10 = Oncology4 = OB/GYN11 = Palliative Care 12 = Pediatrics/ Neonatal 5 = medical/surgical 6 = pediatric 13= Public health 7 = psychiatric/mental health 14 = Psychiatric/ Mental Health/ Substance Abuse 15 = Rehabilitation 8 = other• = unknown 16 = School Health 17 = Trauma 22. Secondary Employment Specialty (2014) 18 = Women's Health 23. Primary Employment Setting (2014) 19 = OtherA = Hospital Major clinical practice or training area (2000-2013) B = Ambulatory Care Setting 1 = public/community health C = Public Health 2 = general practice D = Occupational Health 3 = geriatrics E = Insurance Claims/Benefits 4 = obstetrics-gynecology F = Nursing Home/ Extended Care/ Assisted 5 = medical-surgical Living Facility 6 = pediatrics G = Home Health/ Hospice 7 = psychiatric mental health H = Academic Setting 8 = AIDSI = Correctional Facility 9 = cardiologyJ = School Health Service 10 = critical care K = Other 11 = dermatology L = Community Health 12 = dialysis M = Policy Planning/ Regulatory Licensing 13 = drug/alcoholAgency 14 = EENT

#### Data Available for Registered Nurses, 1979-2014\*

	Setting (1999-2013) 1 = hospital-in-patient 2 = hospital-out-patient 3 = long term care 4 = solo/group medical practice 5 = HMO/insurance company 6 = home care/hospice 7 = public clinic/ health department 8 = mental health facility 9 = student health site 10 = industry/ manufacturing site 11 = private duty 12 = school of nursing/medicine 13 = other • = unknown Setting (1993-1998) 1 = hospital 2 = nursing home 3 = school of nursing 4 = private duty
	5 = school 6 = industry 7 = physician/dentist office nurse 8 = community based agency 9 = other 10 = self employment • = unknown
	<ul> <li>= unknown</li> <li>Setting (1992) <ol> <li>= hospital</li> <li>nursing home</li> <li>school of nursing</li> <li>private duty</li> <li>school</li> <li>occupational health/industry</li> <li>physician/dentist office nurse</li> <li>community based agency</li> <li>other</li> <li>self employment</li> <li>unknown</li> </ol> </li> <li>Setting (1979-1991) <ol> <li>hospital</li> <li>nursing home</li> <li>school of nursing</li> <li>private duty</li> <li>school agency</li> </ol> </li> </ul>
24. 25.	Secondary Employment Setting (2014) Primary Employment Position (2014) A = Staff Nurse C = Nurse Manager D = Nurse Researcher E = Nurse Faculty G = Advanced Practice Nurse K = Other - Health Related L = Nursing Consultant M = Nurse Executive N = Other Net health Related

N = Other - Not health Related

*Type of position* (2002-2013) 1 = administrator or assistant 2 = consultant3 = supervisor or assistant 4 = instructor5 = head nurse or assistant 6 = staff/general duty7 = nurse practitioner 8 = nurse midwife 9 = clinical specialist 10 = CRNA 11 = research12 = other• = unknown *Type of position* (1999-2001) 1 = administrator or assistant 2 = consultant3 = supervisor or assistant 4 = instructor 5 = head nurse or assistant 6 = staff/general duty 7 = nurse practitioner 8 = nurse midwife 9 = clinical specialist 10 = CRNA11 = other• = unknown *Type of position* (1979-1998) 1 = administrator or assistant 2 = consultant3 = supervisor or assistant 4 = instructor5 = head nurse or assistant 6 = staff/general duty7 = nurse practitioner/midwife 8 = clinical specialist 9 = CRNA10 = other• = unknown 26. Average hours worked per week 27. Number of weeks worked last year (1979-1981)

28. Languages spoken other than English (2009-2013) Spanish

Korean French Vietnamese Chinese Other

29. Regionally Increasing Baccalaureate Nurses (RIBN) Graduate (2014)  $\dot{Y} = Yes$ N = No

\* If a variable is not available for all years, it will be noted in parentheses

1. License/certification number 15. Gender (2006-2014) 1 = male2. Licensing date 2 = femalemonth • = unknown year 16. Race (2006-2014) 3. Name 1 = White first & middle initial 2 = Blacklast 3 = American Indian 4 = Asian/Pacific Islander 4. Mailing address 5 = Otherstreet 6 = Hispanic city • = unknown state ZIP code 17. Setting (2005-2014) county 1 = education AHEC 2 = home health HSA 3 = hospital 4 = long term care facility 5. Establishment address 5 = nursing home establishment name 6 = physician practice/clinic city 7 = researchstate county 8 = sleep centerAHEC 9 = otherHSA 18. Specialty (Primary and Secondary) (2009-2011) Location codes (based on home address if individual is 6. 1 = advanced care - ECMO inactive or if establishment address is unknown; otherwise 2 = advanced care - flight / ground transport based on establishment address) 3 = cardiovascular county 4 = critical care - adult state 5 = critical care - neonatal 1 = in state6 = critical care - pediatrics 2 = out of state7 = emergency department -9 = state unknown 8 = general care AHEC HSA 9 = home health care 10 = pulmonary function 7. Birth year 11 = respiratory care department management 12 = respiratory care education 8. Basic professional education - state 13 = respiratory care research 9. Basic professional education - school 14 = respiratory care sales 15 = other10. Basic professional education – graduation year 11. Activity status 1 = active2 = inactive12. License renewal date 13. *License expiration date* 14. Degree 1 = associate 2 = bachelor If a variable is not available for all years, it 3 = certificatewill be noted in parentheses 4 = master5 = other• = unknown

# HWDC Methodology

## Contents

Overview1
Renewal Cycles & the HWDC Survey Year1
Estimates2
Virginia's CareForce
Data Products
Profession Reports4
Virginia CareForce Snapshots4
Regional Reports4
Glossary5
HWDC CareForce Indicators
Executive Summary5
Survey Response Rates6
The Workforce7
Demographics7
Background8
Education9
Current Employment Situation9
Employment Quality9
2012 Labor Market
Work Site Distribution
Establishment Type11
Time Allocation11
Retirement and Future Plans12
Full-Time Equivalency Units12
HWDC Regional Reports & CareForce Snapshot Map13
Map Pages
Regional Indicators

#### **Overview**

The Healthcare Workforce Data Center (HWDC) administers voluntary surveys to healthcare practitioners regulated by the Virginia Department of Health Professions (DHP). We administer the surveys through the DHP's online renewal system. New applicants, practitioners who did not renew and practitioners who request a paper ballot do not have access to our surveys.

Initially, the HWDC administered surveys developed by the DHP's regulatory boards or by advisory committees made up of professionals. Beginning in December of 2012 the HWDC began to use a standard survey template for all of its surveys. This template measures similar concepts with the same instrument while also providing flexibility to address the needs of each profession. In particular, we adjust the response sets to reflect differing educational, specialty, income, establishment types and work patterns of each profession. We may also incorporate additional questions if requested by our advisory committees, DHP's regulatory Boards, policy-makers or other stakeholders. Adjustments to the standard survey occur annually in the fall and are implemented beginning with the December surveys.

The standard survey template is processed using a standard coding system and methodology embodied in an SPSS Syntax program. This process produces standardized data sets but may also be adjusted to incorporate the needs of each profession. Our methodology creates a standard set of comparable indicators, called the HWDC CareForce Indicators, for each profession. These indicators are published in the "At a Glance" section of our Profession reports, which provide the foundation for HWDC findings, and are the basis of many other HWDC reports and analysis.

## **Renewal Cycles & the HWDC Survey Year**

The HWDC administers its surveys during the renewal process. Renewal cycles vary by profession. Some licensees are required to renew every year, and some every two years. Some Boards require all licensees to renew in a single month, while others spread renewals over the course of the year. In the latter case, licensees are usually required to renew during the month of their birth.

The Healthcare Workforce Data Center uses the survey year as the basis for its reporting. Each survey year conforms to the calendar year. Thus the 2014 survey year begins with the March 2014 renewal cycles and ends with the December renewal cycles, or the last renewals collected in December. With the exception of Nursing, all data reported for a survey year are collected during the survey year.

Nursing uses a continuous, biennial renewal cycle, with nurses renewing every other year based on their birth dates. The HWDC uses a full year of data, from October to September each year. For example, the 2014 survey year will include all surveys submitted from October 2013 through September 2014. These dates were selected to spread the workload of processing the reports through the calendar year. While previously the HWDC waited to collect a full cycle of data, the HWDC began processing nursing data based on a single year of data in 2013.

Note that HWDC surveys are not traditional, scientifically valid snapshot surveys. We ask practitioners to report on both their current situation, and to give information about their activities in

the prior 12 months. So persons responding to March surveys are reporting on activities as far back as April of the prior year. Nurses completing an October survey are reporting on activities that will be almost two years old by the time we process the surveys.

Surveys are conducted during different times of the year, and the environment for health workers may change significantly throughout the HWDC survey year. This may include mundane changes such as the seasons or events such as recessions and changes in the law. These may lead to real changes in behavior, as well as influence mood, effort and recall. Continuous renewal cycles for some professions further complicates data interpretation. Users are encouraged to consider these complications when reviewing the results.

Surveys in current collection, grouped by renewal date, include:

Every March: Assisted Living Facility Administrators Dental Hygienists Dentists Nursing Home Administrators Every June: Clinical Psychologists Licensed Professional Counselors June, Odd Years Licensed Clinical Social Workers	Audiologists Optometrists Pharmacists Pharmacy Technicians Speech-Language Pathologists <b>December, Odd Years</b> <sup>†</sup> Physician Assistants <sup>†</sup> Radiologic Technologists <sup>†</sup> Respiratory Care Practitioners <sup>†</sup>	December, Even Years Doctors of Osteopathic Medicine <sup>+</sup> Medical Doctors <sup>+</sup> Occupational Therapists <sup>+</sup> Occupational Therapy Assistants <sup>+</sup> Physical Therapists Physical Therapy Assistants Every October Certified Nurse Aides <sup>*</sup> Licensed Practical Nurses <sup>*</sup> Nurse Practitioners <sup>**</sup> Registered Nurses <sup>*</sup>
--	---	--

<sup>†</sup>Renew every other year during their birth month.

\*Nurses renew biennially during their birth month on an ongoing basis. Our data collection period for nurses runs from October to September. Each survey report and data release is based on a single year of data. The first Nurse Practitioner collection ran from March 2011 to February 2013. Subsequent collections will conform to the Nursing schedule.

## **Estimates**

The HWDC's voluntary surveys consistently achieve high response rates, usually above 75 percent but often around 90 percent. Nevertheless, the surveys are not a census. Rather, our sample is a convenience sample of regulated practitioners who renewed online.

The methodology excludes some, but not all, new applicants during the renewal cycle. Some new applicants are required to renew at the next opportunity, while others are covered by a grace period. This requirement varies by profession. It excludes practitioners who do not renew their licenses, but

who may have been active in Virginia for part of the survey period. Additionally, practitioners may request paper renewals.

The methodology also excludes practitioners who choose to renew using paper renewals. These practitioners may be older, less technologically savvy or lack access to high speed internet (e.g., rural practitioners). Using administrative data in our licensee files, we are able to determine response rates based on age and the metro status of the practitioner's mailing address with the Department.

To account for differences in response rates by key characteristics, this report uses weighted estimates. The HWDC assigns a weight to each response based on the overall response rate based on the age of the respondent in five year categories and the rural status of the respondent's mailing address. For the latter, the HWDC uses a measure of rurality developed by the US Department of Agriculture known as the Rural-Urban Continuum Code. More information on these codes is available on the USDA website: http://www.ers.usda.gov/Briefing/Rurality/RuralUrbCon/.

Response rates may vary on other important characteristics such as race/ethnicity, gender, specialty or worksite characteristics. However, the HWDC does not have population-wide data on these characteristics to generate response rates and weights.

Due to the rounding of weighted data in HWDC's statistical software, weighting may result in some minor anomalies in tables and other presented data (e.g., data may not add to totals in tables). Additionally, users of the data should be aware that these estimates are more robust for larger populations. Estimates for smaller populations are less robust, and the HWDC avoids publishing specific estimates for small populations.

## Virginia's CareForce

The survey's population is all regulated practitioners in Virginia during each profession's renewal cycle. From this population, we are particularly interested in those who worked or were available to work in Virginia: Virginia's CareForce.

Not all of Virginia's licensed practitioners live or work in the state. Out-of-state practitioners maintain licenses in-state for a variety of reasons. Those serving in the military or working for the federal government may be required to hold a license, or may choose to do so, but they may do so in any state. Retired practitioners may maintain their licenses for prestige or occasional practice. Practitioners may occasionally travel to Virginia to work, particularly those practicing in Virginia's border jurisdictions. Others may provide remote services (e.g., telemedicine).

For HWDC's purposes, Virginia's workforce consists of respondents who reported having at least one practice or work location in a profession-related field AND who identified at least a primary or secondary practice location in Virginia during the survey period (Note: we do not collect location information for third or subsequent work locations). If a respondent indicated practicing but did not list a location, mailing address was used as a proxy to determine participation in Virginia's workforce. Virginia's workforce also includes those who reside in Virginia and did not work over the survey period, but who intend to return to practice at some point. Those familiar with federal data should note that this is a broader measure than the Bureau of Labor Statistics' civilian labor force which includes only those who are employed or those who are actively seeking work and excludes those in the military. Users should also note our estimates are only for practitioners who hold or held a Virginia license, certification or registration for the specific profession during the survey period. Some practitioners may work in the state under compact rules or through certain profession-specific exceptions. Additionally, some practitioners in training roles may provide significant services under supervision. These practitioners may or may not be required to hold a license, registration or certificate, or may hold a separate license, registration or certificate (e.g., physician residents or interns). We do not account for these practitioners.

## **Data Products**

## **Profession Reports**

The HWDC Profession Reports are the mainstay of the HWDC's data products. They provide a statewide look at the healthcare workforce on a profession-by-profession basis. Profession reports are published following end of the data collection period. Profession reports include HWDC CareForce Indicators (see below), as well as more detailed information pertaining to the professions.

## Virginia CareForce Snapshots

The Virginia CareForce Snapshot is a compilation of the CareForce indicators for all professions, statewide, in a given HWDC survey year. The Careforce Snapshot, published annually in spring, provides an easy tool to compare indicators across professions. The CareForce Snapshot also includes additional, statewide information including population estimates and projections from the Weldon Cooper Center, information on health and access from the Virginia Atlas of Community Health and the Virginia Department of Health. A map of statewide facilities is also included.

## **Regional Reports**

Produced in collaboration with the Virginia Healthcare Workforce Development Authority, (VHWDA) our regional reports provide breakdowns of select CareForce indicators for Virginia's eight Area Health Education Center (AHEC) regions. Regional Reports are published each spring. The Regional Reports also include additional information for each AHEC region including population estimates and projections from the Weldon Cooper Center, information on health and access from the Virginia Atlas of Community Health and the Virginia Department of Health. A map of facilities within each AHEC is also included.

## **HWDC** CareForce Indicators

The following are brief definitions for our CareForce indicators, organized by sections found in our Profession Reports. Not all indicators are published for all professions in Profession Reports. Unless otherwise indicated, indicators refer to Virginia's Workforce. They also appear in our annual *Virginia CareForce Snapshot*.

**Executive Summary** 

## **The Workforce**

Licensees: The count of practitioners who held a Virginia license during the survey period.

**Virginia's Workforce:** Includes licensees with a primary or secondary work location in Virginia or licensees who are not currently working but who live in Virginia and who plan to work in Virginia in the future. (If work location is not provided, mailing address is used as a proxy for working practitioners)

**FTEs:** Full-time equivalency units. The HWDC uses a 2,000 hour year as one FTE. Hours worked are calculated by taking the midpoint of hour ranges and multiplying by weeks worked for each location. If either variable is missing, we use the average value to impute each variable, controlling for age range and rural location.

## **Survey Response Rates**

**All Licensees:** The proportion of all Licensees who submitted a survey. This is the response rate used to calculate weights.

**Renewing Practitioners:** The proportion of renewing practitioners who submitted a survey. This is the proportion of the sample that submitted a survey.

## **Demographics**

Female: The proportion who are female.

**Diversity Index**: In a random encounter between two practitioners, the likelihood that they would be of different race or ethnicity (using the categories listed in the Demographics section of the report). It is calculated by squaring the percent for each group, summing the squares, and subtracting the sum from 1.00.

**Median Age:** The 50<sup>th</sup> percentile age. Half of the workforce is younger than the median age, and half is older.

## **Background**

**Rural Childhood:** The proportion who self-identify as growing up in a rural area.

HS Diploma in VA: The proportion who completed high school in Virginia.

Prof Degree in VA: The proportion who completed their initial professional degree in Virginia.

## **Education**

The proportion with the listed degrees.

## **Finances**

**Median Salary:** The 50<sup>th</sup> percentile salary range. 50% earn more and 50% earn less.

Health Benefits: Proportion who receive health benefits from any employer.

**Under 40 w/Ed Debt:** The proportion of those under age 40 who carry any educational debt.

## **Current Employment**

**Employed in Prof:** The proportion employed in a profession-related capacity at the time they completed the survey.

**Hold 1 Full-time Job:** The proportion who held only one full time job at the time they completed the survey.

**Satisfied?:** The proportion who indicated being somewhat satisfied or very satisfied with their current employment situation.

## Job Turnover

Switched Jobs in prior year: The proportion who switched jobs in the year prior to taking the survey.

**Employed over 2 yrs:** The proportion employed at their primary work location from more than two years.

## **Time Allocation**

Patient Care: The amount of time a typical (median) practitioner spends on patient care.

Administration: The amount of time a typical (median) practitioner spends on administration.

**Primarily in PC:** The proportion of practitioners who spend 60% or more of their time on patient care.

## Survey Response Rates

## **Licensed Practitioners**

Number: The count of practitioners who held a Virginia license during the survey period.

New: The proportion of licensees who acquired their license during the survey period.

**Not Renewed:** The proportion of licensees who did not renew their license at their renewal date and who did not reinstate it before the survey period ended.

## **Response Rates**

**All Licensees:** The proportion of all Licensees who submitted a survey. This is the response rate used to calculate weights.

**Renewing Practitioners:** The proportion of renewing practitioners who submitted a survey. This is the proportion of the sample that submitted a survey.

## The Workforce

## **Workforce**

**Virginia's Workforce:** Includes licensees with a primary or secondary work location in Virginia or licensees who are not currently working but who live in Virginia and who plan to work in Virginia in the future. (If work location is not provided, mailing address is used as a proxy for working practitioners).

**FTEs:** Full-time equivalency units. The HWDC uses a 2,000 hour year as one FTE. Hours worked are calculated by taking the midpoint of hour ranges and multiplying by weeks worked for each location. If either variable is missing, we use the average value to impute each variable, controlling for age range and rural location.

## **Utilization Ratios**

Licensees in VA Workforce: The proportion of licensees in Virginia's Workforce.

**Licensees per FTE:** The number of licensees per FTE. This can be thought of as the number of licensees required to produce one FTE.

**Workers per FTE:** The number of participants in Virginia's workforce per FTE. This can be thought of as the number of workers required to produce one FTE. (NOTE: The inverse of Workers per FTE is not the same as Average FTEs as calculated in the Full-Time Equivalency Units section, which includes only employed workers.)

## Demographics

## **Gender**

% Female: The proportion who are female.

% Under 40 Female: The proportion under age 40 who are female.

## Age

**Median Age:** The 50<sup>th</sup> percentile age. Half of the workforce is younger than the median age, and half is older.

% Under 40: The proportion under age 40.

**%55+:** The proportion age 55 and over. **Diversity** 

**Diversity Index:** In a random encounter between two practitioners, the likelihood that they would be of different race or ethnicity (using the categories listed in the Demographics section of the report). It is calculated by squaring the percent (as a decimal) for each group, summing the squares, and subtracting the sum from 1.00.

Under 40 Div. Index: The Diversity Index for those under 40 years of age.

## Background

## **Childhood**

Urban Childhood: The proportion who self-identify as having spent their childhood in an urban area.

**Rural Childhood:** The proportion who self-identify as having spent their childhood in a rural area.

## Virginia Background

HS in Virginia: The proportion who completed high school in Virginia.

Prof. Ed. In Virginia: The proportion who completed their initial professional education in Virginia.

**HS or Prof. Ed. In VA:** The proportion who completed either high school or their initial professional education in Virginia.

## **Location Choice**

**% Rural to Non-Metro:** The proportion who had a rural childhood whose primary practice location is in a non-Metro area, using USDA Rural-Urban Continuum Codes.

**% Urban/Suburban to Non-Metro:** The proportion who had an urban or suburban childhood whose primary practice location is in a non-Metro area, using USDA Rural-Urban Continuum Codes.

## Not in VA Workforce:

**Total:** The number of licensees who did not participate in Virginia's Workforce.

% of Licensees: The proportion of licensees who did not participate in Virginia's Workforce.

**Federal/Military:** The proportion of licensees NOT in Virginia's Workforce who worked for the Military or other areass of the Federal government.

**Va. Border State/DC:** The proportion of licensees NOT in Virginia's Workforce who had a primary work location in a state bordering Virginia or in Washington D.C.

## Education

## **Education**

The proportion with the listed degrees.

## **Educational Debt**

**Carry Debt:** The proportion who carry any educational debt related to their profession.

**Under age 40 w/ debt:** The proportion under age 40 who carry debt related to their profession.

**Median debt:** The median amount of profession-related educational debt still owed by those who carry educational debt.

## **Current Employment Situation**

This part of the survey asks participants to report their circumstances *at the time they complete the survey*. Renewal cycles vary among the professions resulting in a different time frame for these questions among the professions.

## **Employment**

Employed in Profession: The proportion who are employed in their profession.

Involuntarily Unemployed: The proportion who are involuntarily unemployed.

## **Positions Held:**

**1 Full-time:** The proportion who hold one full-time position.

2 or more positions: The proportion who held two or more positions.

## **Weekly Hours**

**40 to 49:** The proportion who worked, on average, 40 to 49 hours per week.

60 or more: The proportion who worked, on average, 60 or more hours per week.

**Less than 30:** The proportion who worked, on average, fewer than 30 hours per week.

## **Employment Quality**

#### **Earnings**

**Median Income:** The 50<sup>th</sup> percentile salary range.

**Middle 50%:** Roughly, the 25<sup>th</sup> percentile salary range to the 75<sup>th</sup> percentile salary range. The lower bound, rounded, of the salary range containing the 25<sup>th</sup> percentile earner to the upper bound of the 75<sup>th</sup> percentile salary range.

## **Benefits**

**Employer Health Insrnce:** The proportion who have employer-sponsored health insurance from any employer. Note: Professions in which private practice is common may have lower employer-sponsored benefits figures.

**Employer Retirement:** The proportion who have employer-sponsored retirement from any employer. Note: Professions in which private practice is common may have lower employer-sponsored benefits figures.

## **Satisfaction**

**Satisfied:** The proportion who indicated being somewhat satisfied or very satisfied with their *current* employment situation.

**Very Satisfied:** The proportion who indicated being very satisfied with their *current* employment situation.

## 2012 Labor Market

## **Unemployment Experience**

**Involuntarily Unemployed:** The proportion who were involuntarily unemployed at any time in the twelve months prior to taking the survey.

**Underemployed:** The proportion who worked part-time or temporary positions, but who would have preferred a full-time and/or permanent position at any time in the twelve months prior to taking the survey.

## **Turnover & Tenure**

**Switched Jobs:** The proportion who switched employers or practices in the twelve months prior to taking the survey.

**New Location:** The proportion who switched work locations (though not necessarily employer or practice) in the 12 months prior to taking the survey.

**Over 2 years:** The proportion who have worked for three or more years at their primary work location.

**Over 2 years, 2<sup>nd</sup> location:** The proportion who have a secondary work location and who have worked for three or more years at their secondary work location.

## **Employment Type**

Salary or Wage: The proportion who are salary or wage employees at their primary work location.

## Work Site Distribution

## **Concentration**

**Top Region:** The proportion of the workforce in the Council on Virginia's Future Regions with the largest number of primary work locations.

**Top 3 Regions:** The proportion of the workforce in the three Council on Virginia's Future Regions with the largest number of primary work locations.

**Lowest Region:** The proportion of the workforce in the Council on Virginia's Future Regions with the lowest number of primary work locations.

## **Locations**

**2 or more (prior year):** The proportion of the workforce who worked at more than one work location over the prior year.

2 or more (now): The proportion of the workforce which has more than one work location now.

## Establishment Type

## **Sector**

**For Profit:** The proportion of the workforce whose primary work location is in the for-profit sector (e.g, corporate or private practice).

**Federal:** The proportion of the workforce whose primary work location is part of the Federal government, including the military or the Veterans Administration.

## **Top Establishments**

Lists the three top establishment types and provides the proportion of the workforce in each type.

## **Time Allocation**

## **Typical Time Allocation**

The median amount of time members of the workforce spent on the specified roles (Patient Care, Administration, Education and Research) at their primary work location.

## **Roles:**

The proportion of the workforce who spent 60% or more of their time on the specified roles (Patient Care, Administration, Education and Research) at their primary work location. The remainder split their time between multiple roles.

#### **Administration Time**

Median Administration Time: The median proportion of time spent on administrative tasks.

**Ave. Administration Time:** The average proportion of time spent on administrative tasks. The average is calculated using the mid-points of the ranges.

## **Retirement and Future Plans**

## **Retirement Expectations**

All, Under 65: The proportion of the workforce which expects to retire prior to age 65.

All, Under 60: The proportion of the workforce which expects to retire prior to age 60.

**50 and Over, Under 65:** The proportion of the workforce age 50 and over which expects to retire prior to age 65.

**50 and Over, Under 60:** The proportion of the workforce age 50 and over which expects to retire prior to age 60.

## **Time until Retirement**

Within 2 years: The proportion of the workforce that expects to retire within the next two years.

Within 10 years: The proportion of the workforce that expects to retire within the next ten years.

**Half the Workforce:** The year by which half the workforce expects to retire. Note: Due to the response choices offered, this occurs in 5 year increments.

## **Full-Time Equivalency Units**

## **CareForce FTEs**

**Total:** The total sum of Full-time equivalency units produced by Virginia's CareForce. The HWDC uses a 2,000 hour year as one FTE. Hours worked are calculated by taking the midpoint of weekly hours worked ranges and multiplying by weeks worked for each location. If either variable is missing, we use the average value to impute each variable, controlling for age range and rural location.

Note that some of these FTE's may have been provided outside of Virginia. For a measure of FTEs provided in Virginia only, see HWDC's Annual CareForce Snapshot and HWDC's Regional Reports.

**Average:** Full-Time Equivalency Units per member of the workforce who worked at least some hours in Virginia in the twelve months prior to completing the survey.

## Age & Gender Effect

**Age, Partial Eta<sup>2</sup>:** Partial Eta<sup>2</sup> is a statistical measure of the magnitude or size of an effect, in this case the magnitude of the effect of age on FTEs. Since HWDC surveys often deal with large numbers of respondents, the statistical power of standard tests is increased, making it more likely those tests will find a statistically significant difference. In these cases, the magnitude or size of the effect may be more

meaningful. A Partial Eta<sup>2</sup> of .01 means age has only a small effect on FTEs, a .06 is a medium effect and .138 is a large effect.

The statistical tests used compare averages. There is often wide variation among FTEs per individual within age groups even if statistical differences of medium or large effect are found.

**Gender, Partial Eta<sup>2</sup>:** Partial Eta<sup>2</sup> is a statistical measure of the magnitude or size of an effect, in this case the magnitude of the effect of gender on FTEs. Since HWDC surveys often deal with large numbers of respondents, the statistical power of standard tests is increased, making it more likely those tests will find a statistically significant difference. In these cases, the magnitude or size of the effect may be more meaningful. A Partial Eta<sup>2</sup> of .01 means gender has only a small effect on FTEs, a .06 is a medium effect and .138 is a large effect.

The statistical tests used compare averages. There is often wide variation among FTEs of either gender even if statistical differences of medium or large effect are found.

## HWDC Regional Reports & CareForce Snapshot Map

HWDC Regional Reports include some non-HWDC data, some additional indicators, and a different definition for FTEs. Some of this information is included in the CareForce Snapshot Map.

## Map Pages

## **Population & Projections**

**Population:** The population and projected population, calculated by the HWDC using the latest population estimates and projections from the University of Virginia's Weldon Cooper Center for Public Service, Demographics Research Group. <u>http://www.coopercenter.org/demographics/virginia-population-projections</u>

**% 65 and over:** The proportion of the population estimated or projected to be age 65 and over, calculated by the HWDC using the latest population estimates and projections from the University of Virginia's Weldon Cooper Center for Public Service, Demographics Research Group. http://www.coopercenter.org/demographics/virginia-population-projections

**% under 15:** The proportion of the population estimated or projected to be under age 15, calculated by the HWDC using the latest population estimates and projections from the University of Virginia's Weldon Cooper Center for Public Service, Demographics Research Group. http://www.coopercenter.org/demographics/virginia-population-projections

**Dependency Ratio:** Conceptually, the proportion of the population which is "dependent" on the working age population to provide goods and services. Operationally, the HWDC uses the World Bank definition for a dependent, which are those under age 15 and over age 64. The HWDC aggregates to the regional level using the latest population estimates and projections from the University of Virginia's Weldon Cooper Center for Public Service, Demographics Research Group. http://www.coopercenter.org/demographics/virginia-population-projections

## **Health Risk**

**% in Poverty:** The proportion of the population in poverty. HWDC aggregates to the regional level using data from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

**Per Capita Income:** Income per person. HWDC aggregates to the regional level using data from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

% of Adults Fair or Poor Health: The estimated proportion of persons 18+ who reported Fair or Poor Health, using the Behavioral Risk Factor Surveillance System (BRFSS 2006-2010) as the data source. Estimates are formulated by Community Health Solutions. The HWDC aggregates to the regional level using data available from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

% of Adults Overweight or Obese: The estimated proportion of persons 18+ whose reported information results in an overweight (25 <= BMI < 30) or obese (30 <= BMI) body mass index, using the Behavioral Risk Factor Surveillance System (BRFSS 2006-2010) as the data source. Certain categories of persons (e.g., pregnant women) are excluded. Estimates are formulated by Community Health Solutions. The HWDC aggregates to the regional level using data available from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

% of High School Age Overweight or Obese: The estimated proportion of persons age 14-19 whose reported information results in an overweight or obese body mass index, using the Youth Risk Factor Surveillance System (YRFSS 2010) as the data source. BMI used to determine overweight and obese varies by age and gender. Estimates are formulated by Community Health Solutions. The HWDC aggregates to the regional level using data available from the Virginia Atlas of Community Health. http://atlasva.org/.

## **Access**

**Uninsured Non-Elderly**: The estimated proportion of persons age 0-64 without health insurance. Estimates are formulated by Community Health Solutions using data from the US Census Bureau, Kaiser Family Foundation, Virginia Department of Social Services and Alteryx, Inc. The HWDC aggregates to the regional level using data available from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

**Medicaid Enrollees:** The estimated proportion of persons enrolled in Medicaid. Estimates are formulated by Community Health Solutions using data from the US Census Bureau, Kaiser Family Foundation, Virginia Department of Social Services and Alteryx, Inc. The HWDC aggregates to the regional level using data available from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

**Medicare Enrollees:** The estimated proportion of persons enrolled in Medicare. Estimates are formulated by Community Health Solutions using data from the US Census Bureau, Kaiser Family Foundation, Virginia Department of Social Services and Alteryx, Inc. The HWDC aggregates to the regional level using data available from the Virginia Atlas of Community Health. <u>http://atlasva.org/</u>.

**Hospital Beds:** The number of hospital beds, calculated by HWDC using data from Virginia Health Information (VHI): <u>www.vhi.org</u>.

**LTC Beds:** The number of long-term care beds, calculated by HWDC using facility listing from the Virginia Department of Health, Office of Licensure and Certification, Facility Directories: <a href="http://www.vdh.virginia.gov/OLC/Facilities/">http://www.vdh.virginia.gov/OLC/Facilities/</a>.

## Workforce (FTEs)

The total sum of Full-Time Equivalency units (FTEs) produced by each profession within the region. Note that this is slightly different than CareForce FTEs, which includes **all** FTES provided by persons with an instate work location, including those provided out of state at second locations. The HWDC uses a 2,000 hour year as one FTE. Hours worked are calculated by taking the midpoint of weekly hours worked ranges and multiplying by weeks worked for each location. If either variable is missing, we use the average value to impute each variable, controlling for age range and rural location.

## **Regional Indicators**

**Full-Time Equivalency Units:** The total sum of Full-time equivalency units produced within each region. The HWDC uses a 2,000 hour year as one FTE. Hours worked are calculated by taking the midpoint of weekly hours worked ranges and multiplying by weeks worked for each location. If either variable is missing, we use the average value to impute each variable, controlling for age range and rural location.

Note that this definition is slightly different than CareForce FTEs reported in our profession reports as it only includes FTEs provided in each defined region. If the region is all of Virginia, it only includes FTEs provided in Virginia. For a measure of FTEs provided by all members of Virginia's Careforce, see the Profession Reports.

**Employment Instability, past year:** Similar to turnover rates for businesses, employment instability is a measure of churn and underemployment in the labor market from the perspective of employees. In other words, we are examining how successful members of the workforce are at maintaining one, stable position that meets their employment needs at the time. Operationally, we define it as anyone who has experienced any of the circumstances listed in the following table at any point in the twelve months prior to taking the survey (example from Licensed Clinical Psychologists, 2013). Employment Instability, past year is equivalent to the final row "Experienced at least 1".

Employment Instability in Past Year			
In the past year did you?	#	%	
Experience Involuntary Unemployment?	18	1%	
Experience Voluntary Unemployment?	102	4%	
Work Part-time or temporary positions, but would have preferred a full-time/permanent position?		2%	
Work two or more positions at the same time?	660	28%	
Switch employers or practices?	128	6%	
Experienced at least 1	825	35%	

Source: Va. Healthcare Workforce

Utah Medical Education Council 230 South 500 East, Suite 210 Salt Lake City, Utah 84102



«FULL\_NAME» «ADDR\_LINE\_1» «ADDR\_LINE\_2» «CITY», «STATE», «ZIP»

## Utah Medical Education Council 2015 Physician Workforce Survey

Dear «Prefix» «LAST\_NAME»

The Utah Medical Education Council, in conjunction with the Utah Division of Occupational and Professional Licensing and the Utah Medical Association requests your continued support and partnership in updating the status of Utah's physician workforce by completing the attached survey. Your participation in previous surveys has generated critical data for physician workforce development and planning to meet the healthcare needs of Utah. For a free copy of the report, please visit our website www.utahmec.org.

We are committed to maintaining your privacy. Only de-identified, aggregate data will be published. For any further questions regarding this survey, please contact us at (801) 526-4550. Please return the completed survey in the envelope provided.

For any questions regarding this survey please contact the UMEC at 801-526-4564. **Please return the completed survey to the UMEC within 30 days** in the enclosed postage paid envelope.

Sincerely,

Richard Campbell Executive Director Utah Medical Education Council

Marc E. Babitz, M.D. Family Health and Preparedness Director, Utah Department of Health



**Council Members** 

**Utah Medical Education Council** 

<u>Chair</u> Vivian Lee, M.D.

<u>Acting Chair</u> Wayne M. Samuelson, M.D.

<u>Members</u> Doug Smith, M.D.

John Berneike, M.D.

Gar Elison

Larry Reimer, M.D.

Larry V. Staker, M.D.

Sue Wilkey, D.N.P.

Mary Williams, Ph.D., R.N.

#### Physician Workforce Advisory Committee Members

<u>Members</u> Dr. Grant Cannon Dr. Alan Smith Dr. Marc Babitz Dr. Brent Wallace Dr. Jennifer Leiser

Hundry Cannen

Grant Cannon, M.D Associate Chief of Staff George E. Wahlen VAMC

Syne De allas

B. Dee Allred, M.D. President Utah Medical Association

Michelle McOmber Chief Executive Officer Utah Medical Association

## Utah's Physician Workforce Survey 2015

b.	If NO on a scale of				
	individual factors t	<sup>2</sup> 1-5 (1 being the most influen hat have influenced your cho	pice to work outsi	de of Utah:	uential), please rank the
	Family	Wage/Pay sc			
	Lifestyle	Work Enviro	nment	Other (spec	ify)
<u>IF</u>		<u>FICE MEDICINE IN THE S</u> THE INCLUDED PRE-PAI			
Are	e you of Hispanic ethni	city? 🗆 Yes 🗆 No			
	nat is your race? (pleas	•			
		Native $\Box$ African Americ			
	Native Hawaiian/Pacific	: Islander 🛛 White/Caucasia	In $\Box$ Other (sp	ecify)	
Ple	ase describe the area v	where you spent the majority	of your unbring	i <b>ng</b> (when you	u lived there).
	Rural		Urban/Metropol	0,	·
		ntry where you attended hig			
Co	unty ( <i>if in Utah</i> ):	State	e:	Country: _	
Th				1	41
	e institution from whic	h vou received vour i i MD a	r 🗆 DC) degree (1	110AS0 CHOCK 1	the degree that applies ):
Inc					
Ple	titution: y: ase check the program	(s) you have completed (or a	State:	Coun st the special	Year: htry: lties in which you have
Ple tra	titution: y: ase check the program ined (or are training), n letails for all programs □ Internship □ Resid	( <i>s</i> ) <b>you have completed</b> ( <i>or a</i> <b>name of the institution, state,</b> <i>you have attended/are attendin</i> dency $\Box$ Fellowship <b>Specialty</b>	State: The currently in), li and the year (or ng) y:	<b>Coun</b> <b>st the special</b> <i>expected year</i>	Year: htry: lties in which you have r) of completion: (please j
Ple tra in c a.	titution: y: ase check the program ined (or are training), n details for all programs □ Internship □ Resid Institution:	(s) you have completed (or a name of the institution, state, you have attended/are attendin dency $\Box$ Fellowship Specialty	State: re currently in), li and the year (or ng) r: State:	<b>Coun</b> <b>st the special</b> <i>expected year</i>	Year: htry: lties in which you have r) of completion: (please j
Ple tra in c	titution: y: ase check the program ined (or are training), m letails for all programs □ Internship □ Resid Institution: □ Internship □ Resid	(s) you have completed (or a name of the institution, state, you have attended/are attendin dency  Fellowship Specialty dency  Fellowship Specialty	State:	<b>Coun</b> <b>st the special</b> <i>expected year</i> Year of Co	Year:
Ple tra in c a.	titution: y: ase check the program ined (or are training), n letails for all programs □ Internship □ Resid Institution: □ Internship □ Resid Institution:	(s) you have completed (or a name of the institution, state, you have attended/are attendin dency  Fellowship Specialty dency  Fellowship Specialty	State:	<b>Coun</b> <b>st the special</b> <i>expected year</i> <u>Year of Co</u> <u>Year of Co</u>	Year:

#### SECTION 2: YOUR WORK SETTING/ SPECIALTY

<b>10. What is your primary work status?</b> □ Actively working in a position that requires a medical license	(please check <b>ONE</b> of the following) □ Actively working in a field other than medicine	□ Not currently □ Retired working
11. Please enter a code from the list belo settings:	w to describe your <u>Primary</u>	and <u>Secondary</u> practice
01= Office/Clinic- Solo Practice	08= Federal Hospital (VA)	15= Local Health Department
02= Office/Clinic- Single Specialty Group	-	16= Academic Faculty
03= Office/Clinic- Multi Specialty Group	• •	17= Volunteer in a Free Clinic
04= Hospital- Inpatient	11= Nursing Home/ Ext. Care Fac.	18= Correctional Facility
05= Hospital- Outpatient	12= Home Health Setting	19= University/College Student Health Fac.
06= Hospital- Emergency Department	13= Hospice Care	20= Other (specify):
07= Hospital- Ambulatory Care Center	14= Federally Qualified Community	
	e you voluntarily <u>switched employers</u> tings above to indicate the work settin Setting Code Moved To:	g you left and the work setting you
<ul> <li>Moved Residence</li> <li>Work Responsibilities</li> </ul> 13. Please enter the code from the list be	Desire for Change High Personal/Family Reasons Prefe Other	rred hours
	ndary specialty:	
01= Allergy and Immunology	18= Internal Medicine (General)	35= Psychiatry
02= Anesthesiology (General)	19= Internal Medicine and Pediatrics	36= Psychiatry-Child and Adolescent
03= AnesthPain Management	20= Other IM Subspecialties	37= Other Psychiatry Subspecialties
04= Other Anesth. Subspecialties	21= Nephrology	38= Pulmonary Disease/CCM
05= Cardio-Thoracic Surgery	22= Neurology	39= Radiology (Diagnostic)
06= Cardiology	23= Nuclear Medicine	40= Radiology (Therapeutic)
07= Critical Care Medicine	24= OB/GYN (General)	41= Rheumatology
08= Dermatology	25= OB/GYN Subspecialties	42= Sleep Medicine
09= Emergency Care	26= Ophthalmology	43= Sports Medicine
10= Endocrinology and Metabolism	27= Otolaryngology	44= Surgery (General)
11= Family Practice	28= Pathology (General)	45= Surgery-Cardio-Thoracic
12= Gastroenterology	29= Pathology Subspecialties	46= Surgery-Orthopedic
13= Geriatrics	30= Pediatrics (General)	47= Surgery-Plastic
14= Hematology/Oncology	31= Pediatrics Subspecialties	48= Other Surgical Subspecialties
15= Hospice and Palliative Medicine	32= Physical Med. and Rehab.	49= Urology
16= Hospitalist	33= Plastic Surgery	50= Other Specialty
17= Infectious Diseases	34= Prev. Med./Public or Occ. Health	

#### a. If you indicated a Subspecialty or Other above, please indicate the specific specialty.\_\_\_\_\_

14. Are you currently board certified in the specialties you indicated in question 13:

**a.** Primary specialty  $\Box$  **Yes**  $\Box$  **No b.** Secondary specialty  $\Box$  **Yes**  $\Box$  **No** 

#### 15. At what age do you plan to retire? \_\_\_\_\_

- **16.** Prior to retirement, do you plan to reduce the number of hours you practice per week? □ Yes □ No *If yes*, please specify:
  - a. How many years from now do you plan to reduce your hours? \_\_\_\_\_ Yrs
  - b. How many hours per week will you practice after reducing your hours? \_\_\_\_\_ Hrs/Wk

#### SECTION 3: YOUR PRACTICE

19. 20.

**17.** Please indicate Zip Code, of your primary practice setting and secondary practice setting (*if applicable*) Also, please estimate the <u>total hours worked per week</u> (*not including on-call*) at each practice location AND the number of hours you spend in <u>DIRECT PATIENT CARE</u> each week, including charting, but excluding the hours spent providing patient care combined with teaching or training of other medical professionals: (*unless all of the hours you work each week are spent in direct patient care without any teaching or training of other medical professionals, the total hours worked should be less than the number of direct patient care hours reported.*)

Primary Practice	Zip:	Total Hours/ wk:	Direct Patient Care Hours/ wk:
Secondary Practice	Zip:	Total Hours/ wk:	Direct Patient Care Hours/ wk:

# a. If you DO provide <u>direct patient care</u>, please indicate what PERCENT of the hours you spend with patients is dedicated to the following types of care:

	Preventative Care	Acute Care	Chronic Care	<u>Total</u>
Primary Practice:				(100%)
Secondary Practice:				(100%)

- a. If you DO NOT provide direct patient care, how many years has it been since you did? \_\_\_\_\_\_ years.
- **18.** Please indicate the average hours per week you spend in the following <u>NON-PATIENT CARE</u> activities: (The total number of hours distributed between non-patient care activities should not exceed the number of hours left over after the hours reported being spent in direct patient care per week are subtracted from the total number of hours worked per week reported above).

NON-PATIENT ACTIVITY	<u>Hrs./Wk.</u> <u>PRIMARY</u> SITE	<u>Hrs./Wk.</u> <u>SECONDARY</u> SITE
a. Classroom Training of other Professionals	<u>5112</u>	<u>5111</u>
(Clinical and/or classroom teaching of students without patient care)		
b. Combined Patient Care with Teaching/Training other Physicians		
(Supervising/training of residents/ students while delivering patient care)		
c. Administration/ Management (budgeting, personnel management, NOT in support of patient care)		
d. Practice Management		
(budgeting, planning, activities to maintain operation of a practice)		
e. Consulting/ Research		
(Reports, applications, surveys, etc., NOT in support of patient care)		
f. <b>Other:</b>		
(NOT in support of patient care)		
. In a typical day, how many Out-patients do you see per hour? Office:	_ Urgent Care:	ER:
. In a typical day, how many In-patients do you see per hour? Hospital:	Extended Ca	are Facilities:

21.	Please estimat (Total of all pr									ge groups		
	Outpatients:	0-19	20-64	r cuch pui	65-84	gory she	85+	uui 100 (	/0) (total 1(	00%)		
	Outpatients: Inpatients:	0-19	20-64		65-84_		85+_	(	total 1	00%)		
22.	What percent Medicaid _		<b>r patients are</b> Self-Pay/Un							add up to a 1	<i>00%)</i> %	, D
	Medicare _	%	Private Ins./	Managed C	Care _	9	b VA	4/Tri-Ca	re (CH	AMPUS)	%	, D
23.	<b>Do you limit t</b> □ Medicaid		r of new patie re □ Self Pa								I	
24.	On average, h											
	Primary Practic	ce: Ne	ew Patients:		_days		Estab	lished F	Patients		_days	
	Secondary Prac	ctice: Ne	ew Patients:		_days		Estab	lished F	atients		days	
25.	Please indicat 01= <u>Full</u> (cann 02= <u>Nearly Ful</u>	ot accept a	dditional patie	ents)		03= <u>1</u>	Unfilled	<u>d</u> (can a	ccept m	any new pati	ents, far f	
26.	Please check t	ic (patient)	logy(s) that yo Medical Reco m □ Health	ord (EMR)	) system		Electro	onic Pat	ient Pa			
SEC	TION 4: Health	care Team	Interaction									
27.	In providing of medical profe	-		-	of your t	ime is s	pent w	Ū			n the follo	owing
		~	Menta						nary	Sub-		
	APRN	Care	Healt tor Profession		PA	Pharm	ociet	Ca Physi	re		RN	
	AFKN							riiysi		2	<b>N</b> IN	
	%		%	_%	%		%		%	%		%
28.	Would you sa clearly articul Strongly Dis	ated, und		upported		am men	bers?			<b>I family pric</b>		d can be
29	Would you sa	-	-					-		-		
<u> </u>	accountabiliti accomplishing	es, which o g more tha	often make it in the sum of	possible f	or the tea	am to ta	ke adv	vantage	of divi	sion of labor	r, thereby	7
	□ Strongly Dis	U	Disagree		🗆 Neutr			□ Agree		□ Strong		
30.	Would you say	for shared	l achievemen		other's tr			-			-	ater
21	□ Strongly Dis	U						□ Agree		□ Strong		
31.	Would you say channels for c setting?											
	□ Strongly Dis	sagree	Disagree		🗆 Neutr	al		□ Agree		🗆 Strong	ly Agree	
32.	Would you say both the funct performance i	tioning of t	the team and	achievem	-			-				
	□ Strongly Dis		Disagree	uni i	🗆 Neutr	al		Agree		□ Strong	ly Agree	
ſ	Than	k you for	your partici	ipation. F	Please re	turn th	e surv	vey in t	he enc	losed envel	ope.	



**Council Members** 

Utah Medical Education Council

<u>Chair</u> Vivian Lee, M.D.

<u>Acting Chair</u> Wayne M. Samuelson, M.D.

<u>Members</u> Doug Smith, M.D.

John Berneike, M.D.

Gar Elison

Larry Reimer, M.D.

Larry V. Staker, M.D.

Sue Wilkey, D.N.P.

Mary Williams, Ph.D., R.N.

#### Physician Assistant Workforce Advisory Committee

Bob Bunnell, PA-C

Jennifer Coombs, Ph.D., PA-C

Brett Hilton, PA-C

John Houchins, M.D.

Brian Shiozawa, M.D.

Noel Taxin, M.S.

Karl Torgerson, PA-C

# 2014 Utah Medical Education Council Physician Assistant Workforce Survey



Dear Physician Assistant,

This survey is a collaborative effort of the Utah Medical Education Council, the Utah Department of Health and The Utah Academy of Physician Assistants with the cooperation of the Division of Occupational and Professional Licensing.

The purpose of this survey is to measure the adequacy of Utah's PA workforce and to make projections of capacity and future need. The data will be compared to survey data the UMEC has collected on Utah's Physicians and APRNs to evaluate the mix of health care professionals in the state.

We recognize that some of the information requested is private or proprietary in nature. <u>The information collected will remain strictly</u> <u>confidential</u>. Only de-identified, aggregate data will be published. For any questions regarding this survey please contact the UMEC at 801-526-4564.

<u>Please return the completed survey to the UMEC within 30 days of</u> <u>receipt</u> in the enclosed postage paid envelope.

Thank you for your valuable time and participation.

Richard Campbell

Executive Director Utah Medical Education Council

ki Berger

President Utah Association of Physician Assistants

Utah Medical Education Council • 230 S. 500 E. Ste. 210, Salt Lake City, Utah, 84102 Phone: (801)-526-4554/ Fax: (801)-526-4551 • <u>www.utahmec.org</u>

24. If your practice is not full, from which payer types are you accepting additional patients?	Utah Medical E
Primary Location:N/AMedicaidMedicareSelf-Pay/UninsuredOther InsuredNot acceptingSecondary Location:N/AMedicaidMedicareSelf-Pay/UninsuredOther InsuredNot accepting	Physician Assistant W
25. On average, how many days must patients wait for an appointment? Primary Practice: New Patients:days Established Patients:days	<ol> <li>Do you provide any health care services in Utah? YES</li> <li>a. If <u>NO</u>, please specify why you maintain a Utah licens</li> </ol>
Primary Practice:       New Patients:       days       Established Patients:       days         Secondary Practice:       New Patients:       days       Established Patients:       days	a. If $\underline{NO}$ , please specify why you maintain a Otan items
	b. If <u>NO</u> , on a scale of 1-5 (1 being the most influential a
26. Do you provide charity care? YES NO (charity does not include write-offs)	that have influenced your choice to work outside of U
a. If <u>YES</u> , In Utah: \$ per year Outside Utah: \$ per year	Family Wage/Pay scale Lifestyle Work Environn
<ul> <li>b. If In Utah, for whom do you provide charity care?</li> <li>□ Children only Senior Citizens only □ Any person in need □ Other :</li> </ul>	·
	IF YOU DO NOT PROVIDE PROFESSIONAL SERVICES
SECTION 5: FINANCIAL OUTLOOK/ JOB SATISFACTION	SECTION 1: GENERAL INFORMATION, BACKGROUN
27. What is your <u>average gross compensation</u> ? (before taxes AND excluding benefits)	
Less than \$50,000 \$70,000-\$79,999 \$100,000-\$109,999 \$130,000-\$139,999	2. What is your primary work status? (please check one of the Active Full Time Retired
Less than \$50,000\$70,000-\$79,999\$100,000-\$109,999\$130,000-\$139,999\$50,000-\$59,999\$80,000-\$89,999\$110,000-\$119,999\$140,000-\$149,999\$60,000-\$69,999\$90,000-\$99,999\$120,000-\$129,999\$150,000 or more	Active Part Time Cher (specify)
\$60,000-\$69,999 \$90,000-\$99,999 \$120,000-\$129,999 \$150,000 or more	
28. Compared to five years ago, your gross income has:	3. On a scale of 1-5 (1 being the most influential and 5 bein influenced your choice to practice in Utah:
Increased by% Decreased by% Remained the same	Family in Utah: Practice Environment:
	Military: Practice Opportunities:
29. Within the <u>past two years</u> , have you experienced any of the following: (check all that apply) Voluntary unemployment Involuntary unemployment	4. What is your gender? Male Female (a) Age
Switched employers/practices Worked two or more positions at the same time	5. Please describe the city/town where you spent the major
Worked part-time or temporary positions, but would have preferred a full-time or permanent positionSwitched practice specialty	Rural Suburban Urban State
30. At what age are you planning to retire completely from practicing? yrs. old	<ul><li>6. Are you of Hispanic ethnicity? YES NO</li><li>a. What is your race?</li></ul>
	Caucasian American Indian
<b>31.</b> Are you planning to reduce the number of hours you work before you retire? YES NO	African American Pacific Islander
a. If YES, how many hours per week will you work after this reduction in hours?hrs. /wk.	7 What is now high ast Dhusiaian Assistant docuse attains
<b>b.</b> If YES, How many years from now do you intend to reduce your hours? yrs.	7. What is your highest Physician Assistant degree attained Associate degree Master's degree
32. Compared to last year at this time, how has your workload changed?	Bachelor's degree Doctoral degree
Increased by% Decreased by% Remained the same	a. In what state was the degree conferred?
	State: Year of degree:
<b>33.</b> Overall, and taking into account all positions you fill, how satisfied are you with your <i>current</i> employment or work situation? Very satisfied Somewhat satisfied Somewhat dissatisfied Very dissatisfied	b. What was your total educational debt for your PA sc
SECTION 5: PRODUCTIVITY	SECTION 3: YOUR WORK SETTING/ SPECIALTY
If necessary, please work with administrative staff to answer these questions. Efforts made to provide complete information will be invaluable in providing new insight into how PAs actually contribute	8. Please indicate the practice <u>Name</u> , <u>City</u> , and <u>Zip Code</u> , o
to provide for the healthcare needs of Utah's population.	( <i>if applicable</i> ) Also, please estimate the <b>total hours worker</b>
	Primary Practice Name:
34. Please indicate ( <i>if known</i> ) how many of the following medical professionals are employed at your primary work setting.	Secondary Practice Name:
Physicians: APRNs: Other PAs:	9. Please enter a code from the list below to describe your
35. In your primary work setting, what percent of your practice's total RVUs do you generate annually? (indicate if n/a)	01= Critical access hospital 10= Multi-sp
	02= Hospital emergency room 11= Commu
36. In your primary work setting, what is your patient panel size? (indicate if n/a)	03 = Hospital operating room $12$ = Certified
(Panel size is defined as the total number of individual patients assigned to your care).	04= Inpatient unit of hospital (not ICU/CCU) 13= Federall 05= ICU/CCU of hospital 14= Freestan
<i>a.</i> Over what time frame is your patient panel size calculated? Over 12 months Over 18 months	06= Outpatient unit of hospital 15= Freestan
<b>b.</b> What percentage of your patient panel is (please total to 100%):	07= Other unit of hospital 16= Other from 16
Shared with another provider Assigned solely to you Referred to you from another provider	08= Solo practice physician office17=School-b09= Single-specialty physician group practice18= Universi
Thank You for Your Time. Please Return the Survey Using the Enclosed Prepaid Return Envelope	10. Have you voluntarily <u>switched employers/practices</u> with a. If YES, please use the list of settings above to in
	Setting Code Left: Setting Code Mo
Utah Medical Education Council • 230 S. 500 E. Ste. 210, Salt Lake City, Utah, 84102	
Phone: (801)-526-4554/ Fax: (801)-526-4551 • www.utahmec.org •	

nost influential and 5 being the least influential), please rank the individual factor or vork outside of Utah:         Wage/Pay scale	in a Utah license: _	NO			
Work Environment       Other (specify)         AL SERVICES IN UTAH, PLEASE STOP NOW AND RETURN THIS SURVE         BACKGROUND AND EDUCATION         use check one of the following)         Retired         Other (specify)         ential and 5 being the least influential), please rank the following factors that have the importantities:         portantities:       Other :         years         spent the majority of your upbringing:         rban       State:         years         spent the majority of your upbringing:         rban       State:         Years         spent the majority of your upbringing:         rban       State:         Years         NO         herican Indian       Asian         cific Islander       Other (please specify)         t degree       Other (please specify)         et al degree       Other         check one that applies:       State School       Private School         state       Check one that applies:       State School       Private School         state       Check one that applies:       State School       Private School         state       Check one that applies:       State School       Private School<		•	t influential	), please ra	ank the individual factor
AL SERVICES IN UTAH, PLEASE STOP NOW AND RETURN THIS SURVE BACKGROUND AND EDUCATION Use check one of the following) Retired Other (specify)	Wage/Pay scale		Climate		
BACKGROUND AND EDUCATION         ase check one of the following)         Retired         Other (specify)					
se check one of the following)         Retired         Other (specify)	AL SERVICES IN	UTAH, PLEAS	E STOP NO	W AND F	<b>RETURN THIS SURVE</b>
Retired         Other (specify)         Intial and 5 being the least influential), please rank the following factors that hav h:         ironment:       Lifestyle:         Utah Graduate         ortunities:       Other :         anale       (a) Age:         years         spent the majority of your upbringing:         than       State:         Zip Code:         NO         rerican Indian       Asian         iffic Islander       Other (please specify)         degree attained?         's degree         al degree       Other         d?         ee:       Check one that applies:         State School       Private School         for your PA schooling at the time of graduation?         S       CIALTY         and Zip Code, of your primary practice setting and secondary practice setting al hours worked per week (not including on-call) at each practice location.          City and Secondary       practice settings:         10= Multi-specialty physician group practice       19= Correctional facility       19= Correctional facility         12= Certified Rural Health Center/Facility       20= HMO facility       21= Industrial facility/work site         13= Fed	BACKGROUND	AND EDUCAT	ION		
ntial and 5 being the least influential), please rank the following factors that hav         irronment:       Lifestyle:       Utah Graduate         irronment:       Other :       (Specify other):	Retired	-			
ironment:       Lifestyle:       Utah Graduate         portunities:       Other :       (Specify other):         nale       (a) Age:       years         spent the majority of your upbringing:       Tip Code:       No         roban       State:       Zip Code:       No         herican Indian       Asian       State:       No         herican Indian       Asian       State:       State:       No         herican Indian       Asian       State:       State:       State:       State:       State:       State:       No         herican Indian       Asian       Other (please specify)       State:	ential and 5 being t			ank the fo	llowing factors that have
nale       (a) Age: years         spent the majority of your upbringing:         rban       State: Zip Code:         NO         nerican Indian       Asian         cific Islander       Other (please specify)         t degree attained?       ``s degree         's degree al degree       Other         al degree       Other         ee: Check one that applies:       State School         Power PA schooling at the time of graduation?       \$		Lifestvle:		Uta	ah Graduate
spent the majority of your upbringing:         than       State:       Zip Code:         NO         herican Indian       Asian         iffic Islander       Other (please specify)         cdegree attained?         's degree         al degree       Other         d?         ee:       Check one that applies:         for your PA schooling at the time of graduation?         gand Zip Code, of your primary practice setting and secondary practice setting         al hours worked per week (not including on-call) at each practice location.	ortunities:	Other :	(Sp	ecify other)	:
ban       State: Zip Code:         NO         berrican Indian       Asian         biffic Islander       Other (please specify)         degree attained?       ''         's degree ald degree       Other         d?          ee: Check one that applies: State School Private School         ee: Check one that applies: State School Private School         correct on the time of graduation?         SCIALTY         and Zip Code, of your primary practice setting and secondary practice setting al hours worked per week (not including on-call) at each practice location.         City Zip: Total Hours/wk:         City Zip: Total Hours/wk:         City and Secondary practice settings:         10= Multi-specialty physician group practice       19= Correctional facility         11= Community Health Center/Facility       20= HMO facility         12= Certified Rural Health Clinic       19= Nursing home or LTC facility         13= Federally Qualified Health Center       22= Mobile health unit         14= Freestanding surgical facility       24= Patients' homes         16= Other freestanding outpatient facility       25= Retail outlet (e.g. MinuteClinic         14= Stool-based health fa	nale (a) Age: _	years			
rban       State: Zip Code:         NO         herican Indian       Asian         biffic Islander       Other (please specify)         degree attained?       's degree         's degree ald degree       Other         d?					
herican Indian       Asian         biffic Islander       Other (please specify)         cdegree attained?       's degree         al degree       Other				:	
degree attained?       Other (please specify)         's degree       al degree         al degree       Other	NO				
t degree attained?         's degree         al degree       Other	erican Indian	Asia	n		
Ps degree       al degree       Other	ific Islander	Other	r (please spec	cify)	
al degree       Other					
d?       Check one that applies: State School Private School         ee: Check one that applies: State School Private School         and Zip Code, of your primary practice setting and secondary practice setting and hours worked per week (not including on-call) at each practice location. <u>CIALTY</u> and Zip Code, of your primary practice setting and hours worked per week (not including on-call) at each practice location.         City Zip: Total Hours/wk:         City and Secondary practice settings:         10= Multi-specialty physician group practice       19= Correctional facility         11= Community Health Center/Facility       20= HMO facility         12= Certified Rural Health Clinic       11= Industrial facility/work site         13= Federally Qualified Health Center       22= Mobile health unit         14= Freestanding surgical facility       23= Nursing home or LTC facility         15= Freestanding urgent care facility       24= Patients' homes         16= Other freestanding outpatient facility       25= Retail outlet (e.g. MinuteClinic         17=School-based health facility       26= Other	U	Other			
ee: Check one that applies: State School Private School         and Zip Code, of your primary practice setting and secondary practice setting and per week (not including on-call) at each practice location.         CIALTY         and Zip Code, of your primary practice setting and bours worked per week (not including on-call) at each practice location.         City City City and Secondary practice settings:         10= Multi-specialty physician group practice       19= Correctional facility         11= Community Health Center/Facility       19= Correctional facility         12= Certified Rural Health Clinic       19= Correctional facility         13= Federally Qualified Health Center       22= Mobile health unit         14= Freestanding surgical facility       23= Nursing home or LTC facility         15= Freestanding outpatient facility       24= Patients' homes         16= Other freestanding outpatient facility       25= Retail outlet (e.g. MinuteClinic 26= Other				_	
for your PA schooling at the time of graduation? \$		Check one that a	pplies: Sta	te School	Private School
CIALTY         and Zip Code, of your primary practice setting and secondary practice setting al hours worked per week (not including on-call) at each practice location.					
and Zip Code, of your primary practice setting and secondary practice setting and hours worked per week (not including on-call) at each practice location.		8			
al hours worked per week (not including on-call) at each practice location.         City       Zip:       Total Hours/wk:         City       Zip:       Total Hours/wk:         O describe your Primary       and Secondary       practice settings:         10= Multi-specialty physician group practice       19= Correctional facility         11= Community Health Center/Facility       20= HMO facility         12= Certified Rural Health Clinic       21= Industrial facility/work site         13= Federally Qualified Health Center       22= Mobile health unit         14= Freestanding surgical facility       23= Nursing home or LTC facility         15= Freestanding outpatient facility       25= Retail outlet (e.g. MinuteClinic         16= Other freestanding outpatient facility       25= Retail outlet (e.g. MinuteClinic         17=School-based health facility       26= Other	<u>ICIALTY</u>				
CityZip:Total Hours/wk:CityZip:Total Hours/wk:describe your Primaryand Secondarypractice settings:10= Multi-specialty physician group practice19= Correctional facility11= Community Health Center/Facility20= HMO facility12= Certified Rural Health Clinic21= Industrial facility/work site13= Federally Qualified Health Center22= Mobile health unit14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic26= Other26= Other					
describe your Primaryand Secondarypractice settings:10= Multi-specialty physician group practice19= Correctional facility11= Community Health Center/Facility20= HMO facility12= Certified Rural Health Clinic21= Industrial facility/work site13= Federally Qualified Health Center22= Mobile health unit14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic)17=School-based health facility26= Other	al hours worked n		-	-	
describe your Primaryand Secondarypractice settings:10= Multi-specialty physician group practice19= Correctional facility11= Community Health Center/Facility20= HMO facility12= Certified Rural Health Clinic21= Industrial facility/work site13= Federally Qualified Health Center22= Mobile health unit14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic)17=School-based health facility26= Other		ty	7	Zip: Zip:	Total Hours/wk: Total Hours/wk:
10= Multi-specialty physician group practice19= Correctional facility11= Community Health Center/Facility20= HMO facility12= Certified Rural Health Clinic21= Industrial facility/work site13= Federally Qualified Health Center22= Mobile health unit14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic17=School-based health facility26= Other					
11= Community Health Center/Facility20= HMO facility12= Certified Rural Health Clinic21= Industrial facility/work site13= Federally Qualified Health Center22= Mobile health unit14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic)26= Other	Ci	marv	and become	-	
13= Federally Qualified Health Center22= Mobile health unit14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic)17=School-based health facility26= Other	Ci Ci <b>describe your <u>Pri</u></b>	-		12 - COIN	5
14= Freestanding surgical facility23= Nursing home or LTC facility15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic17=School-based health facility26= Other	describe your Pri 10= Multi-speci 11= Community	ialty physician gro y Health Center/Fa	up practice	20= HMC	
15= Freestanding urgent care facility24= Patients' homes16= Other freestanding outpatient facility25= Retail outlet (e.g. MinuteClinic17=School-based health facility26= Other	Ci Ci describe your <u>Pri</u> 10= Multi-speci 11= Community 12= Certified R	ialty physician gro y Health Center/Fa ural Health Clinic	up practice acility	20= HMO 21= Indus	strial facility/work site
17=School-based health facility 26= Other	Ci Ci describe your Pri 10= Multi-speci 11= Community 12= Certified R 13= Federally C	ialty physician gro y Health Center/Fa ural Health Clinic Qualified Health Ce	up practice acility	20= HMC 21= Indus 22= Mobi	strial facility/work site le health unit
e 18= University/college student health facility 26= Other	describe your Pri 10= Multi-speci 11= Community 12= Certified R 13= Federally C 14= Freestandir 15= Freestandir	ialty physician gro y Health Center/Fa ural Health Clinic Qualified Health Ce ng surgical facility ng urgent care facil	up practice acility enter lity	20= HMC 21= Indus 22= Mobi 23= Nurs 24= Patie	strial facility/work site le health unit ing home or LTC facility nts' homes
	Ci Ci describe your <u>Pri</u> 10= Multi-speci 11= Community 12= Certified R 13= Federally C 14= Freestandir 15= Freestandir 16= Other frees	ialty physician gro y Health Center/Fa ural Health Clinic Qualified Health Ce ng surgical facility ng urgent care facil tanding outpatient	up practice acility enter lity	20= HMC 21= Indus 22= Mobi 23= Nurs 24= Patie 25= Reta	strial facility/work site ile health unit ing home or LTC facility nts' homes il outlet (e.g. MinuteClinic

4 = Dermatology       28 = Surg: Hand       52 = Ped: Pulmonology         5 = Emergency Mcdicine       30 = Surg: Oncology       53 = Ped: Pulmonology         6 = Family Medicine       30 = Surg: Oncology       54 = Ped: Rheumatology         7 = Family Medicine       31 = Surg: Oncology       55 = Ped: Oncology         8 = Genetics       32 = Surg: Pediatric       56 = Ped: Chera         9 = Geriatrics       33 = Surg: Tharaic       58 = IM: General         11 = Obstetrics (Synecology       35 = Surg: Transplant       59 = IM: Cardiology         12 = Occupational Medicine       36 = Surg: Transplant       59 = IM: Cardiology         13 = Orthopedics       37 = Surg: Vology       61 = IM: Endocrinology         14 = Ophthalmology       38 = Surg: Vascular       63 = IM: Infectious Disease         16 = Pathology       40 = Surg: Other       63 = IM: Infectious Disease         18 = Psychiatry       42 = Ped: Aldiescent Medicine       66 = IM: Neptrology         19 = Public Health       43 = Ped: Aldrege Medicine       66 = IM: Neptrology         20 = Radiation Oncology       44 = Ped: Cardiology       67 = IM: Neurology         21 = Interventional Radiology       45 = Ped: Critela Care       66 = IM: Neptrology         22 = Interventional Cardiology       46 = Ped: Endocrinology       71 = IM: Oncology	10. b.If you have changed work settings within the (select all that apply):Higher PayPreferred hoursProfessional AdvancementWork Responsibilities	ne past <u>two years</u> , please cheo Moved Better Work/Education Fit	<b>ck the reason(s) for thi</b> More Challenging Desire for Change	s change of work setting. Personal/Family Reasons Other	a. If YES,	ect patient care, do you work in a multi , which health care professionals ( <i>not in</i> nt types of care? (Check all that apply) Primary
12. What is the primary supervisory relationship tool used between you and your supervising physician? Face to Face Telephone Text Message Email Other	11. What percentage of time during a typical clinic wee!	do you interface with a supe	ervising physician?			Physi
Primary specialty:	12. What is the primary supervisory relationship tool usFace to FaceTelephoneText Message	ed between you and your sup Email Other	pervising physician?		Diagnosis/ Tr	Counseling Disease Prevention Health Maintenance
1 = Addiction Medicine       25 = Surg: General       49 = Ped: Infectious Disease         2 = Allergy       26 = Surg: Cardiovascular/Cardiothoracic       50 = Ped: Nephrology         3 = Anethesiology       27 = Surg: Colon & Rectal       51 = Ped: Nephrology         4 = Dermatology       28 = Surg: Oncology       52 = Ped: Neurology         5 = baregrony Medicine       30 = Surg: Oncology       54 = Ped: Nephrology         8 = Genetics       32 = Surg: Polatine       56 = Ped: Oncology         9 = Genetics       32 = Surg: Polatine       56 = Ped: Childrology         9 = Genetics       32 = Surg: Polatine       56 = Ped: Childrology         9 = Genetics       32 = Surg: Polatine       59 = Ped: Childrology         1 = obstetrics/Cynecology       35 = Surg: Polatine       59 = Ped: Childrology         1 = obstetries/Cynecology       35 = Surg: Polatine       63 = MI: Gastroenterology         1 = obstetries/Cynecology       35 = Surg: Polacitic       63 = MI: Infrauology         1 = obstetries/Cynecology       35 = Surg: Polacitic       63 = MI: Infrauology         1 = obstetries/Cynecology       35 = Surg: Polacitic       63 = MI: Infrauology         1 = obstetries/Cynecology       35 = Surg: Polacitic       63 = MI: Infrauology         1 = obstetries/Cynecology       36 = MI: Infrauology       64 = MI: Infrauolog						Health Promotion Patient Education
24 = Hospital Medicine       48 = Ped: Hematology         SECTION 4: YOUR PRACTICE       19. Please indicate the per Primary Practice: Secondary Primary Care Second Option of the Private Private Internet Second Option Diagnosis Follow-up Emergency Chronic Disease Management Private Ins.         c.       Which healthcare professionals (excluding your supervising physician) do you consult for/ receive consultation from using telemedicine? (check all that apply): Primary Care Physician Other PA APRN Other	1 = Addiction Medicine $25 = Surg: C$ $2 = Allergy$ $26 = Surg: C$ $3 = Anesthesiology$ $27 = Surg: C$ $4 = Dermatology$ $28 = Surg: H$ $5 = Emergency Medicine$ $29 = Surg: N$ $6 = Family Medicine$ $30 = Surg: C$ $7 = Family Medicine with Urgent Care$ $31 = Surg: C$ $8 = Genetics$ $32 = Surg: P$ $9 = Geriatrics$ $32 = Surg: T$ $10 = Hospice & Palliative Care$ $34 = Surg: T$ $11 = Obstetrics/Gynecology$ $35 = Surg: T$ $12 = Occupational Medicine$ $36 = Surg: T$ $13 = Orthopedics$ $37 = Surg: U$ $14 = Ophthalmology$ $38 = Surg: V$ $15 = Pain Management$ $39 = Surg: B$ $16 = Pathology$ $40 = Surg: C$ $17 = Physical Medicine/Rehabilitation$ $41 = Ped: Ga$ $18 = Psychiatry$ $42 = Ped: Aa$ $20 = Radiation Oncology$ $44 = Ped: Ca$ $21 = Diagnostic Radiology$ $45 = Ped: Cr22 = Interventional Cardiology46 = Ped: Er$	eneral ardiovascular/Cardiothoracic olon & Rectal and eurological ncology tolaryngology ediatric lastic horacic ransplant rauma rology ascular ariatric ther neral lolescent Medicine lergy rdiology itlcal Care docrinology	50 = Ped: Neonatal-Ped 51 = Ped: Nephrology 52 = Ped: Neurology 53 = Ped: Pulmonolog 54 = Ped: Rheumatolog 54 = Ped: Rheumatolog 55 = Ped: Oncology 56 = Ped: Emergency 57 = Ped: Other 58 = IM: General 59 = IM: Cardiology 60 = IM: Critical Careford $61 = IM: Endocrinolog62 = IM: Gastroenterod63 = IM: Hematology64 = IM: Infectious D66 = IM: Nephrology67 = IM: Rheumology69 = IM: Rheumatolog70 = IM: Oncology$	erinatal gy ogy medicine gy ology v isease	<ul> <li>18. Please indicate th (The total number of the hours reported be per week reported in</li> <li>a. Cla (Clinical and b. Con (Supervising c. Add (planning, bu d. Pra (budgeting, j) e. Con (Reports, apj f. Oth</li> </ul>	Rehabilitation Routine Care Undifferentiated Care Other he average hours per week you spend in hours distributed between non-patient care sing spent in direct patient care per week
SECTION 4: YOUR PRACTICE       19. Frease indicate the per Primary Practice:         14. In a typical day, how many patients do you see per hour?       Primary Practice: pts/hr. Secondary Practice: pts/hr.         15. Do you use telemedicine in your practice? YES NO       a. If YES, How many times have you been referred / referred a patient for a telemedicine consultation in the last six months? (please check and indicate all that apply)       b. If YES, What types of things do you most commonly use Telemedicine for? (check all that apply):       a patient for a telemedicine for? (check all that apply):       Primary Chronic Disease Management         c. Which healthcare professionals (excluding your supervising physician) do you consult for/ receive consultation from using telemedicine? (check all that apply):       Primary Care Physician       Other PA       APRN       Other       22. Do you (or your staff)       a. If YES, please		6,	71 = IM: Other			
Primary Practice: pts./hr. Secondary Practice: pts./hr.       20. What percentage of your practice: pts./hr.         15. Do you use telemedicine in your practice? YES NO       21. Please indicate the percentage of your practice: pts./hr.         a. If <u>YES</u> , How many times have you been referred / referred a patient for a telemedicine consultation in the last six months? (please check and indicate all that apply)       b. If <u>YES</u> , What types of things do you most commonly use Telemedicine for? (check all that apply): Second Opinion Diagnosis Follow-up Emergency Chronic Disease Management       Medicaid Medicare Managed Care Private Ins.         c. Which healthcare professionals (excluding your supervising physician) do you consult for/ receive consultation from using telemedicine? (check all that apply): Primary Care Physician Specialty Care Physician Other PA APRN Other       20. What percentage of your staff) a. If <u>YES</u> , please		matology			Primary Practice:	J J
Primary Practice: pts./hr. Secondary Practice: pts./hr.       21. Please indicate the performance of the performa	14. In a typical day, how many patients do you see per h	our?			20 What parameters	of your potients and Mole 9/ 1
13. Do you use telementation in type practice:       IES NO       P         a. If YES, How many times have you been referred/ referred a patient for a telemedicine consultation in the last six months? (please check and indicate all that apply)       b. If YES, What types of things do you most commonly use Telemedicine for? (check all that apply):       Medicaid         b. If YES, What types of things do you most commonly use Telemedicine for? (check all that apply):       Medicaid       Medicaid         c. Which healthcare professionals (excluding your supervising physician) do you consult for/ receive consultation from using telemedicine? (check all that apply):       Private Ins.       22. Do you (or your staff)         Primary Care Physician       Specialty Care Physician       Other PA       APRN       Other	Primary Practice: pts./ hr. Secondary Pract	ice: pts./hr.			20. What percentage	for your patients are. Mare /0, 1
14 Disease indicate the approximate number of house you open a questiling DIDECT DATIENT CADE as it much including	<ul> <li>a. If <u>YES</u>, How many times have you been consultation in the last six months? (<i>please</i></li> <li>b. If <u>YES</u>, What types of things do you most of Second Opinion Diagnosis</li> <li>c. Which healthcare professionals (<i>excluding</i> using telemedicine? (<i>check all that apply</i>):</li> </ul>	referred / referred check and indicate all that ap, ommonly use Telemedicine for Follow-up En your supervising physician) de	ply) for? (check all that apply) nergency Chr o you consult for/	<i>ly):</i> onic Disease Management <b>receive consultation from</b>	Medicaid Medicare Managed Care Private Ins. <b>22. Do you (or your</b> s	he percentage of your patients that fall Primary Loc. Secondary Loc. %% %% %% staff) provide services in any languages , please specify the language(s):
the hours you work each week are spent in direct patient care without any teaching or training of other PAs, this should be less than the number of hours reported previously in question 8). Primary Practice hrs./wk Secondary Practice hrs./wk Secondary Practice hrs./wk <u>Nearly Full</u> (practice cannot ac a. If you provide <u>direct patient care</u> , please indicate what PERCENT of the hours you spend with patients is dedicated <u>Unfilled</u> (practice can a	<ul> <li>charting, but excluding the hours spent providing path the hours you work each week are spent in direct patient the number of hours reported previously in question 8).</li> <li>a. If you provide <u>direct patient care</u>, please in to the following types of care:</li> </ul>	tient care combined with tea t care without any teaching or Primary Practice dicate what PERCENT of the	ching or training of ot training of other PAs, t hrs./wk Secondary he hours you spend with	her PAs: (unless all of his should be less than Practicehrs./wk h patients is dedicated	23. Please indicate th <u>Full</u> (practice can <u>Nearly Full</u> (pract <u>Unfilled</u> (practice	he status of your primary and secondar inot accept additional patients) tice can accept a limited number of new patients – practice can accept many new patients – practice is VA, military, or corrections)

2

- r your staff) provide services in any languages If <u>YES</u>, please specify the language(s): \_\_\_\_\_
- icate the status of your primary and secondar

	~	0.1		
Primary Care Physician	Specialist Physician	Other PA	APRN	Other
1 Hysician	1 Hysician	IA		Other
end in the foll	owing <u>NON-l</u>	PATIENT	CARE activ	vities:
ent care activiti	ies should not	exceed the	number of h	ours left over after
week in questi	on 15 are subt	racted from	the total nu	mber of hours worked
			Ura /W/le	Hrs./Wk.
ГҮ			<u>Hrs./Wk.</u> MARY SITI	
<u>Professionals</u>		<u>1 10</u>		
without patient		_		
ng or Training		8		
hile delivering	patient care)	_		
OT in support	of patient care	e) _		
naintain operat	ion of a practi	ce)		
1	1	/ _		
pport of patient	t care)			
o fall within t	he following a	ige groups	: (total shoul	d equal 100%)
rs% 6	5-84 yrs	_% 85+	yrs%	Total (100%)
rs% 6	5-84 yrs	_% 85+	yrs%	Total (100%)
	0 (			
%, Female_	%			
at fall within e	ach naver gro	un• (total)	should equal	100%)
.0C.	ach payer gr		Loc. Seco	
	(Champus)		%	%
Workers	s Comp		%	%
Charity			<u>%</u>	0/0
Self-Pay	/Uninsured		%	%
uages OTHE	R than Englis	h? YES	NO	
and any nuclei	a location(a)			
ondary practi			Seconda	ary Practice
	1 1 111181	JIACHUE	Seconda	ily I laculd
new patients)				
new patients) actice far from	full)			

## [Profession Name] Minnesota Department of Health Workforce Questionnaire

The Minnesota Department of Health (MDH) collects the data below to inform state and national healthcare workforce policy, to understand emerging trends in healthcare, to learn more about the work that you do, and to ensure that all Minnesotans can access physical, oral, and behavioral healthcare.

You are required by law to provide this information, but your responses do not affect your licensure in any way. The information you provide is classified as public. We appreciate the time you take to respond to the questions as accurately as possible. It takes most people 5 to 10 minutes to complete this questionnaire. Please note: if you take longer than 20 minutes to complete this questionnaire, you will be logged out of the renewal process. Your responses will be saved. Please open a new browser and log back in to finish your renewal.

For any assistance or questions about this questionnaire, please call MDH at (651) 201-3838 or toll free at (800) 366-5424. You may also email us at <u>health.orhpc@state.mn.us</u>.

Minnesota Statutes 144.051 and 144.052 and Minnesota Rules 4695.0100-4695.0300 require licensed health care providers to provide the information to MDH. You may request that MDH classifies your practice address as private if this is required for your safety. To do so, please submit your request to <u>health.orhpc@state.mn.us</u> (include your license type and number). You will receive confirmation from an MDH staff member.

## **SECTION 1: Your Work Status**

- 1) Which of these best represents your current work status?
  - □ Working in a paid or unpaid position related to my license
  - □ Not working in a position that is related to my professional license
    - Seeking a position related to my license [skip to Education questions]
    - □ Not seeking a position related to my license (e.g., retired or working in another field) [skip to Education questions]
    - □ **Temporarily not working due to medical, family, seasonal, or other reasons.** [skip to Education questions]

When answering the remaining questions, please consider only the current position(s) you hold that are related to your license.

- 2) [Asked only of Physicians, Dentists, APRNs, LICSWs, LMFTs, LPCCs, Psychologists] Do you own (or co-own) an individual or group private practice?
  - □ Yes
  - 🗆 No

Approximately how many hours do you work in a typical week?
 (Please include all hours worked on all activities. Your best estimate is fine.)

\_\_\_\_\_ hours per week

4) Approximately how many weeks did you work in the last 12 months, including any paid leave?

\_\_\_\_\_ weeks

5) Regardless of the number of hours you work per week, do you consider your schedule full-time or part-time?

Full-time
Part-time

6) About how many more years do you plan to work in this profession?

- □ 5 years or less
- □ 6 to 10 years
- □ More than 10 years
- 7) [If Q6= "5 years or less"] What is the main reason you plan to leave the profession within the next five years? (If more than one of these reasons applies, select the one that **best** applies to you.)
  - □ To retire
  - **To leave the profession because of burnout or dissatisfaction**
  - □ To pursue a different career
  - □ To pursue training in order to advance in my current or a related profession
  - □ For family or other personal reasons
  - For some other reason: \_\_\_\_\_\_
- 8) In a typical week, how much time do you spend providing direct patient or client care? (Different providers define "direct patient/client care" in different ways. Some include time spent on paperwork, care coordination, or appointment scheduling, while others include only face-to-face time. Please use your own definition of direct patient/client care when answering this question.)
  - □ None—I do not hold a position that involves direct patient/client care
  - □ Up to a quarter of my time
  - □ Between a quarter and a half of my time
  - □ Between a half and three-quarters of my time
  - □ More than three-quarters of my time

## **SECTION 2: Where You Work**

*Reminder:* When answering the following questions, please consider only the **current** position(s) you hold that are related to your *license.* 

9) At how many different physical locations do you work?

□ 1 □ 2 □ 3 or more

10) [If Q9 = "3 or more"] Do you have a primary work location (that is, a physical work site where you spend the largest share of your time)?

□ Yes □ No

[If Q9 = 1, 2, or Missing or (Q9= "3 or more" and Q10 = "Yes")]

Your Primary Work Location

(Choose the location where you spend most of your time. If you split your time evenly between two or more locations, choose any as your "primary" location.)

Why does the Minnesota Department of Health need information on where I work? (mouseover/link. When respondents click or mouseover, a box opens up with the following text):

MDH collects information on your work location so that we can understand where care is being provided. We combine data from all respondents to estimate the number of providers in all areas of Minnesota. This data informs state policies (such as loan forgiveness and similar policies) to ensure that all Minnesotans have access to care. You may request that MDH treat your practice address as private if this is required for your safety. If you wish to do so, please submit your request in writing to health.orhpc@state.mn.us (include your license type and number). You will receive confirmation from an MDH staff member.

Name of organization

Street address of the **place where you work** (do not include PO boxes or building names)

City

State

Zip Code

11) [If Q9 ne 1] Approximately how many hours per week do you work here?

#### \_\_\_ hours per week worked at this location

12) Which of these *best* describes this work site?

(If your work site falls into more than one category, please choose the category you think best applies.)

- □ Academic (Teaching / Research)
- □ Clinic / Professional Office / Health Center / Ambulatory Care (including behavioral health or substance abuse clinics, community health centers, FQHCs, surgery centers, dental offices, private practice, health and wellness facilities, urgent care, or walk-in, retail, or convenience clinics)
- □ **Community / Faith-Based Organization** (including community collaboratives, non-profit organizations, or social service agencies)
- □ Correctional Facility
- Home Health Care (including any medical or behavioral health care that is provided in patients' or clients' homes)
- □ **Hospital** (including day surgery, emergency department, behavioral health/psychiatric, specialty, transitional/rehabilitation unit)
- □ Insurance / Benefits Management Organization
- Long-Term Care Facility (including assisted living, hospice, rehabilitation, group homes, residential care, skilled nursing, or transitional/sub-acute care)
- □ **Pharmacy** (including hospitals/clinics/nursing facilities, independent community pharmacies, mail service pharmacy, or chain pharmacies)
- **Public Health Agency** (including city/county health board, or city/county/state public health entity)
- □ Commercial or Private Research Laboratory
- □ School (K-12)
- □ State, County, or City Agency
- □ Other: \_\_\_\_\_

## Your Secondary Work Location

(Choose the location where you spend the second-highest number of hours. If you split your time evenly between two or more locations, choose any as your "secondary" location.)

Name of organiza	tion
------------------	------

Stre	et ad	ddress of the <b>place where you work</b> (do not include PO boxes or building names)
 City		State Zip Code
13)	Ар	proximately how many hours per week do you work here?
		hours per week worked at this location
14)		ich of these <i>best</i> describes this work site? Your work site falls into more than one category, please choose the category you think best applies.)
		Academic (Teaching / Research) Clinic / Professional Office / Health Center / Ambulatory Care (including behavioral health or substance abuse clinics, community health centers, FQHCs, surgery centers, dental offices, private practice, health and wellness facilities, urgent care, or walk-in, retail, or convenience clinics)
		<b>Community / Faith-Based Organization</b> (including community collaboratives, non-profit organizations, or social service agencies)
		<b>Correctional Facility</b> <b>Home Health Care</b> (including any medical or behavioral health care that is provided in patients' or clients' homes)
		<b>Hospital</b> (including day surgery, emergency department, behavioral health/psychiatric, specialty, transitional/rehabilitation unit)
		Insurance / Benefits Management Organization Long-Term Care Facility (including assisted living, hospice, rehabilitation, group homes, residential care, skilled nursing, or transitional/sub-acute care)
		<b>Pharmacy</b> (including hospitals/clinics/nursing facilities, independent community pharmacies, mail service pharmacy, or chain pharmacies)
		Public Health Agency (including city/county health board, or city/county/state public health entity) Commercial or Private Research Laboratory

- □ School (K-12)
- □ State, County, or City Agency
- □ Other: \_\_\_\_\_

#### Your Work Location

When answering the following questions, think of the general region where you serve the **most** patients or clients.

15) In which region do you serve the most clients or patients?

- □ Twin Cities Metro area
- □ Central Minnesota
- □ Northwest Minnesota
- □ Northeast Minnesota
- □ Southwest Minnesota
- □ Southeast Minnesota
- Outside Minnesota (please specify): \_\_\_\_\_\_

16) Approximately how many hours per week do you work in this region?

hours per week worked in this region

- 17) Which of these *best* describes the work site where you spend the most time? (*If your work site falls into more than one category, please choose the category you think best applies.*)
  - □ Academic (Teaching / Research)
  - □ Clinic / Professional Office / Health Center / Ambulatory Care (including behavioral health or substance abuse clinics, community health centers, FQHCs, surgery centers, dental offices, private practice, health and wellness facilities, urgent care, or walk-in, retail, or convenience clinics)
  - □ **Community / Faith-Based Organization** (including community collaboratives, non-profit organizations, or social service agencies)
  - □ Correctional Facility
  - Home Health Care (including any medical or behavioral health care that is provided in patients' or clients' homes)
  - □ **Hospital** (including day surgery, emergency department, behavioral health/psychiatric, specialty, transitional/rehabilitation unit)
  - □ Insurance / Benefits Management Organization
  - Long-Term Care Facility (including assisted living, hospice, rehabilitation, group homes, residential care, skilled nursing, or transitional/sub-acute care)
  - □ **Pharmacy** (including hospitals/clinics/nursing facilities, independent community pharmacies, mail service pharmacy, or chain pharmacies)
  - **Public Health Agency** (including city/county health board, or city/county/state public health entity)
  - □ Commercial or Private Research Laboratory
  - □ School (K-12)
  - □ State, County, or City Agency
  - □ Other:\_\_\_\_\_

#### [(Q1 = Missing or "Working in a paid or unpaid position that requires my license") and (Q8 NE "None")]

## **SECTION 3: Caring for Patients or Clients**

If you work in more than one setting, or location, consider all of your work settings when answering the next set of questions.

#### How often do you do the following when providing patient/client care?

- 18) [PY only] Provide care that a different specialist might otherwise provide if they were available/accessible.
  - □ Never
  - □ Occasionally
  - □ Frequently
  - $\Box$  All the time
- 19) [If Q18 eq 'Occasionally', 'Frequently,' or 'All the time'] [If you are not a psychiatrist] Provide mental/behavioral health services.
  - □ Never
  - □ Occasionally
  - □ Frequently
  - □ All the time

20) [If Q18 eq 'Occasionally', 'Frequently,' or 'All the time'] Provide oral health care.

- □ Never
- □ Occasionally
- □ Frequently
- $\hfill\square$  All the time
- 21) [If Q18 eq 'Occasionally', 'Frequently,' or 'All the time'] [If you are not an OB/GYN] Provide obstetric or gynecological care.
  - □ Never
  - □ Occasionally
  - □ Frequently
  - □ All the time

22) [If Q18 eq 'Occasionally', 'Frequently,' or 'All the time'] [If you are not a pediatrician] Provide pediatric care.

- □ Never
- □ Occasionally
- □ Frequently
- □ All the time

23) [If Q18 eq 'Occasionally', 'Frequently,' or 'All the time'] Fill other gaps in care.

- □ Never
- □ Occasionally
- □ Frequently
- □ All the time

24) [If Q23 eq 'Occasionally', 'Frequently,' or 'All the time'] What type of care?

25) Provide clinical training, precepting, or supervision to students, interns, or residents.

- □ Never
- □ Occasionally
- □ Frequently
- $\hfill\square$  All the time

26) Use electronic health records or similar patient/client electronic records.

- □ Never
- □ Occasionally
- □ Frequently
- □ All the time

27) Diagnose or consult with patients or clients in real-time using dedicated telemedicine equipment or software.

- □ Never
- □ Occasionally
- □ Frequently
- □ All the time

#### The final set of questions in this section are for dentists only.

Please tell us about your staff. Do you employ any of the following?

Licensed dental assistants? □ Yes □ No □ Exploring [IF Yes] Do any of your dental assistants perform restorative procedures? (Procedures may include place, contour, and adjust amalgam restorations, glass ionomers, class I and V supragingival composite restorations, or adapt and cement stainless steel crowns (Minnesota Statute 150A.10, Subd. 4)). □ Yes □ No **Exploring** □ Don't know Licensed dental hygienists? □ Yes **Exploring** [If yes] Do any of your dental hygienists perform restorative procedures? (Procedures may include place, contour, and adjust amalgam restorations, glass ionomers, class I and V supragingival composite restorations, or adapt and cement stainless steel crowns (Minnesota Statute 150A.10, Subd. 4)). □ Yes □ No **Exploring** □ Don't know [If yes] Do any of your dental hygienists have collaborative agreements with you (allowing service outside the dental office or in a non-traditional setting (Minnesota Statute 150A.10, Subd. 1a))? □ Yes □ No **Exploring** □ Don't know

Licensed dental therapists?

- 🗆 Yes
- 🗆 No
- □ Exploring

Advanced dental therapists?

🗆 No

□ Exploring

## **SECTION 4: Your Education, Training, and Preparation**

- 28) [Not physicians or dentists] What is the highest degree you have completed?
  - Diploma or certificate (no college)
  - □ Some college, no degree
  - □ Associate degree
  - □ Certificate, certification or other credential (post Associate degree)
  - □ Bachelor's degree
  - □ Certificate, certification or other credential (post Bachelor's degree)
  - □ Master's degree
  - □ Certificate, certification or other credential (post Master's degree)
  - □ Doctorate or professional degree
- 29) [Not physicians or dentists] Where did you complete this degree? Dropdown box with each state, plus Canada, plus "Another country" at the bottom.
- 30) [LPNs, RNs, APRNs, LSW, LGSW, LISW, LICSW, LPCS, LPCCS, LADCs, LMFTS, Psychologists] Was this degree in nursing / social work / marriage and family therapy / behavioral health / psychology?
  - □ Yes
  - 🗆 No
- 31) [LPNs, RNs, APRNs, LSW, LGSW, LISW, LICSW, LPCS, LPCCS, LADCs, LMFTS, Psychologists] What was the *initial* degree you completed that qualified you to work as a[n] nurse / social worker / licensed counselor / marriage and family therapist / psychologist?
  - □ Same as my highest degree
  - **Diploma or certificate (no college)**
  - □ Associate degree
  - □ Certificate, certification or other credential (post Associate degree)
  - □ Bachelor's degree
  - □ Certificate, certification or other credential (post Bachelor's degree)
  - □ Master's degree
  - □ Certificate, certification or other credential (post Master's degree)
  - Doctorate or professional degree
- 32) [If Q29 NE "Same as my highest degree?] Was this degree in nursing / social work / marriage and family therapy / behavioral health / psychology?
  - □ Yes
  - 🗆 No

- 33) How likely are you to pursue more education or credentials to advance in your field (not including mandatory continuing education units)?
  - □ Not at all likely
  - □ Somewhat likely
  - □ Very likely
  - □ I am currently enrolled in a training or education program
- 34) [If Q31 = "Very likely" or "Currently enrolled"] What type of education or credentials?

Specialty Questions—customized by profession

[Dentists only] Do you hold a certification from a dental specialty board? (*Check all that apply or "No certification."*)

- □ No certification
- □ Dental public health
- □ Endodontics
- □ Oral and maxillofacial pathology
- □ Oral and maxillofacial radiology
- □ Oral and maxillofacial surgery
- Orthodontics and dentofacial orthopedics
- Pediatric dentistry
- □ Periodontics
- □ Prosthodontics

[LPCs/LPCCs] What is your specialty area/primary focus area? (Check all that apply.)

- □ Addiction counseling
- □ Career counseling
- □ EAP—Employee Assistance Program
- □ Marriage and family counseling
- □ Mental health counseling
- □ Rehabilitation counseling
- □ School counseling
- □ Testing/assessment

[LPNs / RNs] What is your specialty area(s)? (Check all that apply.)

- □ Acute care/Critical care
- □ Adult Health/Family Health
- □ Anesthesia/Anesthesiology
- □ Community Health
- □ Emergency Care/Trauma
- □ Geriatric/Gerontology
- □ Home Health
- □ Hospice/Palliative Care
- □ Intensive Care
- □ Maternal Child Health
- □ Medical/Surgical
- □ Neonatal/Perinatal
- □ Obstetrics/Gynecology (Women's Health)
- □ Occupational Health
- □ Oncology
- □ Operating Room/Recovery
- □ Pediatrics
- □ Psychiatric/Mental/Behavioral Health/Substance Abuse
- Public Health
- □ Rehabilitation
- □ School Health
- Other \_\_\_\_\_

[APRNs] What is your specialty area(s)? (Check all that apply.)

- □ Acute care/Critical care
- □ Adult Health/Family Health
- □ Anesthesia/Anesthesiology
- □ Cardiology/Pulmonology
- □ Community Health
- □ Dermatology
- □ Emergency Care/Trauma
- □ Endocrinology
- □ Gastroenterology
- □ Geriatric/Gerontology
- □ Home Health
- □ Hospice/Palliative Care
- □ Maternal Child Health
- □ Medical/Surgical
- □ Nephrology
- □ Neonatal/Perinatal
- □ Neurology
- □ Obstetrics/Gynecology (Women's Health)
- □ Occupational Health
- □ Oncology
- □ Orthopedics
- Pain Management
- □ Pediatrics
- □ Psychiatric/Mental/Behavioral Health/Substance Abuse
- □ Public Health

- □ Rehabilitation
- □ School Health
- □ Transplant
- □ Vascular
- Other (Please specify)\_\_\_\_\_\_

[Psychologists—not yet to be programmed in to Java] Which do you consider your primary areas(s) of practice? (*Check all that apply*.)

- Behavioral and Cognitive Psychology
- □ Clinical Child Psychology
- □ Clinical Health Psychology
- □ Clinical Neuropsychology
- □ Clinical Psychology
- □ Counseling Psychology
- □ Family Psychology
- □ Forensic Psychology
- □ Industrial/Organization Psychology
- Police and Public Safety Psychology
- □ Professional Geropsychology
- □ Psychoanalysis in Psychology
- □ School Psychology
- □ Sleep Psychology
- □ Other \_\_\_\_\_

[All social workers] What is your specialty area/primary focus area(s)? (Check all that apply.)

- □ Addictions
- □ Adolescents
- □ Aging
- □ Child Welfare/Families
- □ Community Organization
- Diversity, Discrimination, Poverty
- **Developmental Disorders, Disabilities**
- □ Medical Health
- □ International
- □ Mental/Behavioral Health
- □ Research, Education, Policy
- □ School Social Work
- □ Other \_\_\_\_\_

[Dental Hygienists] Do you have a collaborative agreement with a dentist allowing you to provide services outside the dental office or in a non-traditional setting (MN Statute 150A.10, Subd. 1a)?

Yes
Νο
Don't know

[IF Yes] How often do you use your collaborative agreement in your current job?

Never	

- □ Occasionally
- □ Frequently
- $\hfill\square$  All the time

[Dental Hygienists and Dental Assistants] Do you have a restorative procedures credential? (Procedures may include: place, contour, and adjust amalgam restorations, glass ionomers, class I and V supragingival composite restorations, or adapt and cement stainless steel crowns.)

Don't know
[If Yes] How often do you perform restorative procedures in your job?
□ Never
Occasionally
□ Frequently
□ All the time
[Physician Assistants] Which do you consider your primary area of practice? (Select all that apply
Family Medicine
Internal Medicine
Pediatrics
□ OB/GYN
Dermatology
General Surgery
Other Surgery
Psychiatry
□ Other:

35) [Physical therapists] What is your specialty area/primary focus area? (Select all that apply.)

- □ None
- □ Pediatrics
- □ Geriatrics
- □ Orthopedics
- □ Sports
- □ Neurology
- Cardiovascular and Pulmonary
- □ Clinical Electrophysiology
- □ Women's Health
- 36) Which of the following work or educational experiences **best prepared you** to work with people from a variety of backgrounds when providing care (sometimes referred to as "culturally competent" care)?

- □ None
- □ Informal learning on the job
- □ Formal on-the-job training (e.g., seminars, preceptorship or mentorship)
- □ Course work or training in my formal educational program
- □ Continuing education or professional development coursework
- Does not apply—culturally competent care is not part of my job
- 37) Which of the following work or educational experiences **best prepared you** to work with a multi-disciplinary team when providing care?
  - □ None
  - □ Informal learning on the job
  - □ Formal on-the-job training (e.g., seminars, preceptorship or mentorship)
  - **Course work or training in my formal educational program**
  - □ Continuing education or professional development coursework
  - □ Does not apply—working with multi-disciplinary teams is not part of my job

#### [If Q1 = "Working in a paid or unpaid position that requires my license" or Q1 is Missing]

## **SECTION 5: Your Work Satisfaction**

- 38) How satisfied have you been with your career in the last 12 months?
  - □ Very satisfied
  - □ Satisfied
  - Dissatisfied
  - □ Very dissatisfied
- 39) How satisfied are you with your career overall?
  - □ Very satisfied
  - □ Satisfied
  - □ Dissatisfied
  - □ Very dissatisfied
- 40) What is the greatest source of your professional satisfaction? (*Please be aware that detailed answers are helpful and may inform state policy planning.*)
- 41) What is the greatest source of your professional dissatisfaction? (Please be aware that detailed answers are helpful and may inform state policy planning.)

## **SECTION 6: Demographics**

- 42) Which racial/ethnic categories apply to you? (Check all that apply.)
  - □ African
  - □ African American
  - □ American Indian or Alaskan Native
  - □ Asian—South Asian
  - □ Asian—Southeast Asian
  - □ Asian—Other: \_\_\_\_\_
  - □ Hispanic/Latino
  - □ Middle Eastern/North African (MENA)
  - □ White/Caucasian
  - □ Other (specify: \_\_\_\_\_)
- 43) [If Q8 is not "None"] Other than English, what languages do you speak in your practice? (Check all that apply. Do not include languages spoken only through a medical interpreter.)
  - □ None—English only
  - □ Amharic
  - □ Arabic
  - French
  - □ Hmong
  - 🗆 Karen
  - □ Khmer
  - 🗆 Lao
  - □ Oromo
  - □ Russian
  - □ Serbo-Croatian
  - Sign Language
  - Somali
  - Spanish
  - Swahili
  - □ Vietnamese
  - □ Other: \_\_\_\_\_

### List of professions

Profession Name	Abbreviation
Counselors—Licensed Alcohol and Drug Counselors	LADC
Counselors—Licensed Professional Clinical Counselors	LPCC
Counselors—Licensed Professional Counselors	LPC
Dental Assistants	DA
Dental Hygienists	DH
Dental Therapists	DT
Dentists	D
Licensed Marriage and Family Therapists	LMFT
Nurses—Advanced Practice Registered Nurses	APRN
Nurses—Licensed Practical Nurses	LPN
Nurses—Registered Nurses	RN
Pharmacists	
Pharmacy Technicians	
Physical Therapist Assistants	ΡΤΑ
Physical Therapists	PT
Physician Assistants	PA
Physicians	PY
Psychologists	
Respiratory Therapists	RT
Social Workers—Licensed Graduate Social Workers	LGSW
Social Workers—Licensed Independent Clinical Social Workers	LICSW
Social Workers—Licensed Independent Social Workers	LISW
Social Workers—Licensed Social Workers	LSW

## Utah Medical Education Council Registered Nurse Workforce Survey 2014

## **Demographics**

1.	Please indicate your gender and age: Gender:	Female	🗆 Mal	e	Age	
2.	What is your ethnic/racial background? (please mark oAmerican Indian/Alaska NativeAfrican American Ameri	can 🛛			oanic/Latin vecify)	
3.	Please describe the area where you spent the majority□ Rural□ Suburban□ U	<b>r of your u</b> rban/Metr			-	
Lic	ensure/Education Information					
4.	<ul> <li>Did you work any of the following health related jobs</li> <li>No Health Related Position Before RN Education</li> <li>Nursing Aide or Nursing Assistant</li> <li>Home Health Aide or Assistant</li> <li>Licensed Practical or Vocational Nurse</li> <li>Emergency Medical Technician (EMT) or paramedic</li> <li>Other Type of Health Related Position: (please specified)</li> </ul>	□ M □ La □ Ra □ Mi □ Mi	edical Ass boratory T diological	istant Fechnic Techni Health dical Cc	ian ician Care Settir orps	
5.	<ul> <li>What type of nursing degree/credential qualified you for the second se</li></ul>	🗆 Ba		te Degr gree-N	ree-Nursin ursing	g
6.	In what state did you receive your nursing degree/crea State:	dential tha	t qualifie	d you fo	or your ini	tial RN License?
	What year did you obtain your first U.S. RN License? a. Please specify any other country where you have obtained an RN license:	Year: Coun	 try:			
8.	<ul> <li>How did you finance your initial RN education? Please</li> <li>Earnings From Your Health-Care-Related Employm</li> <li>Earnings From Your Non-Health-Care-Related Employm</li> <li>Earnings From Other Household Members</li> <li>State or Local Government Scholarship or Grant</li> <li>Other Family Resources (Parents or Other Relatives)</li> <li>Other Resources</li> </ul>	ient loyment	Er EF O O Pe	ederally ther Ty ersonal on-Gov	/ Assisted L pe of Loan Household rernment S	
9.	What is your highest level of education?  Diploma-Nursing Associate-Nursing Associate Degree-Non-Nursing Baccalaureate Degree-Nursing Baccalaureate Degree-Non-Nursing		octoral De octoral De octoral De	gree-No gree-No gree-No gree-No	on-Nursing ursing (Ph	) ctice (DNP) er

### **Employment Information**

10.			dicate your average nu (if no out of st			-		-			
			you indicated Out of S								
			ervices outside of Utah								
	b		you do not provide an								
			Please list the re								
		ii.					why you no longer p	-			
11.	Please	e in	dicate the type(s) of po	sition(s) ye	ou ho	old: (p	lease mark all that a	ipply)			
	🗆 Ful	l Tir	ne Nursing 🛛 🗆 F	ull Time No	on-Nu	irsing	Faculty-Nursing	g	□ Single Employ	ment Position	
	🗆 Pai	rt Ti	me Nursing 🛛 🗆 P	art Time N	on-N	ursing	g 🛛 Retired		□ Multiple Emp	loyment Positions	
			ictor-Nursing 🛛 T		Nursi	ng					
	🗆 Un	em	oloyed-Seeking Work as	s Nurse			Unemployed-N	lot Seeki	ing Work as a Nu	rse	
	р	er m	n marked above that yo nonth?				-	-			
		-	I marked you were une			previ	ious question, please	e indicat	e your reason to	r being	
			<b>ployed</b> (please mark al king Care of Home			aking	Care of Family		alad		
						-	ling School		sing Position		
			her (please specify)				•		, , ,		
12.	other each	loca prac	dicate the practice Nan ation(s) (if applicable). atice location.	Also, Pleas	e est	imate	e the total hours wor	rked per	week (not includ	ling on call) <b>at</b>	
		•	Practice/Contract	Name:				Zip:		5/wk:	
			y Practice/Contract							s/wk: s/wk:	
	Other	Pro	actice/Contract	Name:				ZIP:		5/WK	
13.	( <b>P-</b> Pr	ima	<b>entify the type of setti</b> ry Setting, <b>S-</b> Secondary	Setting)		-	corresponds to your	nursing	practice position	l	
		• □	Hospital			• □	School Health Servio	60			
			Home Health				Occupational Health				
			Correctional Facility				Ambulatory Care Se				
			Academic Setting				Insurance Claims/Be	-			
			Public Health				Policy/Planning/Reg		/Licensing		
			Community Health				Other	50.000.77			
			Nursing Home/Extend Assisted Living Facility	ed Care/			Specify				
14.			<b>changed your primary</b> nswer a. below)	<b>work sett</b> o (proceea							
	a	دما٥	se indicate the work sett	ing you mov	od FF		ased on the setting ca	tegories	from the previous		

a. Please indicate the work setting you moved FROM based on the setting categories from the previous question:\_\_\_\_\_\_

15.	curr	rent	ly employed?(please place a 1,2 or 3 nex	t <i>to thr</i> Bonus	ee of i	t factored in your decision to work where you are f the following options, please only rank three of the options Retirement PlanHealth Insurance PensionUpward Mobility
			nnual Raise Reputation of			
			Other: (please specify)			
16.			precept/mentor nursing students? s, how many do you mentor per acade			
	а	i	If yes, have you experienced any of the following as a result D Bur of being a preceptor/mentor?	nout	□ S <sup>1</sup>	Stress  Inadequate  Inconvenience Compensation
	b		If you do not currently precept/mentor students, would you like to in the future		ПΥ	Yes 🗆 No
	С	: <b>.</b>	If no, please briefly explain why not:			
17.			indicate what level of care or type of w	ork tha	at mo	ost closely corresponds to your nursing position.
		S		P	S	
			General or Specialty Inpatient			
			Critical/Intensive Care			
			Step-down, Transitional, Telemetry			Ancillary Care
			Sub-acute Care			Home Health
			Emergency			Public Health/Community Health
			Urgent Care			
			Rehabilitation			, , 5
			Long-Term Care/Nursing Home			
			Other:(please specify)			
18.			indicate the clinical specialty in which y			
					-	under primary. If applicable, mark the specialty you spend
	the		t most time practicing in under seconda			nly one in each column.
	Р	S		P	S	
			No Patient Care			Labor and Delivery
			General Medical Surgical			Neurological
			Critical Care			Obstetrics
			Cardiac or Cardiovascular Care			Occupational Health
			Chronic Care			Oncology
			Dermatology			Primary Care
			Emergency or Trauma Care			Psychiatric or Mental Health
			Gastrointestinal			Pulmonary
			Gynecology (Women's Health)			Radiology
			Hospice			Renal/Dialysis

- Image: Section of the section of t

		cate t	he patient population	you spei			50%	of your patient	care time with.
<u>P</u>	S				P	S			
			ient Care					wborn or Neona	
		Adult						diatric and/or Ad	dolescent
		Geriat		500/				e-natal	
		•	le Age Groups( <i>less tha</i>				Otł	her: Specify:	
	t	ime s	pent with any of the ab	ove)					
20. Ple	ease ider	ntifv t	he primary position tit	le that m	nost cl	oselv	corr	responds to vou	r nursing position:
	Consulta	-		□ Nurs		-		,	□ Staff Nurse
	Nurse R	eseard	cher			•	Clini	cal Setting	Other-Health Related
			ve-Clinical					demic Setting	Other-Not Health Related
	Nurse E	kecuti	ve-Academic	🗆 Adva				-	Nurse Care Manager
	-		erage annual gross (bej	-				ng benefits?	
	<\$20,00		200						□ \$90,000-\$99,999
	\$20,000 \$30,000			□ \$60,0 □ \$70,0					□ \$100,000-\$109,999 □ \$110,000-\$200,000
	\$40,000								□ \$110,000-\$200,000 □ >\$200,000
	Ş40,000	-749,3		ц 300,0	JUU-30	5,555	,		
22. Ho	w many	years	have you been with y	our curre	ent pri	imary	em	ployer?	
23. In	how ma	ny yea	ars do you plan on reti	ring?					
24 Do	vou nla	n to la	eave your primary wor	k sotting	2				
	Yes, w			K Setting	•				
			•						
			, leave within the next 3	years					
	•								
						-			
-	-		ave your primary work we to another nursing po	•	withi	n 3 ye	ear, (	do you:	
			e the nursing field tem		nut ret	urn ir	h the	future (see a c	and h helow)
			e the nursing field perr						
			÷ ,		-			what is your rea	ason for planning to leave?
			-						
			en do you plan to retu		•				
	c.		ou plan to leave the nu Retirement	irsing fie	ld per		ntly □	<b>, what is your p</b> Skills Are Out c	•
			Taking Care of Home	and Fam	ilv			Liability Conce	
			Salaries Too Low/Bett		•			•	ctice Nursing on a Professional Level
			Stressful Work Enviro	•				-	cement Opportunities
			Scheduling/Inconveni		ŝ				Aanagement or Leadership
			Physical Demands of		5			Career Change	
			Disability					Travel	
			Illness					To Seek More	Education
			Inadequate Staffing						pration/Communication Between
			Burnout				_	Health Care Pro	
			Other:(please specify	)					
TUA		VEDV				ΓΕΛ			VEY IN THE PROVIDED POSTAGE
		VENT							

# § 54.1-2506.1. Submission of required information

A. The Department is authorized to require individuals applying for initial licensure, certification, or registration, and individuals who are licensed, certified, or registered by a health regulatory board to provide information in addition to that which is required to determine the individual's qualifications. Such additional information shall include identification of the individual's self-designated specialties and subspecialties; credentials and certifications issued by professional associations, institutions and boards; and locations of each practice site, number of hours spent practicing at each practice site location, and demographic information. The Department, in consultation with the health regulatory boards, may establish criteria to identify additional data elements deemed necessary for workforce and health planning purposes. Such information shall be collected and maintained by the Department for workforce and health planning purposes in cooperation with agencies and institutions of the Commonwealth and shall be released by the Department only in the aggregate without reference to any person's name or other individual identifiers; however, the Department may release any information that identifies specific individuals for the purpose of determining shortage designations and to qualified personnel if pertinent to an investigation, research, or study, provided a written agreement between such qualified personnel and the Department, which ensures that any person to whom such identities are divulged shall preserve the confidentiality of those identities, is executed. Prior to collecting any information described in this section from individuals, the Department shall first attempt to obtain from other sources information sufficient for workforce planning purposes.

B. For the purpose of expediting the dissemination of public health information, including notice about a public health emergency, the Department is authorized to require certain licensed, certified or registered persons to report any email address, telephone number and facsimile number that may be used to contact such person in the event of a public health emergency or to provide information related to serving during a public health emergency. In the event of an animal health emergency, the Department shall provide to the State Veterinarian the email addresses, telephone numbers and facsimile numbers that may be used to contact licensed veterinarians.

Such email addresses, telephone numbers and facsimile numbers shall not be published, released or made available for any other purpose by the Department, the Department of Health, or the State Veterinarian.

The Director, in consultation with the Department of Health and the Department of Emergency Management, shall adopt regulations that identify those licensed, certified or registered persons to which the requirement to report shall apply and the procedures for reporting.

1994, c. 853;1997, c. 806;2003, c. 602;2005, c. 55;2009, c. 382.

The chapters of the acts of assembly referenced in the historical citation at the end of this section may not constitute a comprehensive list of such chapters and may exclude chapters whose provisions have expired.

## § 54.1-2400.02. Information concerning health professionals; posting of addresses on the Internet; providing personal information under certain circumstances prohibited; collection of address information from health professionals

A. In order to protect the privacy and security of health professionals, the posting of addresses to the on-line licensure lookup or any successor in interest thereof shall only disclose the city or county provided to the Department and shall not include any street, rural delivery route, or post office address. However, the street address of facilities regulated by the Boards of Funeral Directors and Embalmers, Nursing, Pharmacy, and Veterinary Medicine shall be posted.

B. The Department shall collect an official address of record from each health professional licensed, registered, or certified by a health regulatory board within the Department, to be used by the Department and relevant health regulatory boards for agency purposes, including workforce planning and emergency contact pursuant to § 54.1-2506.1. Such official address of record shall otherwise remain confidential, shall not be provided to any private entity for resale to another private entity or to the public, and shall be exempt from disclosure under the Freedom of Information Act (§ 2.2-3700 et seq.).

C. In addition, the Department shall provide an opportunity for the health professional to provide a second address, for the purpose of public dissemination. Health professionals may choose to provide a work address, a post office box, or a home address as the public address. In collecting such public address information, the Department shall notify health professionals that this address may be publicly disclosed, and is subject to the Freedom of Information Act (§ 2.2-3700 et seq.). Notwithstanding the provisions of subsection B, if a health professional does not provide a second address, his official address of record shall also be used as the public address for the purpose of public dissemination.

D. The Department shall develop a procedure for health professionals to update their address information at regular intervals, and may charge a fee sufficient to cover the costs for such updates.

2003, c. 310;2009, c. 687.

The chapters of the acts of assembly referenced in the historical citation at the end of this section may not constitute a comprehensive list of such chapters and may exclude chapters whose provisions have expired.

1	AN ACT	
2	RELATING TO HEALTH CARE; ENACTING THE HEALTH CARE WORK FORCE	
3	DATA COLLECTION, ANALYSIS AND POLICY ACT; DIRECTING THE	
4	DEPARTMENT OF HEALTH TO COLLECT DATA REGARDING DEMOGRAPHICS,	
5	SPECIALTIES AND PROFESSIONS IN THE STATE'S HEALTH CARE WORK	
6	FORCE; DIRECTING HEALTH CARE WORK FORCE REGULATORY BOARDS TO	
7	COLLECT DATA FROM APPLICANTS FOR LICENSURE OR RENEWAL OF	
8	LICENSURE; DIRECTING THE SECRETARY OF HEALTH TO CONVENE A	
9	WORK GROUP OF HEALTH CARE WORK FORCE EXPERTS.	
10		
11	BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:	
12	SECTION 1. SHORT TITLEThis act may be cited as the	
13	"Health Care Work Force Data Collection, Analysis and Policy	
14	Act".	
15	SECTION 2. DEFINITIONSAs used in the Health Care	
16	Work Force Data Collection, Analysis and Policy Act:	
17	A. "board" means any state health care work force	
18	licensing or regulatory board, including the New Mexico	
19	medical board; the board of osteopathic medical examiners;	
20	the New Mexico board of dental health care; the board of	
21	nursing; the board of pharmacy; any other licensing or	
22	regulatory board that the secretary of health designates; any	
23	other health professional licensing board listed in Chapter	
24	61 NMSA 1978; and the department;	
25	B. "database" means the health care work force	S P

SB 14 Page 1 database created pursuant to the Health Care Work Force Data Collection, Analysis and Policy Act;

1

2

3

4

5

6

7

C. "department" means the department of health;

D. "ethnicity" means an individual's selfidentification or affiliation as either "Hispanic or Latino" or "not Hispanic or Latino" according to cultural, historical, linguistic or religious ties;

E. "New Mexico center for health care workforce
analysis" means a state entity that collects, analyzes and
reports data regarding the state's health care work force and
collaborates with the federal national center for health care
workforce analysis pursuant to Section 5103 of the federal
Patient Protection and Affordable Care Act; and

F. "race" means an individual's selfidentification or affiliation with one of the following
categories used to identify individuals according to
historical or phenotypical characteristics:

American Indian or Alaska Native; (1)18 (2) 19 Asian; 20 (3) Black or African American; Native Hawaiian or other Pacific (4) 21 Islander; 22 (5) White; or 23 a mixture of any of the categories 24 (6) listed in Paragraphs (1) through (5) of this subsection. 25

SB 14 Page 2

1 SECTION 3. HEALTH CARE WORK FORCE DATABASE--COLLECTION 2 OF DATA--HOUSING OF DATA--ANALYSIS AND REPORTING.--3 Subject to the availability of state, federal Α. or private foundation funding or other sources of funding, 4 5 the secretary of health shall create and maintain the "health 6 care work force database". The secretary of health shall: enter into agreements with entities to 7 (1)create, house and provide information to state agencies, the 8 legislature and the governor and, as the legislature or 9 governor deems appropriate, any others regarding the state's 10 health care work force; and 11 seek federal or other sources of funding 12 (2)to create a New Mexico center for health care workforce 13 analysis and to ensure the additional funding and staffing 14 15 needed to achieve the anticipated outcomes. A board shall supply the department with data Β. 16 pertaining to licensed health care providers for inclusion in 17 the database. A board shall collect a core essential data 18 set at the time of new licensure or licensure renewal, 19 20 including, but not limited to, a provider's: demographics, including race, ethnicity (1)21 and primary and other languages spoken; 22 practice status, including, but not (2) 23 limited to: 24 (a) active practices in New Mexico and 25 SB 14 Page 3

1 other locations; (b) practice type; and 2 3 (c) practice settings, such as hospitals, public schools, higher education institutions, 4 5 clinics and other clinical settings; education, training and primary and 6 (3) secondary specialties for all health professions as 7 appropriate; 8 average hours worked per week and the 9 (4) average number of weeks worked per year in the licensed 10 profession over the past twelve months; 11 (5) percentage of practice engaged in direct 12 patient care and in other activities, such as teaching, 13 research and administration, in the licensed profession; 14 15 (6) practice plans for the next five years, 16 including retiring from a health care profession, moving out of state or changing health care work hours; and 17 professional liability insurance costs 18 (7) and availability as they relate to barriers to practice. 19 20 SECTION 4. DATABASE ESTABLISHMENT AND MAINTENANCE --DELEGATION. -- The secretary of health may contract and 21 collaborate with a private or public entity to establish and 22 maintain the database, to analyze data collected, to develop 23 reports for the legislature or the executive branch or to 24 perform other duties to carry out the provisions of the 25

SB 14 Page 4 Health Care Work Force Data Collection, Analysis and Policy Act.

1

2

3

4

5

6

7

8

9

10

11

16

17

18

19

20

21

SECTION 5. HEALTH CARE WORK FORCE DATA COLLECTION BY BOARDS--MANDATORY COMPLIANCE FOR APPLICANTS--REPORTING BY BOARDS--CONFIDENTIALITY OF DATA--RULEMAKING.--

A. An applicant for a license from a board or renewal of a license by a board shall provide the information prescribed by the secretary of health pursuant to Subsection C of this section. This section applies to applicants for health professional licensure or renewal of health professional licensure pursuant to Chapter 61 NMSA 1978.

B. A board shall not approve a subsequent application for a license or renewal of a license until the applicant provides the information pursuant to Subsection C of this section.

C. A board shall adopt rules regarding the manner, form and content of reporting data; the consistency of data entry fields used; and the information that an applicant, pursuant to Subsection A of this section, shall provide to a board. At a minimum, the rules shall provide for a core essential data set, including the applicant's:

(1) demographics, including race, ethnicityand primary and other languages spoken;

24 (2) practice status, including, but not 25 limited to:

SB 14 Page 5

1 active practices in New Mexico and (a) 2 other locations; 3 (b) practice type; and (c) practice settings, such as 4 5 hospital, clinic or other clinical settings; 6 education, training and primary and (3) secondary specialties; 7 average hours worked per week and the 8 (4) average number of weeks worked per year in the licensed 9 profession; 10 (5) percentage of practice engaged in direct 11 patient care and in other activities, such as teaching, 12 research and administration, in the licensed profession; and 13 (6) practice plans for the next five years, 14 15 including retiring from the health care profession, moving out of state or changing health care work hours. 16 D. A board shall report health care work force 17 information collected pursuant to this section to the 18 secretary of health. 19 20 Ε. A board shall keep confidential and not release personally identifiable data collected under this section for 21 any person licensed, registered or certified by the board. 22 The provisions of this subsection do not apply to the release 23 of information to a law enforcement agency for investigative 24 purposes or to the release to the secretary of health for 25

SB 14 Page 6 state health planning purposes. A person with whom the department contracts to perform data collection, storage and analysis shall protect the privacy of that data. The secretary of health shall ensure that the responses of applicants shall be kept confidential, including taking special precautions when the identity of an applicant may be ascertained due to the applicant's location or occupation.

1

2

3

4

5

6

7

8

9

10

11

12

13

F. A board shall promulgate rules as necessary to perform the board's duties pursuant to this section, including rules for collecting, storing and analyzing data in addition to the information required to be collected by the Health Care Work Force Data Collection, Analysis and Policy Act.

SECTION 6. HEALTH CARE WORK FORCE WORK GROUP--WORK 14 15 FORCE DATA ANALYSIS--RECRUITMENT PLANNING--STRATEGIC PLAN FOR IMPROVING HEALTH CARE ACCESS--WORK FORCE SURVEY.--The 16 secretary of health shall convene a health care work force 17 work group that includes representatives of health care 18 consumers; health care providers; organized groups 19 20 representing physicians, physician assistants, nurses, nurse practitioners, dentists, dental hygienists and pharmacists; 21 health care work force training institutions; the New Mexico 22 health policy commission; the public education department; 23 the higher education department; and the boards. The work 24 group shall: 25

SB 14 Page 7 A. analyze and make recommendations to the legislature regarding incentives to attract qualified individuals, including those from minority groups underrepresented among health care professions, to pursue health care education and practice in New Mexico;

1

2

3

4

5

6

7

8

9

10

11

12

B. develop a short-term plan and a five-year plan to improve health care access, with a draft report on the plans to be submitted to the interim legislative health and human services committee by November 1, 2011. Beginning October 1, 2012, the work group shall make detailed annual reports to the legislative health and human services committee by October 1 of each year;

C. analyze the collected data and make
recommendations to the legislature for building healthier
communities and improving health outcomes; and

D. devise an electronic survey, designed to be 16 completed by applicants within fifteen minutes, for boards to 17 provide to applicants for licensure or renewal of licensure, 18 which includes questions regarding the information required 19 20 pursuant to Subsection C of Section 5 of the Health Care Work Force Data Collection, Analysis and Policy Act and any other 21 survey questions that the secretary of health and the work 22 group deem appropriate. 23

24 SECTION 7. TEMPORARY PROVISION--APPLICATION FOR GRANTS
 25 PURSUANT TO THE FEDERAL PATIENT PROTECTION AND AFFORDABLE

SB 14 Page 8

1		
1	CARE ACTIn order to carry out the provisions set forth in	
2	the Health Care Work Force Data Collection, Analysis and	
3	Policy Act, the secretary of health shall seek funding	
4	pursuant to Section 5102 of the federal Patient Protection	
5	and Affordable Care Act, as well as funding from any other	
6	source, public or private, that the secretary of health deems	
7	appropriate.	
8	SECTION 8. EFFECTIVE DATEThe effective date of the	
9	provisions of this act is July 1, 2011	
10		Page 9
11		
12		
13		
14		
15		
16		
17		
18		
19		
20		
21		
22		
23		
23		
24		
23		

## AN ACT

RELATING TO HEALTH CARE; AMENDING SECTIONS OF THE HEALTH CARE WORK FORCE DATA COLLECTION, ANALYSIS AND POLICY ACT TO DIRECT THAT THE UNIVERSITY OF NEW MEXICO ASSUME DATA-RELATED DUTIES PURSUANT TO THAT ACT; PROVIDING THE DEPARTMENT OF HEALTH ACCESS TO DATA; TRANSFERRING ALL DATA, APPROPRIATIONS, PROPERTY, PERSONNEL, RECORDS AND CONTRACTS RELATED TO DATA COLLECTION, ANALYSIS, STORAGE OR USE UNDER THE HEALTH CARE WORK FORCE DATA COLLECTION, ANALYSIS AND POLICY ACT FROM THE DEPARTMENT OF HEALTH TO THE UNIVERSITY OF NEW MEXICO.

BE IT ENACTED BY THE LEGISLATURE OF THE STATE OF NEW MEXICO:

SECTION 1. Section 24-14C-1 NMSA 1978 (being Laws 2011, Chapter 152, Section 1) is amended to read:

"24-14C-1. SHORT TITLE.--Chapter 24, Article 14C NMSA 1978 may be cited as the "Health Care Work Force Data Collection, Analysis and Policy Act"."

SECTION 2. Section 24-14C-2 NMSA 1978 (being Laws 2011, Chapter 152, Section 2) is amended to read:

"24-14C-2. DEFINITIONS.--As used in the Health Care Work Force Data Collection, Analysis and Policy Act:

A. "board" means any state health care work force licensing or regulatory board, including the New Mexico medical board; the board of osteopathic medical examiners; the New Mexico board of dental health care; the board of nursing; HHGAC/HB 19

Page l

the board of pharmacy; any other licensing or regulatory board that the chancellor designates; any other health professional licensing board listed in Chapter 61 NMSA 1978; and the university;

B. "chancellor" means the chancellor for health sciences of the university of New Mexico;

C. "database" means the health care work force database created pursuant to the Health Care Work Force Data Collection, Analysis and Policy Act;

D. "ethnicity" means an individual's selfidentification or affiliation as either "Hispanic or Latino" or "not Hispanic or Latino" according to cultural, historical, linguistic or religious ties;

E. "New Mexico center for health care workforce analysis" means a state entity that collects, analyzes and reports data regarding the state's health care work force and collaborates with the federal national center for health care workforce analysis pursuant to Section 5103 of the federal Patient Protection and Affordable Care Act;

F. "race" means an individual's selfidentification or affiliation with one of the following categories used to identify individuals according to historical or phenotypical characteristics:

(1) American Indian or Alaska Native;

(2) Asian;

(3) Black or African American;

(4) Native Hawaiian or other Pacific

Islander;

(5) White; or

(6) a mixture of any of the categorieslisted in Paragraphs (1) through (5) of this subsection; and

G. "university" means the university of New Mexico."

SECTION 3. Section 24-14C-3 NMSA 1978 (being Laws 2011, Chapter 152, Section 3) is amended to read:

"24-14C-3. HEALTH CARE WORK FORCE DATABASE--COLLECTION OF DATA--HOUSING OF DATA--ANALYSIS AND REPORTING.--

A. Subject to the availability of state, federal or private foundation funding or other sources of funding, the chancellor shall create and maintain the "health care work force database". The chancellor shall:

(1) enter into agreements with entities to create, house and provide information to state agencies, the legislature and the governor and, as the legislature or governor deems appropriate, any others regarding the state's health care work force; and

(2) seek federal or other sources of funding to create a New Mexico center for health care workforce analysis and to ensure the additional funding and staffing needed to achieve the anticipated outcomes.

B. A board shall supply the university with data pertaining to licensed health care providers for inclusion in the database. A board shall collect a core essential data set at the time of new licensure or licensure renewal, including, but not limited to, a provider's:

(1) demographics, including race, ethnicity and primary and other languages spoken;

(2) practice status, including, but not limited to:

(a) active practices in New Mexico and other locations;

(b) practice type; and

(c) practice settings, such as

hospitals, public schools, higher education institutions, clinics and other clinical settings;

(3) education, training and primary and secondary specialties for all health professions as appropriate;

(4) average hours worked per week and the average number of weeks worked per year in the licensed profession over the past twelve months;

(5) percentage of practice engaged in direct patient care and in other activities, such as teaching, research and administration, in the licensed profession;

> (6) practice plans for the next five years, HHGAC/HB 19 Page 4

including retiring from a health care profession, moving out of state or changing health care work hours; and

(7) professional liability insurance costs and availability as they relate to barriers to practice.

C. The chancellor shall provide to the department of health, in a manner that conforms to department of health rules, access to health care work force data that the university administers pursuant to the Health Care Work Force Data Collection, Analysis and Policy Act."

SECTION 4. Section 24-14C-4 NMSA 1978 (being Laws 2011, Chapter 152, Section 4) is amended to read:

"24-14C-4. DATABASE ESTABLISHMENT AND MAINTENANCE--DELEGATION.--

A. The chancellor may contract and collaborate with a private or public entity to establish and maintain the database, to analyze data collected, to develop reports for the legislature or the executive branch or to perform other duties to carry out the provisions of the Health Care Work Force Data Collection, Analysis and Policy Act.

B. An entity that establishes, maintains or analyzes data or develops reports by contract pursuant to Subsection A of this section shall provide to the department of health, in a manner that conforms to department of health rules, access to any health care work force data that the entity establishes, maintains, analyzes or reports."

SECTION 5. Section 24-14C-5 NMSA 1978 (being Laws 2011, Chapter 152, Section 5) is amended to read:

"24-14C-5. HEALTH CARE WORK FORCE DATA COLLECTION BY BOARDS--MANDATORY COMPLIANCE FOR APPLICANTS--REPORTING BY BOARDS--CONFIDENTIALITY OF DATA--RULEMAKING.--

A. An applicant for a license from a board or renewal of a license by a board shall provide the information prescribed by the chancellor pursuant to Subsection C of this section. This section applies to applicants for health professional licensure or renewal of health professional licensure pursuant to Chapter 61 NMSA 1978.

B. A board shall not approve a subsequent application for a license or renewal of a license until the applicant provides the information pursuant to Subsection C of this section.

C. A board shall adopt rules regarding the manner, form and content of reporting data; the consistency of data entry fields used; and the information that an applicant, pursuant to Subsection A of this section, shall provide to a board. At a minimum, the rules shall provide for a core essential data set, including the applicant's:

(1) demographics, including race, ethnicity and primary and other languages spoken;

(2) practice status, including, but not limited to:

(a) active practices in New Mexico and other locations;

(b) practice type; and

(c) practice settings, such as

hospital, clinic or other clinical settings;

(3) education, training and primary and secondary specialties;

(4) average hours worked per week and the average number of weeks worked per year in the licensed profession;

(5) percentage of practice engaged in direct patient care and in other activities, such as teaching, research and administration, in the licensed profession; and

(6) practice plans for the next five years, including retiring from the health care profession, moving out of state or changing health care work hours.

D. A board shall report health care work force information collected pursuant to this section to the chancellor.

E. A board shall keep confidential and not release personally identifiable data collected under this section for any person licensed, registered or certified by the board. The provisions of this subsection do not apply to the release of information to a law enforcement agency for investigative purposes or to the release to the chancellor for state health HHGA

planning purposes. A person with whom the university contracts to perform data collection, storage and analysis shall protect the privacy of that data. The chancellor shall ensure that the responses of applicants shall be kept confidential, including taking special precautions when the identity of an applicant may be ascertained due to the applicant's location or occupation.

F. A board shall promulgate rules as necessary to perform the board's duties pursuant to this section, including rules for collecting, storing and analyzing data in addition to the information required to be collected by the Health Care Work Force Data Collection, Analysis and Policy Act."

SECTION 6. Section 24-14C-6 NMSA 1978 (being Laws 2011, Chapter 152, Section 6) is amended to read:

"24-14C-6. HEALTH CARE WORK FORCE WORK GROUP--WORK FORCE DATA ANALYSIS--RECRUITMENT PLANNING--STRATEGIC PLAN FOR IMPROVING HEALTH CARE ACCESS--WORK FORCE SURVEY.--The chancellor for health sciences of the university of New Mexico shall convene a health care work force work group that includes representatives of health care consumers; health care providers; organized groups representing physicians, physician assistants, nurses, nurse practitioners, dentists, dental hygienists and pharmacists; health care work force training institutions; the department of health; the public education department; the higher education department; and the boards.

The work group shall:

A. analyze and make recommendations to the legislature regarding incentives to attract qualified individuals, including those from minority groups underrepresented among health care professions, to pursue health care education and practice in New Mexico;

B. develop a short-term plan and a five-year plan to improve health care access, with a draft report on the plans to be submitted to the interim legislative health and human services committee by November 1, 2011. Beginning October 1, 2012, the work group shall make detailed annual reports to the legislative health and human services committee by October 1 of each year;

C. analyze the collected data and make recommendations to the legislature for building healthier communities and improving health outcomes; and

D. devise an electronic survey, designed to be completed by applicants within fifteen minutes, for boards to provide to applicants for licensure or renewal of licensure, which includes questions regarding the information required pursuant to Subsection C of Section 24-14C-5 NMSA 1978 and any other survey questions that the chancellor and the work group deem appropriate."

SECTION 7. Laws 2011, Chapter 152, Section 7 is amended to read:

"SECTION 7. TEMPORARY PROVISION--APPLICATION FOR GRANTS PURSUANT TO THE FEDERAL PATIENT PROTECTION AND AFFORDABLE CARE ACT.--In order to carry out the provisions set forth in the Health Care Work Force Data Collection, Analysis and Policy Act, the chancellor for health sciences of the university of New Mexico shall seek funding pursuant to Section 5102 of the federal Patient Protection and Affordable Care Act, as well as funding from any other source, public or private, that the chancellor deems appropriate."

SECTION 8. TEMPORARY PROVISION--TRANSFER OF DATA, APPROPRIATIONS, PROPERTY, PERSONNEL, RECORDS AND CONTRACTS TO THE BOARD OF REGENTS OF THE UNIVERSITY OF NEW MEXICO.--On July 1, 2012:

A. all data, appropriations, property, personnel and records related to data collection, analysis, storage or use pursuant to the Health Care Work Force Data Collection, Analysis and Policy Act shall be transferred from the department of health to the university of New Mexico; and

B. any contracts related to data collection, analysis, storage or use that are binding on the department of health pursuant to the Health Care Work Force Data Collection, Analysis and Policy Act shall be binding on the board of regents of the university of New Mexico.